

NOTE TO PROPOSERS:

For the purposes of this RFP, all references within this Sample Contract belong to:

- The Statement of Work, Appendix B1 of this RFP

Safe Children and Strong Families (SCSF)
FAMILY PRESERVATION SERVICES
SAMPLE CONTRACT
(RFP # 11-053B)

BY AND BETWEEN

COUNTY OF LOS ANGELES



AND

Department of Children and Family Services (DCFS)
Contract Development/Fiscal Management
425 Shatto Place, Room 400
Los Angeles, California 90020

COUNTY OF LOS ANGELES
DEPARTMENT OF CHILDREN AND FAMILY SERVICES
Safe Children and Strong Families (SCSF)
FAMILY PRESERVATION SERVICES SAMPLE CONTRACT
(RFP # 11-053B)

**SAMPLE CONTRACT PROVISIONS
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STANDARD EXHIBITS

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H	Jury Service Ordinance
I	Safely Surrendered Baby Law
J	Auditor-Controller Contract Accounting and Administration Handbook
K	Confidentiality of CORI Information
L	User Complaint Report (UCR)
M	Charitable Contributions Certification

COUNTY OF LOS ANGELES
FAMILY PRESERVATION SERVICES
(SAMPLE CONTRACT)

This Contract (“Contract”) made and entered into this ____ day of _____, 2017 by
and between the County of Los Angeles, hereinafter referred to as “COUNTY” and
_____, hereinafter referred to as “CONTRACTOR”. _____ is
located at _____.

RECITALS

WHEREAS, pursuant to Government Code Sections 26227, 31000 and 53703, the COUNTY is permitted to contract for services when certain requirements are met; and

WHEREAS, the CONTRACTOR is a public governmental entity or a non-profit social services organization founded for religious, charitable or social welfare purposes and is tax exempt under 501 (c) (3) of the Internal Revenue Code, specializing in providing a comprehensive range of prevention, support, intervention, transitional and maintenance services that are defined under family preservation; and

WHEREAS, this contract shall provide services pursuant to the provisions of Assembly Bill 776, COUNTY may utilize a portion of the Federal Promoting Safe and Stable Families (PSSF) funds and the allocated State General funds for the COUNTY’s Safe Children and Strong Families (SCSF) program to provide a comprehensive range of prevention, support, intervention, transitional and maintenance services for families in the communities of Los Angeles County; and

WHEREAS, COUNTY has determined that the services to be provided under this Contract are necessary to protect children while strengthening and preserving families, thereby reducing the number of out-of-home placements and expediting the safe return of children to families; and

NOW THEREFORE, in consideration of the mutual covenants contained herein, and for good and valuable consideration, the parties agree to the following:

1.0 APPLICABLE DOCUMENTS

Exhibits A, B, C, D, E, F, G, H, I, J, K, L, and M are attached to and form a part of this Contract. In the event of any conflict or inconsistency in the definition or interpretation of any word, responsibility, schedule, or the contents or description

of any task, deliverable, goods, service, or other work, or otherwise between the base Contract and the Exhibits, or between Exhibits, such conflict or inconsistency shall be resolved by giving precedence first to the terms and conditions of the Contract and then to the Exhibits according to the following priority.

Standard Exhibits:

- 1.1 EXHIBIT A - Statement of Work (Appendix B1 in this RFP)
- 1.2 EXHIBIT B - Pricing Schedule
- 1.3 EXHIBIT C - CONTRACTOR's Line Item Budget and Narrative
- 1.4 EXHIBIT D - CONTRACTOR's EEO Certification
- 1.5 EXHIBIT E - COUNTY's Administration
- 1.6 EXHIBIT F - CONTRACTOR's Administration
- 1.7 EXHIBIT G - Forms Required at the Time of Contract Execution
- 1.8 EXHIBIT H - Jury Service Ordinance
- 1.9 EXHIBIT I - Safely Surrendered Baby Law
- 1.10 EXHIBIT J - Auditor-Controller Contract Accounting and Administration Handbook
- 1.11 EXHIBIT K - Confidentiality of CORI Information
- 1.12 EXHIBIT L - User Complaint Report (UCR)
- 1.13 EXHIBIT M - Charitable Contributions Certification

This Contract constitutes the complete and exclusive statement of understanding between the parties, and supersedes all previous contracts, written and oral, and all communications between the parties relating to the subject matter of this Contract. No change to this Contract shall be valid unless prepared pursuant to subparagraph 8.1 - Amendments and signed by both parties.

2.0 DEFINITIONS

The headings contained are for convenience and reference only and are not intended to define the scope of any provision thereof. The following words as used herein shall be construed to have the following meaning, unless otherwise apparent from the context in which they are used.

- 2.1 **Contract** - This agreement executed between COUNTY and CONTRACTOR. It sets forth the terms and conditions for the issuance and

performance of all tasks, deliverables, services and other work including the Statement of Work, Exhibit A (Appendix B1.in the RFP)

- 2.2 CONTRACTOR** - The sole proprietor, partnership, or other person or entity that has entered into this Contract with the COUNTY.
- 2.3 CONTRACTOR Program Director (CPD)** - The individual designated by the CONTRACTOR to administer the Contract operations after the Contract award.
- 2.4 COUNTY's Program Manager (CPM)** - The COUNTY representative or designee responsible for daily management of the Contract operations and the oversight of monitoring activities, compliance with the requirements of the Contract, and the delivery of services.
- 2.5 Day(s)** - Calendar day(s), and not business or workday, unless otherwise specifically stated (whether singular or plural and whether with initial letter capitalized or not).
- 2.6 Director** - The COUNTY's Director of Children and Family Services or his or her authorized designee.
- 2.7 Fiscal Year(s)** - The twelve (12) month period beginning July 1st and ending the following June 30th.
- 2.8 Program** - The work to be performed by CONTRACTOR as defined in Statement of Work, Exhibit A (Appendix B1.in the RFP)
- 2.9 Subcontract** - A Contract by which a third party agrees to provide services or materials necessary to fulfill an original Contract.

Additional definitions related to this Contract and its Exhibits are also included in the Statement of Work, Exhibit A (Appendix B1 in the RFP) – Technical Exhibit 31, Definitions.

3.0 WORK

- 3.1** Pursuant to the provisions of this Contract, the CONTRACTOR shall fully perform, complete and deliver on time, all tasks, deliverables, services and other work as set forth in herein.
- 3.2** If the CONTRACTOR provides any tasks, deliverables, goods, services, or other work, other than as specified in this Contract, the same shall be deemed to be a gratuitous effort on the part of the CONTRACTOR, and the CONTRACTOR shall have no claim whatsoever against the COUNTY.

- 3.3** COUNTY will issue a written start work notice to CONTRACTOR indicating when services under this Contract can begin. CONTRACTOR shall not begin any services under this Contract without such written start work notice from the COUNTY. COUNTY has the right to issue a written stop work order whenever the COUNTY deems that it is in its best interest to do so, and CONTRACTOR shall stop work immediately upon receipt of such written stop work notice.

4.0 TERM OF CONTRACT

- 4.1** The term of this Contract shall commence on _____ or the date of execution by the Director of Children and Family Services, whichever is later, and shall expire on _____ or three (3) years from the date of execution by the Director of Children and Family Services, whichever is later, unless terminated earlier or extended, in whole or in part, as provided in this Contract.

- 4.2** The COUNTY shall have the sole option to extend the Contract term for up to two additional one-year periods for a maximum total Contract term of five (5) years. Each such extension option may be exercised at the sole discretion of the Director or his/her designee, by Amendment or written notice to the CONTRACTOR, as authorized by the COUNTY's Board of Supervisors.

The COUNTY maintains databases that track/monitor CONTRACTOR performance history. Information entered into such databases may be used for a variety of purposes, including determining whether the COUNTY will exercise a Contract term extension option.

- 4.3** The term of this Contract may also be extended by the Director by written notice to the CONTRACTOR sixty (60) days prior to the expiration of the Contract term, after approval from the Chief Executive Office, for a period not to exceed six (6) months beyond stated expiration date on a month-to-month basis, if such additional time is necessary to complete the negotiation or solicitation of a new Contract.
- 4.4** The CONTRACTOR shall notify the Department of Children and Family Services (DCFS) when this Contract is within six (6) months of the expiration of the term. Upon occurrence of this event, CONTRACTOR shall send written notification to DCFS, at the address herein provided in Exhibit E - County's Administration.

5.0 CONTRACT SUM

5.1 The Maximum Contract Sum for this Contract is \$_____.

5.2 CONTRACTOR shall not be entitled to payment or reimbursement for any tasks or services performed, nor for any incidental or administrative expenses whatsoever incurred in or incidental to performance hereunder, except as specified herein. Assumption or takeover of any of the CONTRACTOR's duties, responsibilities, or obligations, or performance of same by any person or entity other than the CONTRACTOR, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever, shall not occur except with the COUNTY's express prior written approval.

5.3 CONTRACTOR shall maintain a system of record keeping that will allow the CONTRACTOR to determine when it has incurred seventy-five percent (75%) of the Maximum Annual Contract Sum under this Contract. Upon occurrence of this event, the CONTRACTOR shall send written notification to DCFS at the address herein provided in Exhibit E, County's Administration.

5.4 No Payment for Services Provided Following Expiration-Termination of Contract

CONTRACTOR shall have no claim against COUNTY for payment of any money or reimbursement, of any kind whatsoever, for any service provided by the CONTRACTOR after the expiration or other termination of this Contract. Should the CONTRACTOR receive any such payment, it shall immediately notify COUNTY and shall immediately repay all such funds to COUNTY. Payment by COUNTY for services rendered after expiration-termination of this Contract shall not constitute a waiver of COUNTY's right to recover such payment from the CONTRACTOR. This provision shall survive the expiration or other termination of this Contract.

5.5 Invoices and Payments

5.5.1 CONTRACTOR shall invoice the COUNTY only for providing the tasks, deliverables, goods, services, and other work specified in Exhibit A (Appendix B1 to the RFP) - Statement of Work and elsewhere hereunder. The CONTRACTOR shall prepare invoices, which shall include the charges owed to the CONTRACTOR by the COUNTY under the terms of this Contract. CONTRACTOR's payments shall be as provided in Exhibit B - Pricing Schedule, and the CONTRACTOR shall be paid only for the tasks, deliverables, goods, services, and other work approved in writing by the COUNTY.

If the COUNTY does not approve work in writing no payment shall be due to the CONTRACTOR for that work.

- 5.5.2 CONTRACTOR's invoices shall be priced in accordance with Exhibit B - Pricing Schedule.
- 5.5.3 CONTRACTOR's invoices shall contain the information set forth in Exhibit A (Appendix B1 to the RFP) - Statement of Work describing the tasks, deliverables, goods, services, work hours, and facility and/or other work for which payment is claimed.
- 5.5.4 CONTRACTOR shall submit a monthly invoice in arrears for services rendered in the previous month. CONTRACTOR shall make its best efforts to submit all invoices within thirty (30) days of the last day of the month in which the service was rendered. Any invoice submitted more than thirty (30) days after the last day of the month in which the services were rendered shall constitute a "past due invoice." Past due invoices shall be submitted no later than sixty (60) days after the last day of the month in which the services were rendered. Notwithstanding any other provision of this Contract, CONTRACTOR and COUNTY agree that the COUNTY shall have no obligation whatsoever to pay any past due invoices which are submitted more than sixty (60) days after the last day of the month in which the services were rendered. COUNTY may, in its sole discretion, pay some or all of a past due invoice which CONTRACTOR has submitted more than sixty (60) days after the last day of the month in which services were rendered provided sufficient funds remain available under this Contract. These same time frames shall also apply to the submission of the CONTRACTOR's final invoice.
- 5.5.5 CONTRACTOR shall electronically invoice COUNTY in arrears via COUNTY's Web-based Application System. CONTRACTOR must have available the necessary electronic equipment as set forth in Sub-paragraph 9.3, Computer Information and Technology Requirements of this Contract.
- 5.5.6 COUNTY will prorate the payment for families/Probation youth that are served for less than a full month. The pro rata payment will be calculated by multiplying the monthly rate by a fraction, where the number of days the families/children were actually served is the numerator and the number of days in the invoiced month is the denominator. Payment shall commence as of the referral date and end on the termination effective date.

- 5.5.7 Whether or not Federal dollars will be utilized to pay for services under this Contract, expenditures made by CONTRACTOR in the operation of this Contract shall be in compliance and in conformity with the Office of Management and Budget (OMB) Circular A-122, Cost Principles for Non-Profit Organizations and OMB A-87, Cost Principles for State, Local and Indian Tribal Governments. CONTRACTOR is responsible for obtaining the most recent version of the OMB Circulars, which are available online via the Internet at <http://www.whitehouse.gov/omb/circulars/index.html>.
- 5.5.8 CONTRACTOR, without prior approval of COUNTY, may reallocate up to a maximum of five percent (5%) of the Maximum Annual Contract Sum between categories (i.e., personnel, employee benefits, supplies and expenses, equipment, travel and indirect costs) of CONTRACTOR's approved budget. CONTRACTOR shall request COUNTY's approval in writing for line item budget reallocations above the five percent (5%) maximum, which may only be increased to a maximum of ten percent (10%), if such request is approved. In any event, such revisions shall not result in any increase in the Maximum Annual Contract Sum. Such requests to COUNTY shall be addressed to the COUNTY Program Manager.
- 5.5.9 Payment to CONTRACTOR will be made in arrears on a monthly basis for services performed, provided that the CONTRACTOR is not in default under any provision of this Contract. COUNTY has no obligation to pay for any work except those services expressly authorized by this Contract.
- 5.5.10 In compliance with Internal Revenue Service (IRS) requirements, CONTRACTOR shall provide CONTRACTOR's Tax Identification Number. Furthermore, the Tax Identification Number is necessary for processing payment, as required by the COUNTY Auditor-Controller.
- 5.5.11 CONTRACTOR is responsible for the accuracy of invoices submitted to COUNTY. Further, it is the responsibility of CONTRACTOR to reconcile or otherwise correct inaccuracies or inconsistencies in the invoices submitted by CONTRACTOR and to notify COUNTY of any overpayments received by CONTRACTOR. Any overpayment received by CONTRACTOR, as determined by COUNTY Program Manager, or designee, shall be returned to COUNTY by CONTRACTOR within thirty (30) days of receiving notification of such overpayment from the COUNTY, or may be set off at COUNTY's election against future payments due CONTRACTOR. Notwithstanding any other provision of this

Contract, CONTRACTOR shall return to COUNTY any and all payments, which exceed the Maximum Annual Contract Sum for the corresponding Contract Year. Furthermore, CONTRACTOR shall return said payments within thirty (30) days of receiving notification of overpayment from the COUNTY or immediately upon discovering such overpayment, whichever date is earlier.

5.5.12 CONTRACTOR shall not be paid for expenditures beyond the Maximum Annual Contract Sum for the corresponding Contract year, and CONTRACTOR agrees that COUNTY has no obligation, whatsoever, to pay for any expenditures by CONTRACTOR that exceed the Maximum Annual Contract Sum for said Contract year.

5.5.13 Suspension and Withholding of Payment: In addition to other remedies, COUNTY reserves the right to suspend or withhold all payments to CONTRACTOR if required reports are not provided to COUNTY on a timely basis; if there are continuing deficiencies in CONTRACTOR's reporting, record keeping or invoicing requirements; or if CONTRACTOR's performance of the work is not adequately evidenced or performed.

5.5.14 COUNTY and CONTRACTOR agree that this is a firm-fixed priced Contract not to exceed the Maximum Annual Contract Sum. During the term of this Contract, COUNTY shall compensate CONTRACTOR, as specified in Exhibit B, CONTRACTOR's Pricing Schedule and Exhibit C, Line Item Budget and Narrative, for the services set forth in Exhibit A (Appendix B1 to the RFP), Statement of Work, in accordance with subparagraph 5.5, Invoices and Payments, of this Contract.

5.5.15 CONTRACTOR shall have no claim against COUNTY for, nor be entitled to, payment or reimbursement for any tasks or services performed, nor for any incidental or administrative expenses whatsoever incurred in or incidental to performance hereunder, except as specified herein.

5.5.16 CONTRACTOR's budget is attached hereto and incorporated by reference herein as Exhibit C, CONTRACTOR's Line Item Budget and Narrative. The line items shall provide sufficient detail to determine the quality and quantity of services to be delivered. CONTRACTOR represents and warrants that the budget is true and correct in all respects, and shall deliver services in accordance with the budget. In the event of a change in the Maximum Annual Contract Sum, or a reallocation of the budget, or a material change to the scope of work, CONTRACTOR shall amend the budget

consistent with any changes and submit the budget to the COUNTY Program Manager for approval.

5.5.17 CONTRACTOR shall utilize a maximum of ten percent (10%) of their Maximum Annual Contract Sum for administrative/indirect costs, unless the agency has a federally approved indirect cost rate letter of over ten percent (10%).

5.5.18 County Approval of Invoices

All invoices submitted by the CONTRACTOR for payment must have the written approval of the COUNTY's Program Manager prior to any payment thereof. In no event shall the COUNTY be liable or responsible for any payment prior to such written approval. Approval for payment will not be unreasonably withheld.

5.5.19 In addition to the electronic invoice, CONTRACTOR shall send signed original hard copies of the invoices to the COUNTY's Program Manager for review and approval as follows:

County of Los Angeles
Department of Children and Family Services
Attention: Accounting Services, Contract Accounting Section
425 Shatto Place, Room 204
Los Angeles, California 90020

5.6 Base Rate, Supplemental Services, Intensive Family Preservation, Therapeutic Day Treatment (TDT) Services - Probation Cases

A capitated base of \$_____ per month will be paid for each family participating in FP services through the Family Preservation Services Program (ARS, regular FP, and regular Probation) and \$_____ for Therapeutic Day Treatment. Services include In-Home Outreach Counselor (IHOC) visits, clinical direction, and the Multidisciplinary Case Planning Committee (MCPC).

Base rate services include: 1) four (4) IHOC visits; 2) indirect costs; 3) clinical direction; and 4) MCPC.

The number and type of supplemental service to be provided must be determined by the MCPC and be based on the intensity of need of the FP family. Each service type will be reimbursed at the rates listed in the Pricing Schedule for Family Preservation Services.

Once CONTRACTOR has accepted an Intensive Family Preservation (IFP) case referral, CONTRACTOR shall provide, at a minimum, the following

Supplemental Services: 1) Two (2) Teaching and Demonstrating Homemaking Services (T&D) sessions per week (evenings if possible), to occur on different days than IHOC visits; and 2) Two (2) IHOC visits per week that are in addition to the Base Rate IHOC visit. One (1) mental health home visit may be substituted for one (1) IHOC visit per week.

5.6.1 CONTRACTOR shall use these funds for the required base rate services, supplemental services, and the Therapeutic Day Treatment (TDT) services identified in Exhibit A (Appendix B1 to the RFP) – Statement of Work, subparagraph 7.4.11, Supplemental Services, and subparagraph 7.4.12, Therapeutic Day Treatment (TDT) – Probation Cases.

5.6.2 CONTRACTOR may use up to five percent (5%) of the fiscal year allocation amount toward discretionary funds.

5.6.3 CONTRACTOR must obtain prior written approval from the COUNTY Program Manager for any discretionary fund expenditures estimated to be in excess of two thousand five hundred dollars (\$2,500) for any individual item, event, activity or service.

5.6.4 All costs for base rate, supplemental services, and TDT services must be allowable under the Office of Management and Budget (OMB) Circular A-122, Cost Principles for Non-Profit Organizations, or OMB A-87, Cost Principles for State and Local Governments, as applicable.

5.7 Limitations on the Use of Base Rate, Supplemental Services and Therapeutic Day Treatment (TDT) Funds

Base rate, supplemental services and TDT funds shall not be utilized for any of the following:

5.7.1 As security or to guarantee payments for any non-program obligations such as loans for non-program activities or any other use prohibited under applicable Federal law, State law, and local regulations.

5.7.2 To supplant any Federal, State or County funds currently provided for the full range of services to families.

5.7.3 Either directly or indirectly, for purposes of fund raising or for political campaigns.

5.8 Cost Center

CONTRACTOR shall establish a separate Family Preservation Cost Center to account for all revenue received, expended and unexpended for the base rate, supplemental services, and TDT services fiscal year amount. Revenue and expenses from other programs, including other parts of the Safe Children and Strong Families (SCSF) programs, shall not be commingled in the Cost Center.

5.8.1 CONTRACTOR shall establish an additional Family Preservation Cost Center for billing of supplemental services for Alcohol and Substance Abuse Treatment. Revenue and expenses from other programs, including other parts of the SCSF programs, shall not be commingled in this Cost Center.

5.9 Base Rate, Supplemental Services and Therapeutic Day Treatment (TDT) Monthly Detail Services Report

CONTRACTOR shall electronically input to COUNTY, by the 30th of each month, following the month of service, a detailed service report of base rate, supplemental services, and TDT services. CONTRACTOR shall use the software provided by COUNTY as set forth in subparagraph 9.3, Computer Information and Technology Requirements of this Contract. Amounts included on this report must be calculated on an accrual basis. The statement shall include interest earned on funds received.

Supplemental services will be paid for on a fixed rate payment structure. Deaf/Interpretive Services will be paid for on a cost reimbursement payment structure.

5.10 Statement of Received, Expended and Unexpended Funds

Unexpended base rate, supplemental services, and TDT services Contract amounts, as calculated on your Statement of Received, Expended, and Unexpended Core Service Funds shall be returned to the COUNTY within thirty (30) days following the end of the applicable Contract year. Any unexpended base rate, supplemental services, and TDT service funds at the expiration or termination date of this Contract shall be returned to the COUNTY within thirty (30) days following termination or expiration date of this Contract.

5.10.1 Auxiliary Goods and Services/Items: CONTRACTOR shall purchase DCFS and COUNTY's Probation Department approved items for reimbursement from auxiliary funds according to the procedures set forth in Exhibit A (Appendix B1 to the RFP) – Statement of Work, subparagraph 7.4.13, Auxiliary Good and

Services/Items, and Technical Exhibit 18, Expense Claim for Auxiliary Fund Reimbursement as part of Exhibit A (Appendix B1 to the RFP) - Statement of Work. For COUNTY's Probation Department only, the CONTRACTOR shall use Technical Exhibit 17, Probation FP Auxiliary Fund Authorization.

5.10.2 CONTRACTOR shall submit Technical Exhibit 18, Expense Claim for Auxiliary Fund Reimbursement. CONTRACTOR shall use Technical Exhibit 17 for Probation Department request(s).

- a. Requests for auxiliary funds for rental assistance must be submitted on Technical Exhibit 18 and include the property owner's Social Security Number or Tax Identification number (TIN).
- b. CONTRACTOR shall purchase and be reimbursed for deaf/interpretive services. CONTRACTOR shall submit Technical Exhibit 18, Expense Claim for Auxiliary Fund Reimbursement. CONTRACTOR shall be responsible for providing all necessary documentation for the purchase and reimbursement of auxiliary funds to the deaf/interpretive services. Auxiliary funds are not available for ARS cases.

5.10.3 CONTRACTOR shall be responsible for providing all necessary documentation of purchase for use and reimbursement of auxiliary funds.

6.0 ADMINISTRATION OF CONTRACT – COUNTY

A listing of all COUNTY Administration referenced in the following subparagraphs is designated in Exhibit E, COUNTY's Administration. The COUNTY will notify the CONTRACTOR in writing of any change in the names or addresses shown.

6.1 COUNTY's Program Manager

The role of the COUNTY Program Manager is authorized to include:

- ensuring that the objectives of this Contract are met;
- providing direction to CONTRACTOR in the areas relating to COUNTY policy, information requirements, and procedural requirements
- meeting with CONTRACTOR's Program Manager on a regular basis;
- overseeing the day-to-day administration of this Contract; and

- inspecting any and all tasks, deliverables, goods, services, or other work provided by or on behalf of the CONTRACTOR; however, in no event shall CONTRACTOR's obligation to fully satisfy all of the requirements of this Contract be relieved, excused or limited thereby.

The COUNTY Program Manager is not authorized to make any changes in any of the terms and conditions of this Contract and is not authorized to further obligate COUNTY in any respect whatsoever.

6.2 COUNTY's Contract Program Monitor

The role of the COUNTY's Program Monitor is to oversee the day-to-day administration of this Contract; however, in no event shall CONTRACTOR's obligation to fully satisfy all of the requirements of this Contract be relieved, excused or limited thereby. The Program Monitor reports to the COUNTY's Program Manager.

7.0 ADMINISTRATION OF CONTRACT – CONTRACTOR

A listing of all of CONTRACTOR's Administration referenced in the following subparagraphs is designated in Exhibit F - CONTRACTOR's Administration. The CONTRACTOR will notify the COUNTY in writing of any change in the names or addresses shown.

7.1 CONTRACTOR's Program Director

7.1.1 CONTRACTOR's Program Director is designated in Exhibit F, CONTRACTOR's Administration. CONTRACTOR shall notify COUNTY in writing of any change in the name or address of the CONTRACTOR's Program Director.

7.1.2 CONTRACTOR's Program Director shall be responsible for CONTRACTOR's day-to-day activities as related to this Contract and shall meet and coordinate with COUNTY's Program Manager during the daily operation of the Contract, and shall be available during the COUNTY's regular business hours of Monday through Friday, from 8:00 a.m. to 5:00 p.m., to respond to COUNTY inquiries and to discuss problem areas.

7.2 Approval of CONTRACTOR's Staff

COUNTY has the absolute right to approve or disapprove all of the CONTRACTOR's staff performing work hereunder and any proposed changes in the CONTRACTOR's staff, including, but not limited to, CONTRACTOR's Program Director.

7.3 CONTRACTOR's Staff Identification

CONTRACTOR shall provide, at CONTRACTOR's expense, all staff providing services under this Contract with a photo identification badge.

7.4 Background and Security Investigations

7.4.1 For the safety and welfare of the children to be served under this Contract, CONTRACTOR shall, as permitted by law, ensure that its staff, employees, independent contractors, volunteers or Subcontractors who may come in contact with children in the course of their work, shall undergo and pass a background investigation to the satisfaction of COUNTY as a condition of beginning and continuing to perform work under this Contract. Such background investigation must be obtained through fingerprints submitted to the California Department of Justice to include State, local, and federal-level review, which may include, but shall not be limited to, criminal conviction information. The fees associated with the background investigation shall be at the expense of the CONTRACTOR, regardless of whether the member of CONTRACTOR's staff passes or fails the background investigation.

7.4.2 If a member of CONTRACTOR's staff does not pass the background investigation, COUNTY may request that the member of CONTRACTOR's staff be immediately removed from performing services under the Contract. CONTRACTOR shall comply with COUNTY's request at any time during the term of the Contract. COUNTY will not provide to CONTRACTOR or to CONTRACTOR's staff any information obtained through the COUNTY's background investigation.

7.4.3 COUNTY, in its sole discretion, may immediately deny or terminate facility access to any member of CONTRACTOR's staff that does not pass such investigation to the satisfaction of the COUNTY or whose background or conduct is incompatible with COUNTY facility access.

7.4.4 Disqualification of any member of CONTRACTOR's staff pursuant to this subparagraph 7.4 shall not relieve CONTRACTOR of its obligation to complete all work in accordance with the terms and conditions of this Contract.

7.4.5 CONTRACTOR shall immediately notify COUNTY of any arrest and/or subsequent conviction, other than for minor traffic offenses, of any employee, independent contractor, volunteer staff or

Subcontractor who may come in contact with children while providing services under this Contract when such information becomes known to CONTRACTOR.

- 7.4.6 CONTRACTOR agrees not to engage or continue to engage the services of any person convicted of any crime involving harm to children, or any crime involving conduct inimical to the health, morals, welfare or safety of others, including but not limited to the offenses specified in Health and Safety Code, Section 11590 (offenses requiring registration as a controlled substance offender) and those crimes listed in the Penal Code which involve murder, rape, kidnap, abduction, assault and lewd and lascivious acts.

7.5 Confidentiality

- 7.5.1 CONTRACTOR shall maintain the confidentiality of all records and information in accordance with all applicable Federal, State and local laws, rules, regulations, ordinances, directives, guidelines, policies and procedures relating to confidentiality, including, without limitation, COUNTY policies concerning information technology security and the protection of confidential records and information.
- 7.5.2 CONTRACTOR shall indemnify, defend, and hold harmless COUNTY, its officers, employees, and agents, from and against any and all claims, demands, damages, liabilities, losses, costs and expenses, including, without limitation, defense costs and legal, accounting and other expert, consulting, or professional fees, arising from, connected with, or related to any failure by CONTRACTOR, its officers, employees, agents, or Subcontractors, to comply with this subparagraph 7.5, as determined by COUNTY in its sole judgment. Any legal defense pursuant to CONTRACTOR's indemnification obligations under this subparagraph 7.5 shall be conducted by CONTRACTOR and performed by counsel selected by CONTRACTOR and approved by COUNTY. Notwithstanding the preceding sentence, COUNTY shall have the right to participate in any such defense at its sole cost and expense, except that in the event CONTRACTOR fails to provide COUNTY with a full and adequate defense, as determined by COUNTY in its sole judgment, COUNTY shall be entitled to retain its own counsel, including, without limitation, County Counsel, and to reimbursement from CONTRACTOR for all such costs and expenses incurred by COUNTY in doing so. CONTRACTOR shall not have the right to enter into any settlement, agree to any injunction, or make any admission, in each case, on behalf of COUNTY without COUNTY's prior written approval.

- 7.5.3 CONTRACTOR shall inform all of its officers, employees, agents and Subcontractors providing services hereunder of the confidentiality provisions of this Contract.
- 7.5.4 CONTRACTOR shall sign and adhere to the provisions of Exhibit G1, "Contractor Acknowledgement and Confidentiality Agreement."
- 7.5.5 CONTRACTOR shall cause each employee performing services covered by this Contract to sign and adhere to Exhibit G2, "Contractor's Employee Acknowledgment and Confidentiality Agreement." CONTRACTOR shall maintain in its files copies of such executed Agreements.
- 7.5.6 CONTRACTOR shall cause each non-employee performing services covered by this Contract to sign and adhere to Exhibit G3, "Contractor's Non-Employee Acknowledgment and Confidentiality Agreement." CONTRACTOR shall maintain in its files copies of such executed Agreements.
- 7.5.7 CONTRACTOR shall notify COUNTY of any attempt to obtain confidential records through the legal process.
- 7.5.8 CONTRACTOR agrees to notify COUNTY in writing within 24 hours of any actual or suspected misuse, misappropriation, unauthorized disclosure of, or unauthorized access to Confidential Information that may come to CONTRACTOR's attention, and that includes unauthorized access to CONTRACTOR's computer or computers (including those of any Subcontractor involved in the Relationship) containing CONTRACTOR's or COUNTY's Confidential Information related to this Contract, including names and information of referred clients. Unauthorized access may include a virus or worm that penetrates and gains access to a computer and places a back door or keystroke logger on it, or a directed hack/crack that gains access to and some control over a computer.
- 7.5.9 CONTRACTOR shall comply with all applicable laws pertaining to confidentiality. This shall include, but is not limited to, the confidentiality provisions of Section 827 and Section 10850 of the California Welfare and Institutions Code and MPP Division 19.

7.6 Confidentiality Requirements for Probation:

- 7.6.1 By State law (California Welfare and Institutions Code § 827 and 828, and Penal Code § 1203.05, and 1203.09 and 11140 through 11144) all juvenile records and Probation case information which is

in the CONTRACTOR'S care and possession is confidential and no information relating to any adult or minor is to be in any way released to anyone except those authorized employees of the Los Angeles County Probation Department and law enforcement agencies.

7.6.2 Employees of CONTRACTOR shall be given copies of all cited code sections, and a form to sign Exhibit K, "Confidentiality of CORI Information", regarding confidentiality of the information in the juvenile records. Copies of the form are to be sent to COUNTY Program Manager (Probation) within five (5) business days of start of employment.

8.0 STANDARD TERMS AND CONDITIONS

8.1 Amendments

8.1.1 COUNTY reserves the right to change any portion of the work required under this Contract, or amend such other terms and conditions, as may become necessary. Any such revision shall be accomplished as set forth in this subparagraph 8.1.

8.1.2 For any change which affects the scope of work, term, contract sum, payments, or any terms or conditions included under this Contract, an amendment to the Contract shall be prepared by DCFS and executed by the CONTRACTOR and COUNTY's Board of Supervisors or the Director in the event the Director has the delegated authority to execute. Approval of County Counsel must be obtained for any changes which affect the scope of work.

8.1.3 COUNTY's Board of Supervisors or Chief Executive Officer or designee may require the addition and/or change of certain terms and conditions in the Contract during the term of this Contract. The COUNTY reserves the right to add and/or change such provisions as required by the COUNTY's Board of Supervisors or Chief Executive Officer. To implement such changes, an Amendment to the Contract shall be prepared by DCFS and executed by the CONTRACTOR and by the Director.

8.1.4 The Director may sign an Amendment to this Contract without further action by the Board of Supervisors only under the following conditions as applicable:

- a. The amendment shall be in compliance with applicable County, State and Federal regulations; and

- b. The Board of Supervisors has appropriated sufficient funds in COUNTY's budget; and
- c. The Amendment is for a decrease, or an increase of not more than 10 percent (10%) correlated to an increase or a decrease in the number of units of service, of the original Maximum Annual Contract Sum; and
- d. Prior CEO approval is obtained and notice given to County Counsel.

8.1.5 The Director, or his /her designee, may at his/her sole discretion, authorize extensions of time as defined in Paragraph 4.0 - Term of Contract. The CONTRACTOR agrees that such extensions of time shall not change any other term or condition of this Contract during the period of such extensions. To implement an extension of time, an Amendment to the Contract shall be prepared and executed by the CONTRACTOR and by the Director in the event the Director has the delegated authority to execute.

8.2 Assignment and Delegation

8.2.1 CONTRACTOR shall not assign its rights or delegate its duties under this Contract, or both, whether in whole or in part, without the prior written consent of COUNTY, in its discretion, and any attempted assignment or delegation without such consent shall be null and void. For purposes of this subparagraph, COUNTY consent shall require a written Amendment to the Contract, which is formally approved and executed by the parties. Any payments by the COUNTY to any approved delegatee or assignee on any claim under this Contract shall be deductible, at COUNTY's sole discretion, against the claims, which the CONTRACTOR may have against the COUNTY.

8.2.2 Shareholders, partners, members, or other equity holders of CONTRACTOR may transfer, sell, exchange, assign, or divest themselves of any interest they may have therein. However, in the event any such sale, transfer, exchange, assignment or divestment is effected in such a way as to give majority control of CONTRACTOR to any person(s), corporation, partnership or legal entity other than the majority controlling interest therein at the time of execution of the Contract, such disposition is an assignment requiring the prior written consent of COUNTY in accordance with applicable provisions of this Contract.

8.2.3 Any assumption, assignment, delegation or takeover of any of the CONTRACTOR's duties, responsibilities, obligations or performance of same by any person or entity other than the CONTRACTOR, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever without COUNTY's express prior written approval, shall be a material breach of the Contract which may result in the termination of this Contract. In the event of such termination, COUNTY shall be entitled to pursue the same remedies against CONTRACTOR as it could pursue in the event of default by CONTRACTOR.

8.3 Authorization Warranty

The CONTRACTOR represents and warrants that the person executing this Contract for the CONTRACTOR is an authorized agent who has actual authority to bind the CONTRACTOR to each and every term, condition and obligation of this Contract and that all requirements of the CONTRACTOR have been fulfilled to provide such actual authority.

8.4 Budget Reductions

In the event that the County's Board of Supervisors adopts, in any fiscal year, a COUNTY budget which provides for reductions in the salaries and benefits paid to the majority of COUNTY employees and imposes similar reductions with respect to COUNTY contracts, the COUNTY reserves the right to reduce its payment obligation under this Contract correspondingly for that fiscal year and any subsequent fiscal year during the term of this Contract (including any extensions), and the services to be provided by the CONTRACTOR under this Contract shall also be reduced correspondingly. The COUNTY's notice to the CONTRACTOR regarding said reduction in payment obligation shall be provided within 30 calendar days of the Board's approval of such actions. Except as set forth in the preceding sentence, the CONTRACTOR shall continue to provide all of the services set forth in this Contract.

8.5 Complaints

CONTRACTOR shall develop, maintain, and operate procedures for receiving, investigating and responding to complaints.

8.5.1 Within five (5) business days after the Contract effective date, CONTRACTOR shall provide the COUNTY with the CONTRACTOR's policy for receiving, investigating and responding to user complaints.

- 8.5.2 The COUNTY will review the CONTRACTOR's policy and provide the CONTRACTOR with approval of said plan or with requested changes.
- 8.5.3 If the COUNTY request changes in the CONTRACTOR's policy, the CONTRACTOR shall make such changes and resubmit the plan with five (5) business days for COUNTY approval.
- 8.5.4 If, at any time, the CONTRACTOR wishes to change the CONTRACTOR's policy, the CONTRACTOR shall submit proposed changes to the COUNTY for approval before implementation.
- 8.5.5 CONTRACTOR shall preliminarily investigate all complaints and notify the COUNTY Program Manager of the status of the investigation within five (5) business days of receiving the complaint.
- 8.5.6 When complaints cannot be resolved informally, a system of follow-through shall be instituted which adheres to formal plans for specific actions and strict time deadlines.
- 8.5.7 Copies of all written responses shall be sent to the COUNTY Program Manager within three (3) business days of mailing to the complainant.

8.6 Compliance with Applicable Law

- 8.6.1 In the performance of this Contract, CONTRACTOR shall comply with all applicable Federal, State, and local laws, rules, regulations, ordinances, and directives, guidelines, policies and procedures, and all provisions required thereby to be included in this Contract are hereby incorporated herein by reference.
- 8.6.2 CONTRACTOR acknowledges that this Contract will be funded, in part, with federal funds; therefore, CONTRACTOR agrees that it shall comply with all applicable federal laws and regulations pertaining to such federal funding. Said federal laws and regulations include, but are not limited to, 45 CFR Section 92.36, et seq.
- 8.6.3 For any Contract over ten thousand dollars (\$10,000), CONTRACTOR agrees to comply fully with the terms of Executive Order 11246, entitled Equal Employment Opportunity as amended by Executive Order 11375, and as supplemented by Department of Labor Regulations (41 CFR Part 60).

8.6.4 Failure by CONTRACTOR to comply with such laws and regulations shall be a material breach of this Contract and may result in termination of this Contract.

8.6.5 CONTRACTOR shall indemnify, defend, and hold harmless COUNTY, its officers, employees, and agents, from and against any and all claims, demands, damages, liabilities, losses, costs, and expenses, including, without limitation, defense costs and legal, accounting and other expert, consulting or professional fees, arising from, connected with, or related to any failure by CONTRACTOR, its officers, employees, agents, or Subcontractors, to comply with any such laws, rules, regulations, ordinances, directives, guidelines, policies, or procedures, as determined by COUNTY in its sole judgment. Any legal defense pursuant to CONTRACTOR's indemnification obligations under this subparagraph 8.6 shall be conducted by CONTRACTOR and performed by counsel selected by CONTRACTOR and approved by COUNTY. Notwithstanding the preceding sentence, COUNTY shall have the right to participate in any such defense at its sole cost and expense, except that in the event CONTRACTOR fails to provide COUNTY with a full and adequate defense, as determined by COUNTY in its sole judgment, COUNTY shall be entitled to retain its own counsel, including, without limitation, County Counsel, and to reimbursement from CONTRACTOR for all such costs and expenses incurred by COUNTY in doing so. CONTRACTOR shall not have the right to enter into any settlement, agree to any injunction or other equitable relief, or make any admission, in each case, on behalf of COUNTY without COUNTY's prior written approval.

8.7 Compliance with Civil Rights Laws

CONTRACTOR hereby assures that it will comply with Subchapter VI of the Civil Rights Act of 1964, 42 USC Sections 2000 (e) (1) through 2000 (e) (17), to the end that no person shall, on the grounds of race, creed, color, sex, religion, ancestry, age, condition of physical handicap, marital status, political affiliation, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program or activity supported by this Contract. CONTRACTOR shall comply with Exhibit D, CONTRACTOR's Equal Employment Opportunity (EEO) Certification.

8.8 Compliance with the COUNTY's Jury Service Program

8.8.1 Jury Service Program:

This Contract is subject to the provisions of the COUNTY's ordinance entitled Contractor Employee Jury Service ("Jury Service Program") as codified in Sections 2.203.010 through 2.203.090 of the Los Angeles County Code, a copy of which is attached hereto as Exhibit H, and incorporated by reference into and made a part of this Contract.

8.8.2 Written Employee Jury Service Policy:

1. Unless CONTRACTOR has demonstrated to the COUNTY's satisfaction either that CONTRACTOR is not a "Contractor" as defined under the Jury Service Program (Section 2.203.020 of the County Code) or that CONTRACTOR qualifies for an exception to the Jury Service Program (Section 2.203.070 of the County Code), CONTRACTOR shall have and adhere to a written policy that provides that its Employees shall receive from the CONTRACTOR, on an annual basis, no less than five (5) days of regular pay for actual jury service. The policy may provide that Employees deposit any fees received for such jury service with the CONTRACTOR or that the CONTRACTOR deduct from the Employee's regular pay the fees received for jury service.
2. For purposes of this subparagraph, "CONTRACTOR" means a person, partnership, corporation or other entity which has a Contract with the COUNTY or a subcontract with a COUNTY CONTRACTOR and has received or will receive an aggregate sum of fifty thousand dollars (\$50,000) or more in any twelve (12) month period under one or more COUNTY Contracts or subcontracts. "Employee" means any California resident who is a full-time employee of CONTRACTOR. "Full-time" means forty (40) hours or more worked per week, or a lesser number of hours if: 1) the lesser number is a recognized industry standard as determined by the COUNTY, or 2) CONTRACTOR has a long-standing practice that defines the lesser number of hours as full-time. Full-time employees providing short-term, temporary services of ninety (90) days or less within a twelve (12) month period are not considered full-time for purposes of the Jury Service Program. If CONTRACTOR uses any Subcontractor to perform services for the COUNTY under this Contract, the Subcontractor shall also be subject to the provisions of this

subparagraph. The provisions of this subparagraph shall be inserted into any such subcontract agreement and a copy of the Jury Service Program shall be attached to the agreement.

3. If CONTRACTOR is not required to comply with the Jury Service Program when the Contract commences, CONTRACTOR shall have a continuing obligation to review the applicability of its “exception status” from the Jury Service Program, and CONTRACTOR shall immediately notify COUNTY if CONTRACTOR at any time either comes within the Jury Service Program’s definition of “Contractor” or if CONTRACTOR no longer qualifies for an exception to the Jury Service Program. In either event, CONTRACTOR shall immediately implement a written policy consistent with the Jury Service Program. The COUNTY may also require, at any time during the term of this Contract and at its sole discretion, that CONTRACTOR demonstrate to the COUNTY’s satisfaction that CONTRACTOR either continues to remain outside of the Jury Service Program’s definition of “Contractor” and/or that CONTRACTOR continues to qualify for an exception to the Program.
4. CONTRACTOR’s violation of this subparagraph of the Contract may constitute a material breach of the Contract. In the event of such material breach, COUNTY may, in its sole discretion, terminate the Contract and/or bar the CONTRACTOR from the award of future COUNTY contracts for a period of time consistent with the seriousness of the breach.

8.9 Conflict of Interest

- 8.9.1 No COUNTY employee whose position with the COUNTY enables such employee to influence the award of this Contract or any competing Contract, and no spouse or economic dependent of such employee, shall be employed in any capacity by CONTRACTOR or have any other direct or indirect financial interest in this Contract. No officer or employee of the CONTRACTOR who may financially benefit from the performance of work hereunder shall in any way participate in COUNTY’s approval, or ongoing evaluation of such work, or in any way attempt to unlawfully influence COUNTY’s approval or ongoing evaluation of such work.
- 8.9.2 CONTRACTOR shall comply with all conflict of interest laws, ordinances and regulations now in effect or hereafter to be enacted during the term of this Contract. CONTRACTOR warrants that it is not now aware of any facts that create a conflict of interest. If

CONTRACTOR hereafter becomes aware of any facts that might reasonably be expected to create a conflict of interest, it shall immediately make full written disclosure of such facts to COUNTY. Full written disclosure shall include, but is not limited to, identification of all persons implicated and complete description of all relevant circumstances. Failure to comply with the provisions of this subparagraph shall be a material breach of this Contract.

8.10 Consideration of Hiring County Employees Targeted for Layoffs or Re-employment List

Should the CONTRACTOR require additional or replacement personnel after the effective date of this Contract to perform the services set forth herein, the CONTRACTOR shall give **first consideration** for such employment openings to qualified permanent COUNTY employees who are targeted for layoff or qualified former COUNTY employees who are on a re-employment list during the life of this Contract.

8.11 Consideration of Hiring Gain-Grow Participants

8.11.1 Should CONTRACTOR require additional or replacement personnel after the effective date of this Contract, the CONTRACTOR shall give consideration for any such employment openings to participants in the COUNTY's Department of Public Social Services' Greater Avenues for Independence (GAIN) Program or General Relief Opportunities for Work (GROW) Program who meet CONTRACTOR's minimum qualifications for the open position. For this purpose, consideration shall mean that the CONTRACTOR will interview qualified candidates. The COUNTY will refer GAIN-GROW participants, by job category, to the CONTRACTOR. CONTRACTORS shall report all job openings with job requirements to: GAINGROW@dpss.lacounty.gov to obtain a list of qualified GAIN-GROW job candidates.

8.11.2 In the event that both laid-off COUNTY employees and GAIN/GROW participants are available for hiring, COUNTY employees shall be given first priority.

8.12 CONTRACTOR Responsibility and Debarment

8.12.1 Responsible CONTRACTOR

A responsible CONTRACTOR is a CONTRACTOR who has demonstrated the attribute of trustworthiness, as well as quality, fitness, capacity and experience to satisfactorily perform the

Contract. It is the COUNTY's policy to conduct business only with responsible CONTRACTORS.

8.12.2 Chapter 2.202 of the County Code

The CONTRACTOR is hereby notified that, in accordance with Chapter 2.202 of the County Code, if the COUNTY acquires information concerning the performance of the CONTRACTOR on this or other Contracts which indicates that the CONTRACTOR is not responsible, the COUNTY may, in addition to other remedies provided in the Contract, debar the CONTRACTOR from bidding or proposing on, or being awarded, and/or performing work on COUNTY contracts for a specified period of time, which generally will not exceed five years but may exceed five (5) years or be permanent if warranted by the circumstances, and terminate any or all existing contracts the CONTRACTOR may have with the COUNTY.

8.12.3 Non-responsible CONTRACTOR

The COUNTY may debar a CONTRACTOR if the Board of Supervisors, finds in its discretion, that the CONTRACTOR has done any of the following: (1) violated a term of a contract with the COUNTY or a nonprofit corporation created by the COUNTY; (2) committed an act or omission which negatively reflects on the CONTRACTOR's quality, fitness or capacity to perform a contract with the COUNTY, any other public entity, or a nonprofit corporation created by the COUNTY, or engaged in a pattern or practice which negatively reflects on same; (3) committed an act or offense which indicates a lack of business integrity or business honesty, or (4) made or submitted a false claim against the COUNTY or any other public entity.

8.12.4 CONTRACTOR Hearing Board

1. If there is evidence that the CONTRACTOR may be subject to debarment, the Department will notify the CONTRACTOR in writing of the evidence, which is the basis for the proposed debarment and will advise the CONTRACTOR of the scheduled date for a debarment hearing before the Contractor Hearing Board.
2. The Contractor Hearing Board will conduct a hearing where evidence on the proposed debarment is presented. The CONTRACTOR and/or the CONTRACTOR's representative

shall be given an opportunity to submit evidence at that hearing. After the hearing, the Contractor Hearing Board shall prepare a tentative proposed decision, which shall contain a recommendation regarding whether the CONTRACTOR should be debarred, and if so, the appropriate length of time of the debarment. The CONTRACTOR and the Department shall be provided an opportunity to object to the tentative proposed decision prior to its presentation to the Board of Supervisors.

3. After consideration of any objections, or if no objections are submitted, a record of the hearing, the proposed decision and any other recommendation of the Contractor Hearing Board shall be presented to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the Contractor Hearing Board.
4. If a CONTRACTOR has been debarred for a period longer than five (5) years, that CONTRACTOR may, after the debarment has been in effect for at least five (5) years, submit a written request for review of the debarment determination to reduce the period of debarment or terminate the debarment. The COUNTY may, in its discretion, reduce the period of debarment or terminate the debarment if it finds that the CONTRACTOR has adequately demonstrated one or more of the following: (1) elimination of the grounds for which the debarment was imposed; (2) a bona fide change in ownership or management; (3) material evidence discovered after debarment was imposed; or (4) any other reason that is in the best interests of the COUNTY.
5. The Contractor Hearing Board will consider a request for review of a debarment determination only where: (1) the CONTRACTOR has been debarred for a period longer than five years; (2) the debarment has been in effect for at least five (5) years; and (3) the request is in writing, states one or more of the grounds for reduction of the debarment period or termination of the debarment, and includes supporting documentation. Upon receiving an appropriate request, the Contractor Hearing Board will provide notice of the hearing on the request. At the hearing, the Contractor Hearing Board shall conduct a hearing where evidence on the proposed reduction of the debarment period or termination of debarment is presented. This hearing shall be conducted and the request for review decided by the Contractor Hearing Board pursuant to the same procedures as for a debarment hearing.

6. The Contractor Hearing Board's proposed decision shall contain a recommendation on the request to reduce the period of debarment or terminate the debarment. The Contractor Hearing Board shall present its proposed decision and recommendation to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny or adopt the proposed decision and recommendation of the Contractor Hearing Board.

8.12.5 Sub-Contractors of CONTRACTOR

These terms shall also apply to Subcontractors of COUNTY CONTRACTORS.

8.13 CONTRACTOR's Acknowledgement of COUNTY's Commitment to Safely Surrendered Baby Law

The CONTRACTOR acknowledges that the COUNTY places a high priority on the implementation of the Safely Surrendered Baby Law. The CONTRACTOR understands that it is the COUNTY's policy to encourage all COUNTY Contractors to voluntarily post the COUNTY's "Safely Surrendered Baby Law" poster in a prominent position at the CONTRACTOR's place of business. The CONTRACTOR will also encourage its Subcontractors, if any, to post this poster in a prominent position in the Subcontractor's place of business. The COUNTY's Department of Children and Family Services will supply the CONTRACTOR with the poster to be used. Information on how to receive the poster can be found on the Internet at www.babysafela.org.

8.14 CONTRACTOR's Warranty of Adherence to COUNTY's Child Support Compliance Program

8.14.1 The CONTRACTOR acknowledges that the COUNTY has established a goal of ensuring that all individuals who benefit financially from the COUNTY through contracts are in compliance with their court-ordered child, family and spousal support obligations in order to mitigate the economic burden otherwise imposed upon the COUNTY and its taxpayers.

8.14.2 As required by the COUNTY's Child Support Compliance Program (County Code Chapter 2.200) and without limiting the CONTRACTOR's duty under this Contract to comply with all applicable provisions of law, the CONTRACTOR warrants that it is now in compliance and shall during the term of this Contract maintain in compliance with employment and wage reporting requirements as required by the Federal Social Security Act (42

USC Section 653a) and California Unemployment Insurance Code Section 1088.5, and shall implement all lawfully served Wage and Earnings Withholding Orders or Child Support Services Department Notices of Wage and Earnings Assignment for Child, Family or Spousal Support, pursuant to Code of Civil Procedure Section 706.031 and Family Code Section 5246(b).

8.15 COUNTY's Quality Assurance Plan

The COUNTY or its agent will evaluate CONTRACTOR's performance under this Contract on not less than an annual basis. Such evaluation will include assessing the CONTRACTOR's compliance with all Contract terms and conditions and performance standards. CONTRACTOR deficiencies which COUNTY determines are severe or continuing and that may place performance of the Contract in jeopardy if not corrected will be reported to the Board of Supervisors.

The report will include improvement/corrective action measures taken by the COUNTY and CONTRACTOR. If improvement does not occur consistent with the corrective action measures, the COUNTY may terminate this Contract or impose other penalties as specified in this Contract.

8.16 Damage to COUNTY Facilities, Buildings or Grounds

8.16.1 CONTRACTOR shall repair, or cause to be repaired, at its own cost, any and all damage to COUNTY facilities, buildings, or grounds caused by the CONTRACTOR or employees or agents of the CONTRACTOR. Such repairs shall be made immediately after the CONTRACTOR has become aware of such damage, but in no event later than thirty (30) days after the occurrence.

8.16.2 If the CONTRACTOR fails to make timely repairs, COUNTY may make any necessary repairs. All costs incurred by County, as determined by COUNTY, for such repairs shall be repaid by the CONTRACTOR by cash payment upon demand.

8.17 Employment Eligibility Verification

8.17.1 CONTRACTOR warrants that it fully complies with all federal and State statutes and regulations regarding employment of aliens and others, and that all its employees performing work under this Contract meet the citizenship or alien status requirements set forth in Federal and State statutes and regulations. CONTRACTOR shall obtain, from all employees performing work hereunder, all verification and other documentation of employment eligibility status

required by Federal and State statutes and regulations, including, but not limited to, the Immigration Reform and Control Act of 1986, (P.L. 99-603), or as they currently exist and as they may be hereafter amended. CONTRACTOR shall retain such documentation of all covered employees for the period prescribed by law.

8.17.2 CONTRACTOR shall indemnify, defend and hold harmless, the COUNTY, its agents, officers and employees from employer sanctions and any other liability which may be assessed against the CONTRACTOR or the COUNTY or both in connection with any alleged violation of Federal or State statutes or regulations pertaining to the eligibility for employment of any persons performing work under this Contract.

8.18 Facsimile Representations

COUNTY and the CONTRACTOR hereby agree to regard facsimile representations of original signatures of authorized officers of each party, when appearing in appropriate places on the Amendments prepared pursuant to subparagraph 8.1, and received via communications facilities, as legally sufficient evidence that such original signatures have been affixed to Amendments to this Contract, such that the parties need not follow up facsimile transmissions of such documents with subsequent (non-facsimile) transmission of "original" versions of such documents.

8.19 Fair Labor Standards

CONTRACTOR shall comply with all applicable provisions of the Federal Fair Labor Standards Act and shall indemnify, defend, and hold harmless the COUNTY and its agents, officers and employees from any and all liability, including, but not limited to, wages, overtime pay, liquidated damages, penalties, court costs and attorneys' fees arising under any wage and hour law, including, but not limited to, the Federal Fair Labor Standards Act, for work performed by the CONTRACTOR's employees for which the COUNTY may be found jointly or solely liable.

8.20 Force Majeure

8.20.1 Neither party shall be liable for such party's failure to perform its obligations under and in accordance with this Contract, if such failure arises out of fires, floods, epidemics, quarantine restrictions, other natural occurrences, strikes, lockouts (other than a lockout by such party or any of such party's Subcontractors), freight embargoes, or other similar events to those described above, but in every such case the failure to perform must be totally beyond the

control and without any fault or negligence of such party (such events are referred to in this subparagraph as "force majeure events").

8.20.2 Notwithstanding the foregoing, a default by a Subcontractor of CONTRACTOR shall not constitute a force majeure event, unless such default arises out of causes beyond the control of both CONTRACTOR and such Subcontractor, and without any fault or negligence of either of them. In such case, CONTRACTOR shall not be liable for failure to perform, unless the goods or services to be furnished by the Subcontractor were obtainable from other sources in sufficient time to permit CONTRACTOR to meet the required performance schedule. As used in this subparagraph, the term "Subcontractor" and "Subcontractors" mean Subcontractors at any tier.

8.20.3 In the event CONTRACTOR's failure to perform arises out of a force majeure event, CONTRACTOR agrees to use commercially reasonable best efforts to obtain goods or services from other sources, if applicable, and to otherwise mitigate the damages and reduce the delay caused by such force majeure event.

8.21 Governing Law, Jurisdiction, and Venue

This Contract shall be governed by, and construed in accordance with, the laws of the State of California. The CONTRACTOR agrees and consents to the exclusive jurisdiction of the courts of the State of California for all purposes regarding this Contract and further agrees and consents that venue of any action brought hereunder shall be exclusively in the County of Los Angeles.

8.22 Independent Contractor Status

8.22.1 This Contract is by and between the COUNTY and the CONTRACTOR and is not intended, and shall not be construed, to create the relationship of agent, servant, employee, partnership, joint venture or association, as between COUNTY and the CONTRACTOR. The employees and agents of one party shall not be, or be construed to be, the employees or agents of the other party for any purpose whatsoever.

8.22.2 CONTRACTOR shall be solely liable and responsible for providing to, or on behalf of, all persons performing work pursuant to this Contract all compensation and benefits. The COUNTY shall have no liability or responsibility for the payment of any salaries, wages,

unemployment benefits, disability benefits, federal, State, or local taxes, or other compensation, benefits or taxes for any personnel provided by or on behalf of the CONTRACTOR.

8.22.3 CONTRACTOR understands and agrees that all persons performing work pursuant to this Contract are, for purposes of Workers' Compensation liability, solely employees of the CONTRACTOR and not employees of the COUNTY. The CONTRACTOR shall be solely liable and responsible for furnishing any and all Workers' Compensation benefits to any person as a result of any injuries arising from or connected with any work performed by or on behalf of the CONTRACTOR pursuant to this Contract.

8.22.4 CONTRACTOR shall adhere to the provisions stated in subparagraph 7.5 - Confidentiality.

8.23 Indemnification

CONTRACTOR shall indemnify, defend and hold harmless the COUNTY, its Special Districts, elected and appointed officers, employees, agents and volunteers ("County Indemnitees") from and against any and all liability, including but not limited to demands, claims, actions, fees, costs and expenses (including attorney and expert witness fees), arising from and/or relating to this Contract, except for such loss or damage arising from the sole negligence or willful misconduct of the County Indemnitees.

8.24 General Provisions for all Insurance Coverage

Without limiting CONTRACTOR's indemnification of the COUNTY, and in the performance of this Contract and until all of its obligations pursuant to this Contract have been met, CONTRACTOR shall provide and maintain at its own expense insurance coverage satisfying the requirements specified in subparagraphs 8.24 and 8.25 of this Contract. These minimum insurance coverage terms, types and limits (the "Required Insurance") also are in addition to and separate from any other contractual obligation imposed upon CONTRACTOR pursuant to this Contract. The COUNTY in no way warrants that the Required Insurance is sufficient to protect the CONTRACTOR for liabilities which may arise from or relate to this Contract.

8.24.1 Evidence of Coverage and Notice to COUNTY

- Certificate(s) of insurance coverage (Certificate) satisfactory to COUNTY, and a copy of an Additional Insured endorsement confirming COUNTY and its Agents (defined below) has been

given Insured status under the CONTRACTOR's General Liability policy, shall be delivered to COUNTY at the address shown below and provided prior to commencing services under this Contract.

- Renewal Certificates shall be provided to COUNTY not less than ten (10) days prior to CONTRACTOR's policy expiration dates. The COUNTY reserves the right to obtain complete, certified copies of any required CONTRACTOR and/or Subcontractor insurance policies at any time.
- Certificates shall identify all Required Insurance coverage types and limits specified herein, reference this Contract by name or number, and be signed by an authorized representative of the insurer(s). The Insured party named on the Certificate shall match the name of the CONTRACTOR identified as the contracting party in this Contract. Certificates shall provide the full name of each insurer providing coverage, its NAIC (National Association of Insurance Commissioners) identification number, its financial rating, the amounts of any policy deductibles or self-insured retentions exceeding fifty thousand (\$50,000.00) dollars, and list any COUNTY required endorsement forms.
- Neither the COUNTY's failure to obtain, nor the COUNTY's receipt of, or failure to object to a non-complying insurance certificate or endorsement, or any other insurance documentation or information provided by the CONTRACTOR, its insurance broker(s) and/or insurer(s), shall be construed as a waiver of any of the Required Insurance provisions.

Certificates and copies of required endorsement shall be sent to:

County of Los Angeles
Department of Children and Family Services
Contracts Administration Division
Attention: Contract Administrator
425 Shatto Place, Room 400
Los Angeles, CA 90020

CONTRACTOR also shall promptly report to COUNTY any injury or property damage accident or incident, including any injury to a CONTRACTOR employee occurring on County property, and any loss, disappearance, destruction, misuse, or theft of County property, monies or securities entrusted to CONTRACTOR. CONTRACTOR also shall promptly notify COUNTY of any third

party claim or suit filed against CONTRACTOR or any of its Subcontractors which arises from or relates to this Contract, and could result in the filing of a claim or lawsuit against CONTRACTOR and/or COUNTY.

8.24.2 Additional Insured Status and Scope of Coverage

The County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, Employees and Volunteers (collectively County and its Agents) shall be provided additional insured status under CONTRACTOR's General Liability policy with respect to liability arising out of CONTRACTOR's ongoing and completed operations performed on behalf of the COUNTY. COUNTY and its Agents additional insured status shall apply with respect to liability and defense of suits arising out of the CONTRACTOR's acts or omissions, whether such liability is attributable to the CONTRACTOR or to the COUNTY. The full policy limits and scope of protection also shall apply to the COUNTY and its Agents as an additional insured, even if they exceed the COUNTY's minimum Required Insurance specifications herein. Use of an automatic additional insured endorsement form is acceptable providing it satisfies the Required Insurance provisions herein.

8.24.3 Cancellation of or Changes in Insurance

CONTRACTOR shall provide COUNTY with, or CONTRACTOR's insurance policies shall contain a provision that COUNTY shall receive, written notice of cancellation or any change in Required Insurance, including insurer, limits of coverage, term of coverage or policy period. The written notice shall be provided to COUNTY at least ten (10) days in advance of cancellation for non-payment of premium and thirty (30) days in advance for any other cancellation or policy change. Failure to provide written notice of cancellation or any change in Required Insurance may constitute a material breach of the Contract, in the sole discretion of the COUNTY, upon which the COUNTY may suspend or terminate this Contract.

8.24.4 Failure to Maintain Insurance

CONTRACTOR's failure to maintain or to provide acceptable evidence that it maintains the Required Insurance shall constitute a material breach of the Contract, upon which COUNTY immediately may withhold payments due to CONTRACTOR, and/or suspend or terminate this Contract. COUNTY, at its sole discretion, may obtain damages from CONTRACTOR resulting from said breach.

Alternatively, the COUNTY may purchase the Required Insurance, and without further notice to CONTRACTOR, deduct the premium cost from sums due to CONTRACTOR or pursue CONTRACTOR reimbursement.

8.24.5 Insurer Financial Ratings

Coverage shall be placed with insurers acceptable to the COUNTY with A.M. Best ratings of not less than A:VII unless otherwise approved by COUNTY.

8.24.6 CONTRACTOR's Insurance Shall Be Primary

CONTRACTOR's insurance policies, with respect to any claims related to this Contract, shall be primary with respect to all other sources of coverage available to CONTRACTOR. Any COUNTY maintained insurance or self-insurance coverage shall be in excess of and not contribute to any CONTRACTOR coverage.

8.24.7 Waivers of Subrogation

To the fullest extent permitted by law, the CONTRACTOR hereby waives its rights and its insurer(s)' rights of recovery against COUNTY under all the Required Insurance for any loss arising from or relating to this Contract. The CONTRACTOR shall require its insurers to execute any waiver of subrogation endorsements which may be necessary to affect such waiver.

8.24.8 Sub-Contractor Insurance Coverage Requirements

CONTRACTOR shall include all Subcontractors as insureds under CONTRACTOR's own policies, or shall provide COUNTY with each Subcontractor's separate evidence of insurance coverage. CONTRACTOR shall be responsible for verifying each Subcontractor complies with the Required Insurance provisions herein, and shall require that each Subcontractor name the COUNTY and CONTRACTOR as additional insureds on the Subcontractor's General Liability policy. CONTRACTOR shall obtain COUNTY's prior review and approval of any Subcontractor request for modification of the Required Insurance.

8.24.9 Deductibles and Self-Insured Retentions (SIRs)

CONTRACTOR's policies shall not obligate the COUNTY to pay any portion of any CONTRACTOR deductible or SIR. The COUNTY

retains the right to require CONTRACTOR to reduce or eliminate policy deductibles and SIRs as respects the COUNTY, or to provide a bond guaranteeing CONTRACTOR's payment of all deductibles and SIRs, including all related claims investigation, administration and defense expenses. Such bond shall be executed by a corporate surety licensed to transact business in the State of California.

8.24.10 Claims Made Coverage

If any part of the Required Insurance is written on a claims made basis, any policy retroactive date shall precede the effective date of this Contract. CONTRACTOR understands and agrees it shall maintain such coverage for a period of not less than three (3) years following Contract expiration, termination or cancellation.

8.24.11 Application of Excess Liability Coverage

CONTRACTORS may use a combination of primary and excess insurance policies which provide coverage as broad as the underlying primary policies, to satisfy the Required Insurance provisions.

8.24.12 Separation of Insureds

All liability policies shall provide cross-liability coverage as would be afforded by the standard ISO (Insurance Services Office, Inc.) separation of insureds provision with no insured versus insured exclusions or limitations.

8.24.13 Alternative Risk Financing Programs

The COUNTY reserves the right to review, and then approve, CONTRACTOR use of self-insurance, risk retention groups, risk purchasing groups, pooling arrangements and captive insurance to satisfy the Required Insurance provisions. The COUNTY and its Agents shall be designated as an Additional Covered Party under any approved program.

8.24.14 COUNTY Review and Approval of Insurance Requirements

The COUNTY reserves the right to review and adjust the Required Insurance provisions, conditioned upon COUNTY's determination of changes in risk exposures.

8.25 Insurance Coverage

8.25.1 **Commercial General Liability** insurance (providing scope of coverage equivalent to ISO policy form CG 00 01), naming COUNTY and its Agents as an additional insured, with limits of not less than:

General Aggregate:	\$2 million
Products/Completed Operations Aggregate:	\$1 million
Personal and Advertising Injury:	\$1 million
Each Occurrence:	\$1 million

8.25.2 **Automobile Liability** insurance (providing scope of coverage equivalent to ISO policy form CA 00 01) with limits of not less than \$1 million for bodily injury and property damage, in combined or equivalent split limits, for each single accident. Insurance shall cover liability arising out of CONTRACTOR's use of autos pursuant to this Contract, including owned, leased, hired, and/or non-owned autos, as each may be applicable.

8.25.3 **Workers' Compensation and Employer's Liability** insurance or qualified self-insurance satisfying statutory requirements, which includes Employers' Liability coverage with limits of not less than one million (\$1,000,000) per accident. If CONTRACTOR will provide leased employees, or, is an employee leasing or temporary staffing firm or a professional employer organization (PEO), coverage also shall include an Alternate Employer Endorsement (providing scope of coverage equivalent to ISO policy form WC 00 03 01 A) naming the COUNTY as the Alternate Employer, and the endorsement form shall be modified to provide that COUNTY will receive not less than thirty (30) days advance written notice of cancellation of this coverage provision. If applicable to CONTRACTOR's operations, coverage also shall be arranged to satisfy the requirements of any federal workers or workmen's compensation law or any federal occupational disease law

8.25.4 Unique Insurance Coverage

- a. **Sexual Misconduct Liability:** Insurance covering actual or alleged claims for sexual misconduct and/or molestation with limits of not less than two million (\$2,000,000) per claim and two million (\$2,000,000) aggregate, and claims for negligent employment, investigation, supervision, training or retention of, or failure to report to proper authorities, a person(s) who

committed any act of abuse, molestation, harassment, mistreatment or maltreatment of a sexual nature.

- b. **Professional Liability-Errors and Omissions:** Insurance covering CONTRACTOR's liability arising from or related to this Contract, with limits of not less than \$1 million per claim and two (\$2) million aggregate. Further, CONTRACTOR understands and agrees it shall maintain such coverage for a period of not less than three (3) years following this Agreement's expiration, termination or cancellation.

8.26 LIQUIDATED DAMAGES

8.26.1 If, in the judgment of the Director, the CONTRACTOR is deemed to be non-compliant with the terms and obligations assumed hereby, the Director, or his/her designee, at his/her option, in addition to, or in lieu of, other remedies provided herein, may withhold the entire monthly payment or deduct pro rata from the CONTRACTOR's invoice for work not performed. A description of the work not performed and the amount to be withheld or deducted from payments to the CONTRACTOR from the COUNTY, will be forwarded to the CONTRACTOR by the Director, or his/her designee, in a written notice describing the reasons for said action.

8.26.2 If the Director, or his/her designee, determines that there are deficiencies in the performance of this Contract that the Director, or his/her designee, deems are correctable by the CONTRACTOR over a certain time span, the Director, or his/her designee, will provide a written notice to the CONTRACTOR to correct the deficiency within specified time frames. Should the CONTRACTOR fail to correct deficiencies within said time frame, the Director, or his/her designee, may:

- a. Deduct from the CONTRACTOR's payment, pro rata, those applicable portions of the Monthly Contract Sum; and/or
- b. Deduct liquidated damages. If the parties agree that it will be impracticable or extremely difficult to fix the extent of actual damages resulting from the failure of the CONTRACTOR to correct a deficiency within the specified time frame. The parties hereby agree that under the current circumstances a reasonable estimate of such damages shall be agreed upon at such time and that the CONTRACTOR shall be liable to the COUNTY for liquidated damages in said amount. Said amount

shall be deducted from the COUNTY's payment to the CONTRACTOR; and/or

- c. Upon giving five (5) days notice to the CONTRACTOR for failure to correct the deficiencies, the COUNTY may correct any and all deficiencies and the total costs incurred by the COUNTY for completion of the work by an alternate source, whether it be COUNTY forces or separate private CONTRACTOR, will be deducted and forfeited from the payment to the CONTRACTOR from the COUNTY, as determined by the COUNTY.

8.26.3 The action noted in subparagraph 8.26.2 shall not be construed as a penalty, but as adjustment of payment to the CONTRACTOR to recover the COUNTY cost due to the failure of the CONTRACTOR to complete or comply with the provisions of this Contract.

8.26.4 This subparagraph shall not, in any manner, restrict or limit the COUNTY's right to damages for any breach of this Contract provided by law or as specified in subparagraph 8.26.2, and shall not, in any manner, restrict or limit the COUNTY's right to terminate this Contract as agreed to herein.

8.27 Most Favored Public Entity

If the CONTRACTOR's prices decline, or should the CONTRACTOR at any time during the term of this Contract provide the same goods or services under similar quantity and delivery conditions to the State of California or any county, municipality or district of the State at prices below those set forth in this Contract, then such lower prices shall be immediately extended to the COUNTY.

8.28 Non-Discrimination and Affirmative Action

8.28.1 CONTRACTOR certifies and agrees that all persons employed by it, its affiliates, subsidiaries or holding companies, are and shall be treated equally without regard to or because of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, in compliance with all applicable Federal and State anti-discrimination laws and regulations.

8.28.2 CONTRACTOR shall certify to, and comply with, the provisions of Exhibit D, Contractor's Equal Employment Opportunity (EEO) Certification.

- 8.28.3 CONTRACTOR shall take affirmative action to ensure that applicants are employed and that employees are treated during employment without regard to race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status or political affiliation, in compliance with all applicable Federal and State anti-discrimination laws and regulations. Such action shall include, but is not limited to: employment, upgrading, demotion, transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.
- 8.28.4 CONTRACTOR certifies and agrees that it will deal with its Subcontractors, bidders, or vendors without regard to or because of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status or political affiliation.
- 8.28.5 CONTRACTOR certifies and agrees that it, its affiliates, subsidiaries, or holding companies shall comply with all applicable Federal and State laws and regulations to the end that no person shall, on the grounds of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program or activity supported by this Contract.
- 8.28.6 CONTRACTOR shall allow COUNTY representatives access to CONTRACTOR's employment records during regular business hours to verify compliance with the provisions of this subparagraph 8.28 when so requested by COUNTY.
- 8.28.7 If the COUNTY finds that any of the above provisions of this subparagraph 8.28 have been violated, such violation shall constitute a material breach of Contract upon which COUNTY may determine to terminate this Contract. While the COUNTY reserves the right to determine independently that the anti-discrimination provisions of this Contract have been violated, in addition, a determination by the California Fair Employment Opportunity Commission or the Federal Equal Employment Opportunity Commission that the CONTRACTOR has violated Federal or State anti-discrimination laws or regulations shall constitute a finding by COUNTY that the CONTRACTOR has violated the anti-discrimination provisions of this Contract.

8.28.8 The parties agree that in the event the CONTRACTOR violates any of the anti-discrimination provisions of this Contract, the COUNTY shall, at its sole option, be entitled to the sum of five hundred dollars (\$500) for each such violation pursuant to California Civil Code Section 1671 as liquidated damages in lieu of terminating or suspending this Contract.

8.29 Non Exclusivity

Nothing herein is intended nor shall be construed as creating any exclusive arrangement with the CONTRACTOR. This Contract shall not restrict COUNTY from acquiring similar, equal or like goods and/or services from other entities or sources.

8.30 Notice of Delays

Except as otherwise provided under this Contract, when either party has knowledge that any actual or potential situation is delaying or threatens to delay the timely performance of this Contract, that party shall, within one (1) day, give written notice thereof, including all relevant information with respect thereto, to the other party.

8.31 Notice of Disputes

CONTRACTOR shall bring to the attention of the COUNTY Program Manager any dispute between the COUNTY and the CONTRACTOR regarding the performance of services as stated in this Contract. If the COUNTY Program Manager is not able to resolve the dispute, the Director, or designee shall resolve it.

8.32 Notice to Employees Regarding the Federal Earned Income Credit

CONTRACTOR shall notify its employees, and shall require each Subcontractor to notify its employees, that they may be eligible for the Federal Earned Income Credit under the federal income tax laws. Such notice shall be provided in accordance with the requirements set forth in Internal Revenue Services Notice No.1015.

8.33 Notice to Employees Regarding the Safely Surrendered Baby Law

CONTRACTOR shall notify and provide to its employees, and shall require each Subcontractor to notify and provide to its employees, a fact sheet regarding the Safely Surrendered Baby Law, its implementation in Los Angeles County, and where and how to safely surrender a baby. The fact

sheet is set forth in Exhibit I, of this Contract and is also available on the Internet at www.babysafela.org for printing purposes.

8.34 Notices

All notices or demands required or permitted to be given or made under this Contract shall be given in writing and shall be hand delivered with signed receipt or mailed by first-class registered or certified mail, postage prepaid, addressed to the parties as identified in Exhibit F, CONTRACTOR's Administration and Exhibit E, COUNTY's Administration. Addresses may be changed by either party giving 10 days' prior written notice thereof to the other party. The Director shall have the authority to issue all notices or demands required or permitted by the COUNTY under this Contract.

8.35 Prohibition Against Inducement or Persuasion

Notwithstanding the above, CONTRACTOR and COUNTY agree that, during the term of this Contract and for a period of one year thereafter, neither party shall in any way intentionally induce or persuade any employee of one party to become an employee or agent of the other party. No bar exists against any hiring action initiated through a public announcement.

8.36 Public Records Act

8.36.1 Any documents submitted by CONTRACTOR; all information obtained in connection with the COUNTY's right to audit and inspect CONTRACTOR's documents, books, and accounting records pursuant to subparagraph 8.38 - Record Retention and Inspection/Audit Settlement, of this Contract, as well as those documents which were required to be submitted in response to the solicitation process for this Contract, become the exclusive property of the COUNTY. All such documents become a matter of public record and shall be regarded as public records. Exceptions will be those elements in California Government Code Section 6250, et seq. (Public Records Act) and which are marked "trade secret," "confidential," or "proprietary." The COUNTY shall not in any way be liable or responsible for the disclosure of any such records including, without limitation, those so marked, if disclosure is required by law, or by an order of court of competent jurisdiction.

8.36.2 In the event the COUNTY is required to defend an action on a Public Records Act request for any of the aforementioned documents, information, books, records, and/or contents of a bid or

proposal marked “trade secret,” “confidential,” or “proprietary,” the CONTRACTOR agrees to defend and indemnify the COUNTY from all costs and expenses, including reasonable attorney’s fees, in action or liability arising under the Public Records Act.

8.37 Publicity

8.37.1 CONTRACTOR shall not disclose any details in connection with this Contract to any person or entity except as may be otherwise provided hereunder or required by law. However, in recognizing the CONTRACTOR’s need to identify its services and related clients to sustain itself, the COUNTY shall not inhibit the CONTRACTOR from publishing its role under this Contract within the following conditions:

- a. CONTRACTOR shall develop all publicity material in a professional manner; and
- b. During the term of this Contract, the CONTRACTOR shall not, and shall not authorize another to, publish or disseminate any commercial advertisements, press releases, feature articles or other materials using the name of the COUNTY without the prior written consent of the COUNTY’s Program Manager. The COUNTY shall not unreasonably withhold written consent.

8.37.2 CONTRACTOR may, without the prior written consent of COUNTY, indicate in its proposals and sales materials that it has been awarded this Contract with the County of Los Angeles, provided that the requirements of this subparagraph 8.37 shall apply.

8.38 Record Retention and Inspection/Audit Settlement

CONTRACTOR shall maintain accurate and complete financial records of its activities and operations relating to this Contract in accordance with generally accepted accounting principles. CONTRACTOR shall also maintain accurate and complete employment and other records relating to its performance of this Contract. CONTRACTOR agrees that the COUNTY, or its authorized representatives, the State of California, or its authorized representatives, or the Federal Government, or its authorized representatives, including, but not limited to, the U. S. Comptroller General, shall have access to and the right to examine, audit, excerpt, copy or transcribe any pertinent transaction, activity or records relating to this Contract. All financial records, supporting documents, statistical records, and all other records pertinent to the award and performance of this Contract, including, but not limited to, all timecards and other employment records and confidential information, shall be kept and maintained by

CONTRACTOR at a location in Los Angeles County and shall be made available to COUNTY, State or federal authorities, during the term of this Contract and either for a period of five (5) years after the expiration of the term of this Contract or for a period of three (3) years from the COUNTY's final payment under this contract, whichever date is later. If before the expiration of that time period, any litigation, claim, financial management review or audit is started, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken. If such material is located outside of Los Angeles County, then, at COUNTY's sole option, CONTRACTOR shall pay COUNTY for travel per diem and other costs incurred by COUNTY in exercising its rights under this Section. CONTRACTOR shall maintain all records in accordance with California State records and retention regulations including the provisions of California Department of Social Services Manual, Section 23-353.

8.38.1 In the event that an audit of the CONTRACTOR is conducted specifically regarding this Contract by any Federal or State auditor, or by any auditor or accountant employed by the CONTRACTOR or otherwise, then the CONTRACTOR shall file a copy of such audit report with the COUNTY's Auditor-Controller within 30 days of the CONTRACTOR's receipt thereof, unless otherwise provided by applicable federal or State law or under this Contract. Subject to applicable law, the COUNTY shall make a reasonable effort to maintain the confidentiality of such audit report(s).

8.38.2 Failure on the part of the CONTRACTOR to comply with any of the provisions of this Section shall constitute a material breach of this Contract upon which the COUNTY may terminate or suspend this Contract.

8.38.3 If, at any time during the term of this Contract or within five (5) years after the expiration or termination of this Contract, representatives of the COUNTY conduct an audit of the CONTRACTOR regarding the work performed under this Contract, and if such audit finds that the COUNTY's dollar liability for any such work is less than payments made by the COUNTY to the CONTRACTOR, then the difference shall be either: a) repaid by the CONTRACTOR to the COUNTY by cash payment upon demand; or b) at the sole option of the COUNTY's Auditor-Controller, deducted from any amounts due to the CONTRACTOR from the COUNTY, whether under this Contract or otherwise. If such audit finds that the COUNTY's dollar liability for such work is more than the payments made by the COUNTY to the CONTRACTOR, then the difference shall be paid to the CONTRACTOR by the COUNTY by cash payment, provided that in

no event shall the COUNTY's maximum obligation for this Contract exceed the funds appropriated by the COUNTY for the purpose of this Contract.

8.38.4 CONTRACTOR shall be responsible for conducting annual financial audits of its agency and its Subcontractor(s) if required by COUNTY and/or the California Department of Social Services (CDSS), to be conducted by an independent audit firm and in accordance with generally accepted auditing standards. Within 30 calendar days after issuance of such audit reports, CONTRACTOR shall forward copies of such reports to DCFS.

8.39 Recycled Bond Paper

Consistent with the Board of Supervisors' policy to reduce the amount of solid waste deposited at the COUNTY landfills, the CONTRACTOR agrees to use recycled-content paper to the maximum extent possible on this Contract.

8.40 Subcontracting

8.40.1 The requirements of this Contract may not be subcontracted by the CONTRACTOR **without the advance approval of the COUNTY**. Any attempt by the CONTRACTOR to subcontract without the prior consent of the COUNTY may be deemed a material breach of this Contract.

8.40.2 If the CONTRACTOR desires to subcontract, the CONTRACTOR shall provide the following information promptly at the COUNTY's request:

- a. A description of the work to be performed by the Subcontractor;
- b. A draft copy of the proposed subcontract; and
- c. Other pertinent information and/or certifications requested by the COUNTY.

8.40.3 CONTRACTOR shall indemnify, defend, and hold the COUNTY harmless with respect to the activities of each and every Subcontractor in the same manner and to the same degree as if such Subcontractor(s) were CONTRACTOR employees.

8.40.4 CONTRACTOR shall remain fully responsible for all performances required of it under this Contract, including those that the

CONTRACTOR has determined to subcontract, notwithstanding the COUNTY's approval of the CONTRACTOR's proposed subcontract.

8.40.5 COUNTY's consent to subcontract shall not waive the COUNTY's right to prior and continuing approval of any and all personnel, including Subcontractor employees, providing services under this Contract. The CONTRACTOR is responsible to notify its Subcontractors of this COUNTY right.

8.40.6 The COUNTY Program Manager is authorized to act for and on behalf of the COUNTY with respect to approval of any subcontract and Subcontractor employees. After approval of the subcontract by the COUNTY, CONTRACTOR shall forward a fully executed subcontract to the COUNTY for their files.

8.40.7 The CONTRACTOR shall be solely liable and responsible for all payments or other compensation to all Subcontractors and their officers, employees, agents, and successors in interest arising through services performed hereunder, notwithstanding the COUNTY's consent to subcontract.

8.40.8 CONTRACTOR shall obtain the following from each Subcontractor before any Subcontractor employee may perform any work under any subcontract to this Contract. CONTRACTOR shall maintain and make available upon request of COUNTY Program Manager all the following documents:

8.40.8.1 An executed Exhibit G2, "CONTRACTOR's Employee Acknowledgment and Confidentiality Agreement", executed by each Subcontractor and each of Subcontractor's employees approved to perform work hereunder.

8.40.8.2 Certificates of Insurance which establish that the Subcontractor maintains all the programs of insurance required by Insurance Coverage Requirements, subparagraph 8.25 of this Contract, and

8.40.8.3 The Tax Identification Number of the subcontracting agency to be placed on the signature page of the subcontract. This Tax Identification Number shall not be identical to the CONTRACTOR's Tax Identification Number.

8.40.9 No subcontract shall alter in any way any legal responsibility of CONTRACTOR to COUNTY. CONTRACTOR shall remain responsible for any and all performance required of it under this Contract, including, but not limited to, the obligation to properly supervise, coordinate and perform all work required hereunder.

8.40.10 Notwithstanding any other provision of this Contract, the parties do not in any way intend that any person or entity shall acquire any rights as a third party beneficiary of this Contract.

8.41 Termination for Breach of Warranty to Maintain Compliance with COUNTY’s Child Support Compliance Program

Failure of the CONTRACTOR to maintain compliance with the requirements set forth in subparagraph 8.14, “CONTRACTOR’s Warranty of Adherence to County’s Child Support Compliance Program,” shall constitute default under this Contract. Without limiting the rights and remedies available to the COUNTY under any other provision of this Contract, failure of the CONTRACTOR to cure such default within 90 calendar days of written notice shall be grounds upon which the COUNTY may terminate this Contract pursuant to subparagraph 8.43 – Termination for Default and pursue debarment of the CONTRACTOR, pursuant to County Code Chapter 2.202.

8.42 Termination for Convenience

8.42.1 This Contract may be terminated, in whole or in part, from time to time, when such action is deemed by the COUNTY, in its sole discretion, to be in its best interest. Termination of work hereunder shall be effected by notice of termination to CONTRACTOR specifying the extent to which performance of work is terminated and the date upon which such termination becomes effective. The date upon which such termination becomes effective shall be no less than 10 days after the notice is sent.

8.42.2 After receipt of a notice of termination and except as otherwise directed by COUNTY, the CONTRACTOR shall:

- a. Stop work under this Contract on the date and to the extent specified in such notice, and
- b. Complete performances of such part of the work as shall not have been terminated by such notice.

8.42.3 All material including books, records, documents, or other evidence bearing on the costs and expenses of the CONTRACTOR under this Contract shall be maintained by the CONTRACTOR in accordance with subparagraph 8.38, Record Retention and Inspection-Audit Settlement.

8.43 Termination for Default

8.43.1 COUNTY may, by written notice to the CONTRACTOR, terminate the whole or any part of this Contract, if, in the judgment of COUNTY Program Manager:

- a. CONTRACTOR has materially breached this Contract; or
- b. CONTRACTOR fails to timely provide and/or satisfactorily perform any task, deliverable, service, or other work required under this Contract; or
- c. CONTRACTOR fails to demonstrate a high probability of timely fulfillment of performance requirements under this Contract, or of any obligations of this Contract and in either case, fails to demonstrate convincing progress toward a cure within five (5) working days (or such longer period as the COUNTY may authorize in writing) after receipt of written notice from the COUNTY specifying such failure.

8.43.2 In the event COUNTY terminates this Contract in whole or in part as provided in subparagraph 8.43.1, the COUNTY may procure, upon such terms and in such manner, as COUNTY may deem appropriate, services similar to those so terminated. The CONTRACTOR shall be liable to the COUNTY for any and all excess cost incurred by the COUNTY, as determined by the COUNTY, for such similar goods and services. The CONTRACTOR shall continue the performance of this Contract to the extent not terminated under the provisions of this subparagraph.

8.43.3 Except with respect to defaults of any Subcontractor, the CONTRACTOR shall not be liable for any such excess costs of the type identified in subparagraph 8.43.2 if its failure to perform this Contract arises out of causes beyond the control and without the fault or negligence of the CONTRACTOR. Such causes may include, but are not limited to: acts of God or of the public enemy, acts of the COUNTY in either its sovereign or contractual capacity, acts of Federal or State governments in their sovereign capacities, fires, floods, epidemics, quarantine restrictions, strikes, freight

embargoes, and unusually severe weather; but in every case, the failure to perform must be beyond the control and without the fault or negligence of the CONTRACTOR. If the failure to perform is caused by the default of a Subcontractor, and if such default arises out of causes beyond the control of both the CONTRACTOR and Subcontractor, and without the fault or negligence of either of them, the CONTRACTOR shall not be liable for any such excess costs for failure to perform, unless the goods or services to be furnished by the Subcontractor were obtainable from other sources in sufficient time to permit the CONTRACTOR to meet the required performance schedule. As used in this subparagraph, the terms "Subcontractor" and "Subcontractors" mean Subcontractor(s) at any tier.

8.43.4 If, after the COUNTY has given notice of termination under the provisions of this subparagraph 8.43, it is determined by the COUNTY that the CONTRACTOR was not in default under the provisions of this subparagraph 8.43, or that the default was excusable under the provisions of subparagraph 8.43.3, the rights and obligations of the parties shall be the same as if the notice of termination had been issued pursuant to subparagraph 8.42 - Termination for Convenience.

8.43.5 In the event the COUNTY terminates this Contract in its entirety due to the CONTRACTOR's default as provided in subparagraph 8.43.1, the CONTRACTOR and the COUNTY agree that the COUNTY will have actual damages, which are extremely difficult to calculate and impracticable to fix and which will include, but are not limited to, the COUNTY's costs of procurement of replacement services and costs incurred due to delays in procuring such services. Therefore, the CONTRACTOR and the COUNTY agree that the COUNTY shall, at its sole option and in lieu of the provisions of subparagraph 8.26, be entitled to liquidated damages from the CONTRACTOR, pursuant to California Civil Code Section 1671, in the amount of Five Thousand Dollars (\$5,000) or five (5) percent of the applicable year's Contract sum, whichever is less, as equitable compensation to the COUNTY for such actual damages. This amount of liquidated damages shall be either paid by the CONTRACTOR to the COUNTY by cash payment upon demand or, at the sole discretion of COUNTY, or designee, deducted from any amounts due to the CONTRACTOR by the COUNTY, whether under this Contract or otherwise.

8.43.6 These liquidated damages shall be in addition to any credits, which the COUNTY is otherwise entitled to under this Contract, and the CONTRACTOR's payment of these liquidated damages shall not in

any way change, or affect the provisions of subparagraph 8.23, Indemnification.

8.43.7 The rights and remedies of the COUNTY provided in this subparagraph 8.43 shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

8.44 Termination for Improper Consideration

8.44.1 COUNTY may, by written notice to CONTRACTOR, immediately terminate the right of the CONTRACTOR to proceed under this Contract if it is found that consideration, in any form, was offered or given by the CONTRACTOR, either directly or through an intermediary, to any COUNTY officer, employee or agent with the intent of securing this Contract or securing favorable treatment with respect to the award, amendment or extension of this Contract or the making of any determinations with respect to the CONTRACTOR's performance pursuant to this Contract. In the event of such termination, the COUNTY shall be entitled to pursue the same remedies against CONTRACTOR as it could pursue in the event of default by the CONTRACTOR.

8.44.2 CONTRACTOR shall immediately report any attempt by a COUNTY officer or employee to solicit such improper consideration. The report shall be made either to the COUNTY manager charged with the supervision of the employee or to the COUNTY Auditor-Controller's Employee Fraud Hotline at (800) 544-6861.

8.44.3 Among other items, such improper consideration may take the form of cash, discounts, services, the provision of travel or entertainment, or tangible gifts.

8.45 Termination for Insolvency

8.45.1 COUNTY may terminate this Contract forthwith in the event of the occurrence of any of the following:

- a. Insolvency of the CONTRACTOR. The CONTRACTOR shall be deemed to be insolvent if it has ceased to pay its debts for at least sixty (60) days in the ordinary course of business or cannot pay its debts as they become due, whether or not a petition has been filed under the Federal Bankruptcy Code and whether or not the CONTRACTOR is insolvent within the meaning of the Federal Bankruptcy Code;

- b. The filing of a voluntary or involuntary petition regarding the CONTRACTOR under the Federal Bankruptcy Code;
- c. The appointment of a Receiver or Trustee for the CONTRACTOR; or
- d. The execution by the CONTRACTOR of a general assignment for the benefit of creditors.

8.45.2 The rights and remedies of the COUNTY provided in this subparagraph 8.45 shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

8.46 Termination for Non-Adherence of County Lobbyist Ordinance

CONTRACTOR and each COUNTY lobbyist or COUNTY lobbying firm, as defined in County Code Section 2.160.010, retained by CONTRACTOR, shall fully comply with the County's Lobbyist Ordinance, County Code Chapter 2.160. Failure on the part of CONTRACTOR or any COUNTY lobbyist or COUNTY lobbying firm retained by the CONTRACTOR to fully comply with the County's Lobbyist Ordinance shall constitute a material breach of this Contract, upon which the COUNTY may, in its sole discretion, immediately terminate or suspend this Contract.

8.47 Termination for Non-Appropriation of Funds

Notwithstanding any other provision of this Contract, the COUNTY shall not be obligated for the CONTRACTOR's performance hereunder or by any provision of this Contract during any of the COUNTY's future fiscal years unless and until the COUNTY's Board of Supervisors appropriates funds for this Contract in the COUNTY's budget for each such future fiscal year. In the event that funds are not appropriated for this Contract, then this Contract shall terminate as of June 30 of the last fiscal year for which funds were appropriated. The COUNTY shall notify the CONTRACTOR in writing of any such non-allocation of funds at the earliest possible date.

8.48 Validity

If any provision of this Contract or the application thereof to any person or circumstance is held invalid, the remainder of this Contract and the application of such provision to other persons or circumstances shall not be affected thereby.

8.49 Waiver

No waiver by the COUNTY of any breach of any provision of this Contract shall constitute a waiver of any other breach or of such provision. Failure of the COUNTY to enforce at any time, or from time to time, any provision of this Contract shall not be construed as a waiver thereof. The rights and remedies set forth in this subparagraph 8.49 shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

8.50 Warranty Against Contingent Fees

8.50.1 CONTRACTOR warrants that no person or selling agency has been employed or retained to solicit or secure this Contract upon any Contract or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the CONTRACTOR for the purpose of securing business.

8.50.2 For breach of this warranty, the COUNTY shall have the right to terminate this Contract and, at its sole discretion, deduct from the Contract price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage or contingent fee.

8.51 Warranty of Compliance with COUNTY's Defaulted Property Tax Reduction Program

CONTRACTOR acknowledges that COUNTY has established a goal of ensuring that all individuals and businesses that benefit financially from COUNTY through contract are current in paying their property tax obligations (secured and unsecured roll) in order to mitigate the economic burden otherwise imposed upon COUNTY and its taxpayers.

Unless CONTRACTOR qualifies for an exemption or exclusion, CONTRACTOR warrants and certifies that to the best of its knowledge it is now in compliance, and during the term of this agreement will maintain compliance, with Los Angeles County Code Chapter 2.206.

8.52 Termination for Breach of Warranty to Maintain Compliance with COUNTY's Defaulted Property Tax Reduction Program

Failure of CONTRACTOR to maintain compliance with the requirements set forth in subparagraph 8.51 "Warranty of Compliance with COUNTY's Defaulted Property Tax Reduction Program" shall constitute default under

this Contract. Without limiting the rights and remedies available to COUNTY under any other provision of this Contract, failure of CONTRACTOR to cure such default within ten (10) days of notice shall be grounds upon which COUNTY may terminate this Contract and/or pursue debarment of CONTRACTOR, pursuant to County Code Chapter 2.206.

8.53 Time Off for Voting

CONTRACTOR shall notify its employees, and shall require each Subcontractor to notify and provide to its employees, information regarding the time off for voting law (Elections Code Section 14000). Not less than ten (10) days before every statewide election, every CONTRACTOR and Subcontractors shall keep posted conspicuously at the place of work, if practicable, or elsewhere where it can be seen as employees come or go to their place of work, a notice setting forth the provisions of Section 14000.

9.0 UNIQUE TERMS AND CONDITIONS

9.1 CONTRACTOR's Charitable Activities Compliance

The Supervision of Trustees and Fundraisers for Charitable Purposes Act regulates entities receiving or raising charitable contributions. The "Nonprofit Integrity Act of 2004" (SB 1262, Chapter 919) increased Charitable Purposes Act requirements. By requiring CONTRACTORs to complete the certification in Exhibit M the County seeks to ensure that all COUNTY Contractors which receive or raise charitable contributions comply with California law in order to protect the COUNTY and its taxpayers. A CONTRACTOR that receives or raises charitable contributions without complying with its obligations under California law commits a material breach subjecting it to either contract termination or debarment proceedings or both (County Code Chapter 2.202).

9.2 Hours of Operation

CONTRACTOR shall be available to provide the services defined through Exhibit A (Appendix B1 to the RFP), Family Preservation (FP) SOW, twenty-four (24) hours a day, seven (7) days per week, to meet the needs of families served. CONTRACTOR shall provide the name and phone number of contact person(s) for services after normal business hours.

CONTRACTOR shall adhere to the following hours of operations:

- FP Assessment Services
 - Monday through Friday, 8:00 a.m. to 8:00 p.m.
 - Saturday **OR** Sunday, 9:00 a.m. to 1:00 p.m.

- FP Assessment Services – Emergency Response Command Post
 - Monday through Friday, 5:00 p.m. to 9:00 a.m.
 - Saturday, Sunday, and COUNTY approved holidays, 24 hours

- FP Intervention Services
 - Monday through Friday, 8:00 a.m. to 8:00 p.m.
 - Saturday **OR** Sunday, 9:00 a.m. to 1:00 p.m.

To modify the hours of operation, CONTRACTOR must submit a written request **and** obtain approval for this request, from the COUNTY Program Manager before any modification to the hours of operation are made.

9.3 Computer Information and Technology Requirements

9.3.1 CONTRACTOR shall provide a computer, within thirty (30) days of commencement of the Contract, and an agreement for the on-site maintenance for the entire term of the Contract with the following:

- IBM or 100% compatible personal computer with at least Pentium Duo-Core and 1 gigahertz (GHz)
- 3 Gigabytes of memory or more
- Desktop screen resolution of 1024 X 768
- Microsoft Windows XP (32-bit), Windows 7 (32-bit), or a fully compatible system
- High Speed internet access
- Internet Explorer 7/8 or fully compatible internet browser software
- Google Chrome internet browser when using Family Assessment Form software
- Establish linkages with the automated Information Technology System (ITS)

9.3.2 CONTRACTOR shall work cooperatively with DCFS' Information Technology Services staff and any contracted program evaluator, if applicable.

9.3.3 CONTRACTOR shall provide data entry staff to process electronic/fully automated invoices for the Web-Based Application System (billing function) that the COUNTY has implemented.

9.3.4 During the term of the Contract, COUNTY will provide software for CONTRACTOR's Web-Based Application System. This software shall be installed and maintained by the COUNTY.

9.4 Former Foster Youth Consideration

Should CONTRACTOR require additional or replacement personnel after the effective date of this Contract to perform services set forth herein, CONTRACTOR shall give consideration (after COUNTY employees, and GAIN/GROW participants as described in subparagraph 8.11) for any such position(s) to qualified former foster youth. CONTRACTOR shall notify COUNTY of any new or vacant positions(s) within CONTRACTOR's firm by sending via U.S. mail or facsimile, a list denoting any position(s) for which hiring is anticipated to:

County of Los Angeles
Department of Children and Family Services
Attention: Division Chief, Emancipation Services Division
3530 Wilshire Blvd., Suite 400
Los Angeles, CA 90010
FAX: (213) 637-0036

9.4.1 The notice sent by CONTRACTOR must indicate the position(s)/title(s) for vacant or new employment opportunity, description of same, requirements/qualifications for position(s), anticipated pay rate or salary schedule, the location where application(s)/requests for application(s) may be sent, final date of acceptance for applications, and any special circumstances relevant to the hiring procedure for said position(s).

9.4.2 CONTRACTOR is exempt from the provisions of this subparagraph 9.4 if it is a governmental entity.

9.5 Child Abuse Prevention Reporting

9.5.1 CONTRACTOR agrees that the safety of the child will always be the first priority. To ensure the safety of children, CONTRACTOR will immediately notify COUNTY and the Child Abuse Hotline whenever CONTRACTOR reasonably suspects that a child has been a victim of abuse and/or is in danger of future abuse. The CONTRACTOR will remain with the child if imminent risk is present.

9.5.2 CONTRACTOR shall ensure that all known or suspected instances of child abuse are reported to a child protection agency as defined in Section 11164, et. Seq. of the Penal Code. This responsibility shall include:

a. A requirement that all employees, consultants, or agents performing services under this Contract, who are required by

the California Penal Code to report child abuse, sign a statement that he or she knows of the reporting requirements and will comply with them.

- b. The establishment of procedures to ensure reporting even when employees, consultants or agents who are not required to report child abuse under the California Penal Code gain knowledge of, or reasonably suspect that a child has been a victim of abuse or neglect.
- c. The assurance that all employees of CONTRACTOR and Subcontractors understand that the safety of the child is always the first priority.

9.6 Conduct of Program

CONTRACTOR shall abide by all terms and conditions imposed and required by this Contract and shall comply with all subsequent revisions, modifications, and administrative and statutory changes made by the State, and all applicable provisions of State and federal regulations. Failure by CONTRACTOR to comply with provisions, requirements or conditions of this Contract, including, but not limited to, performance documentation, reporting and evaluation requirements, shall be a material breach of this Contract and may result in the withholding of payments, financial penalties, and/or termination as stated herein.

9.7 Contract Accounting and Financial Reporting

9.7.1 CONTRACTOR shall establish and maintain an accounting system including internal controls and financial reporting, which shall meet the minimum requirements for Contract Accounting as described in Exhibit J, Auditor-Controller Contract Accounting and Administration Handbook.

9.7.2 CONTRACTOR shall maintain supporting documentation for all accruals reported. Accruals which are not properly supported may be disallowed upon audit.

9.8 Data Destruction

CONTRACTOR(s) and Vendor(s) that have maintained, processed, or stored the County of Los Angeles' ("COUNTY") data and/or information, implied or expressed, have the sole responsibility to certify that the data and information have been appropriately destroyed consistent with the

National Institute of Standards and Technology (NIST) Special Publication SP 800-88 titled *Guidelines for Media Sanitization*. Available at: <http://csrc.nist.gov/publications/PubsDrafts.html#SP-800-88 Rev.%201>

The data and/or information may be stored on purchased, leased, or rented electronic storage equipment (e.g., printers, hard drives) and electronic devices (e.g., servers, workstations) that are geographically located within the COUNTY, or external to the COUNTY's boundaries. The COUNTY must receive within ten (10) business days, a signed document from CONTRACTOR(s) and Vendor(s) that certifies and validates the data and information were placed in one or more of the following stored states: unusable, unreadable, and indecipherable.

Vendor shall certify that any COUNTY data stored on purchased, leased, or rented electronic storage equipment and electronic devices, including, but not limited to printers, hard drives, servers, and/or workstations are destroyed consistent with the current National Institute of Standard and Technology (NIST) Special Publication SP-800-88, *Guidelines for Media Sanitization*. Vendor shall provide COUNTY with written certification within ten (10) business days of removal of any electronic storage equipment and devices that validates that any and all COUNTY data was destroyed and is unusable, unreadable, and/or undecipherable.

9.9 Employee Benefits and Taxes

9.9.1 CONTRACTOR shall be solely responsible for providing to, or on behalf of its employees, all legally required salaries, wages, benefits, or other compensation.

9.9.2 COUNTY shall have no liability or responsibility for any taxes, including, without limitation, sales, income, employee withholding and/or property taxes which may be imposed in connection with or resulting from this Contract or CONTRACTOR's performance hereunder.

9.10 Fixed Assets

Title to all fixed assets purchased with COUNTY funds designated by the COUNTY for that purpose under this Contract shall remain with COUNTY. A "Fixed Asset" is defined hereunder as any equipment costing five thousand dollars (\$5,000) or more, with a useful life of more than one year. Such assets shall be maintained and repaired by CONTRACTOR during the term of this Contract. CONTRACTOR shall provide an accounting of such assets at the termination or expiration of this Contract and shall deliver same to COUNTY upon COUNTY's written request.

CONTRACTOR shall have the option upon the expiration or termination of the Contract to acquire such assets at a price to be mutually agreed upon by COUNTY and CONTRACTOR.

9.11 Shred Document

9.11.1 CONTRACTOR shall ensure that all confidential documents and papers, as defined under state law (including, but not limited to Welfare and Institutions Code Section 10850) relating to this Contract must be shredded and not put in trash containers when CONTRACTOR disposes of such documents and papers. All documents and papers to be shredded are to be placed in a locked or secured container/bin/box and labeled "shred" until they are destroyed. No confidential documents and papers are to be recycled.

9.11.2 Documents for record and retention purposes in accordance with subparagraph 8.38 (Record Retention and Inspection/Audit Settlement) of this Contract are to be maintained for a period of five (5) years.

9.12 Use of Funds

All uses of funds paid to CONTRACTOR and other financial transactions related to CONTRACTOR's provision of services under this Contract are subject to review and/or audit by DCFS, COUNTY's Auditor-Controller or its designee, and the State of California. In the event this Contract is subject to audit exceptions, CONTRACTOR shall pay to COUNTY the full amount of CONTRACTOR's liability for such audit exceptions, as determined by DCFS, upon demand by COUNTY.

**COUNTY OF LOS ANGELES
DEPARTMENT OF CHILDREN AND FAMILY SERVICES**

IN WITNESS WHEREOF, the Board of Supervisors of the COUNTY of Los Angeles has caused this Contract to be subscribed on its behalf by the Director of the Department and Children and Family Services and the CONTRACTOR has subscribed the same through its authorized officers, as of the day, month and year first above written. The persons signing on behalf of the CONTRACTOR warrant under penalty of perjury that they are authorized to bind the CONTRACTOR.

COUNTY OF LOS ANGELES

CONTRACTOR

Name of Agency

By: _____
Philip L. Browning, Director
Department of Children and Family Services

By: _____

Name: _____

Title: _____

By: _____

Name: _____

Title: _____

Tax Identification Number

APPROVED AS TO FORM:
BY THE OFFICE OF COUNTY COUNSEL
MARY C. WICKHAM, INTERIM COUNTY COUNSEL

By _____
David Beaudet, Senior Deputy County Counsel

CONTRACT EXHIBITS

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PRICING SCHEDULE

CONTRACTOR'S LINE ITEM AND BUDGET NARRATIVE

CONTRACTOR'S EEO CERTIFICATION

 Contractor Name

 Address

 Internal Revenue Service Employer Identification Number

GENERAL CERTIFICATION

In accordance with Section 4.32.010 of the Code of the County of Los Angeles, the contractor, supplier, or vendor certifies and agrees that all persons employed by such firm, its affiliates, subsidiaries, or holding companies are and will be treated equally by the firm without regard to or because of race, religion, ancestry, national origin, or sex and in compliance with all anti-discrimination laws of the United States of America and the State of California.

CONTRACTOR'S SPECIFIC CERTIFICATIONS

- | | | |
|--|------------------------------|-----------------------------|
| 1. The Contractor has a written policy statement prohibiting discrimination in all phases of employment. | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| 2. The Contractor periodically conducts a self analysis or utilization analysis of its work force. | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| 3. The Contractor has a system for determining if its employment practices are discriminatory against protected groups. | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| 4. Where problem areas are identified in employment practices, the Contractor has a system for taking reasonable corrective action, to include establishment of goals or timetables. | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

 Authorized Official's Printed Name and Title

 Authorized Official's Signature

 Date

COUNTY'S ADMINISTRATION

CONTRACT NO. _____

COUNTY PROGRAM MANAGER:

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile _____

E-Mail Address: _____

COUNTY CONTRACT PROGRAM MONITOR:

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile _____

E-Mail Address: _____

CONTRACTOR'S ADMINISTRATION

CONTRACTOR'S NAME: _____

CONTRACT NO: _____

CONTRACTOR'S PROGRAM DIRECTOR:

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile _____

E-Mail Address: _____

CONTRACTOR'S AUTHORIZED OFFICIAL(S)

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile _____

E-Mail Address: _____

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile _____

E-Mail Address: _____

Notices to Contractor shall be sent to the following:

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile _____

E-Mail Address: _____

CONTRACTOR ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT**FORMS REQUIRED AT THE TIME OF CONTRACT EXECUTION**

Applicability of the forms below is based on the type of contract. A contract involving Information Technology (IT) services includes Copyright Assignment language whereas a non-IT Contract omits the Copyright Assignment language.

Additionally, a determination must be made whether the Contactor will complete a Confidentiality Agreement on behalf of its employees or whether the Contractor's employees and non-employees will complete the Confidentiality Agreements individually.

NON-IT CONTRACTS

G1 CONTRACTOR ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

OR

G2 CONTRACTOR EMPLOYEE ACKNOWLEDGEMENT AND CONFIDENTIALITY
AGREEMENT

G3 CONTRACTOR NON-EMPLOYEE ACKNOWLEDGEMENT AND CONFIDENTIALITY
AGREEMENT

CONTRACTOR ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

CONTRACTOR NAME _____ Contract No. _____

GENERAL INFORMATION:

The Contractor referenced above has entered into a contract with the County of Los Angeles to provide certain services to the County. The County requires the Corporation to sign this Contractor Acknowledgement and Confidentiality Agreement.

CONTRACTOR ACKNOWLEDGEMENT:

Contractor understands and agrees that the Contractor employees, consultants, Outsourced Vendors and independent contractors (Contractor's Staff) that will provide services in the above referenced agreement are Contractor's sole responsibility. Contractor understands and agrees that Contractor's Staff must rely exclusively upon Contractor for payment of salary and any and all other benefits payable by virtue of Contractor's Staff's performance of work under the above-referenced contract.

Contractor understands and agrees that Contractor's Staff are not employees of the County of Los Angeles for any purpose whatsoever and that Contractor's Staff do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced contract. Contractor understands and agrees that Contractor's Staff will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.

CONFIDENTIALITY AGREEMENT:

Contractor and Contractor's Staff may be involved with work pertaining to services provided by the County of Los Angeles and, if so, Contractor and Contractor's Staff may have access to confidential data and information pertaining to persons and/or entities receiving services from the County. In addition, Contractor and Contractor's Staff may also have access to proprietary information supplied by other vendors doing business with the County of Los Angeles. The County has a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, criminal, and welfare recipient records. Contractor and Contractor's Staff understand that if they are involved in County work, the County must ensure that Contractor and Contractor's Staff, will protect the confidentiality of such data and information. Consequently, Contractor must sign this Confidentiality Agreement as a condition of work to be provided by Contractor's Staff for the County.

Contractor and Contractor's Staff hereby agrees that they will not divulge to any unauthorized person any data or information obtained while performing work pursuant to the above-referenced contract between Contractor and the County of Los Angeles. Contractor and Contractor's Staff agree to forward all requests for the release of any data or information received to County's Project Manager.

Contractor and Contractor's Staff agree to keep confidential all health, criminal, and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from the County, design concepts, algorithms, programs, formats, documentation, Contractor proprietary information and all other original materials produced, created, or provided to Contractor and Contractor's Staff under the above-referenced contract. Contractor and Contractor's Staff agree to protect these confidential materials against disclosure to other than Contractor or County employees who have a need to know the information. Contractor and Contractor's Staff agree that if proprietary information supplied by other County vendors is provided to me during this employment, Contractor and Contractor's Staff shall keep such information confidential.

Contractor and Contractor's Staff agree to report any and all violations of this agreement by Contractor and Contractor's Staff and/or by any other person of whom Contractor and Contractor's Staff become aware.

Contractor and Contractor's Staff acknowledge that violation of this agreement may subject Contractor and Contractor's Staff to civil and/or criminal action and that the County of Los Angeles may seek all possible legal redress.

SIGNATURE: _____

DATE: ____/____/____

PRINTED NAME: _____

POSITION: _____

CONTRACTOR EMPLOYEE ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

(Note: This certification is to be executed and returned to County with Contractor's executed Contract. Work cannot begin on the Contract until County receives this executed document.)

Contractor Name _____ Contract No. _____

Employee Name _____

GENERAL INFORMATION:

Your employer referenced above has entered into a contract with the County of Los Angeles to provide certain services to the County. The County requires your signature on this Contractor Employee Acknowledgement and Confidentiality Agreement.

EMPLOYEE ACKNOWLEDGEMENT:

I understand and agree that the Contractor referenced above is my sole employer for purposes of the above-referenced contract. I understand and agree that I must rely exclusively upon my employer for payment of salary and any and all other benefits payable to me or on my behalf by virtue of my performance of work under the above-referenced contract.

I understand and agree that I am not an employee of the County of Los Angeles for any purpose whatsoever and that I do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced contract. I understand and agree that I do not have and will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.

I understand and agree that I may be required to undergo a background and security investigation(s). I understand and agree that my continued performance of work under the above-referenced contract is contingent upon my passing, to the satisfaction of the County, any and all such investigations. I understand and agree that my failure to pass, to the satisfaction of the County, any such investigation shall result in my immediate release from performance under this and/or any future contract.

CONFIDENTIALITY AGREEMENT:

I may be involved with work pertaining to services provided by the County of Los Angeles and, if so, I may have access to confidential data and information pertaining to persons and/or entities receiving services from the County. In addition, I may also have access to proprietary information supplied by other vendors doing business with the County of Los Angeles. The County has a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, criminal, and welfare recipient records. I understand that if I am involved in County work, the County must ensure that I, too, will protect the confidentiality of such data and information. Consequently, I understand that I must sign this agreement as a condition of my work to be provided by my employer for the County. I have read this agreement and have taken due time to consider it prior to signing.

I hereby agree that I will not divulge to any unauthorized person any data or information obtained while performing work pursuant to the above-referenced contract between my employer and the County of Los Angeles. I agree to forward all requests for the release of any data or information received by me to my immediate supervisor.

I agree to keep confidential all health, criminal, and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from the County, design concepts, algorithms, programs, formats, documentation, Contractor proprietary information and all other original materials produced, created, or provided to or by me under the above-referenced contract. I agree to protect these confidential materials against disclosure to other than my employer or County employees who have a need to know the information. I agree that if proprietary information supplied by other County vendors is provided to me during this employment, I shall keep such information confidential.

I agree to report to my immediate supervisor any and all violations of this agreement by myself and/or by any other person of whom I become aware. I agree to return all confidential materials to my immediate supervisor upon completion of this contract or termination of my employment with my employer, whichever occurs first.

SIGNATURE: _____

DATE: ____/____/____

PRINTED NAME: _____

POSITION: _____

CONTRACTOR NON-EMPLOYEE ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

(Note: This certification is to be executed and returned to County with Contractor's executed Contract. Work cannot begin on the Contract until County receives this executed document.)

Contractor Name _____ Contract No. _____

Non-Employee Name _____

GENERAL INFORMATION:

The Contractor referenced above has entered into a contract with the County of Los Angeles to provide certain services to the County. The County requires your signature on this Contractor Non-Employee Acknowledgement and Confidentiality Agreement.

NON-EMPLOYEE ACKNOWLEDGEMENT:

I understand and agree that the Contractor referenced above has exclusive control for purposes of the above-referenced contract. I understand and agree that I must rely exclusively upon the Contractor referenced above for payment of salary and any and all other benefits payable to me or on my behalf by virtue of my performance of work under the above-referenced contract.

I understand and agree that I am not an employee of the County of Los Angeles for any purpose whatsoever and that I do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced contract. I understand and agree that I do not have and will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.

I understand and agree that I may be required to undergo a background and security investigation(s). I understand and agree that my continued performance of work under the above-referenced contract is contingent upon my passing, to the satisfaction of the County, any and all such investigations. I understand and agree that my failure to pass, to the satisfaction of the County, any such investigation shall result in my immediate release from performance under this and/or any future contract.

CONFIDENTIALITY AGREEMENT:

I may be involved with work pertaining to services provided by the County of Los Angeles and, if so, I may have access to confidential data and information pertaining to persons and/or entities receiving services from the County. In addition, I may also have access to proprietary information supplied by other vendors doing business with the County of Los Angeles. The County has a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, criminal, and welfare recipient records. I understand that if I am involved in County work, the County must ensure that I, too, will protect the confidentiality of such data and information. Consequently, I understand that I must sign this agreement as a condition of my work to be provided by the above-referenced Contractor for the County. I have read this agreement and have taken due time to consider it prior to signing.

I hereby agree that I will not divulge to any unauthorized person any data or information obtained while performing work pursuant to the above-referenced contract between the above-referenced Contractor and the County of Los Angeles. I agree to forward all requests for the release of any data or information received by me to the above-referenced Contractor.

I agree to keep confidential all health, criminal, and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from the County, design concepts, algorithms, programs, formats, documentation, Contractor proprietary information, and all other original materials produced, created, or provided to or by me under the above-referenced contract. I agree to protect these confidential materials against disclosure to other than the above-referenced Contractor or County employees who have a need to know the information. I agree that if proprietary information supplied by other County vendors is provided to me, I shall keep such information confidential.

I agree to report to the above-referenced Contractor any and all violations of this agreement by myself and/or by any other person of whom I become aware. I agree to return all confidential materials to the above-referenced Contractor upon completion of this contract or termination of my services hereunder, whichever occurs first.

SIGNATURE: _____

DATE: ____/____/____

PRINTED NAME: _____

POSITION: _____

Title 2 ADMINISTRATION
Chapter 2.203.010 through 2.203.090
CONTRACTOR EMPLOYEE JURY SERVICE

2.203.010 Findings.

The board of supervisors makes the following findings. The county of Los Angeles allows its permanent, full-time employees unlimited jury service at their regular pay. Unfortunately, many businesses do not offer or are reducing or even eliminating compensation to employees who serve on juries. This creates a potential financial hardship for employees who do not receive their pay when called to jury service, and those employees often seek to be excused from having to serve. Although changes in the court rules make it more difficult to excuse a potential juror on grounds of financial hardship, potential jurors continue to be excused on this basis, especially from longer trials. This reduces the number of potential jurors and increases the burden on those employers, such as the county of Los Angeles, who pay their permanent, full-time employees while on juror duty. For these reasons, the county of Los Angeles has determined that it is appropriate to require that the businesses with which the county contracts possess reasonable jury service policies. (Ord. 2002-0015 § 1 (part), 2002)

2.203.020 Definitions.

The following definitions shall be applicable to this chapter:

- A. "Contractor" means a person, partnership, corporation or other entity which has a contract with the county or a subcontract with a county contractor and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more such contracts or subcontracts.
- B. "Employee" means any California resident who is a full-time employee of a contractor under the laws of California.
- C. "Contract" means any agreement to provide goods to, or perform services for or on behalf of, the county but does not include:
 - 1. A contract where the board finds that special circumstances exist that justify a waiver of the requirements of this chapter; or
 - 2. A contract where federal or state law or a condition of a federal or state program mandates the use of a particular contractor; or
 - 3. A purchase made through a state or federal contract; or
 - 4. A monopoly purchase that is exclusive and proprietary to a specific manufacturer, distributor, or reseller, and must match and inter-member with existing supplies, equipment or systems maintained by the county pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section P-3700 or a successor provision; or
 - 5. A revolving fund (petty cash) purchase pursuant to the Los Angeles County Fiscal Manual, Section 4.4.0 or a successor provision; or
 - 6. A purchase card purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section P-2810 or a successor provision; or
 - 7. A non-agreement purchase with a value of less than \$5,000 pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section A-0300 or a successor provision; or
 - 8. A bona fide emergency purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section PP-1100 or a successor provision.

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- D. "Full time" means 40 hours or more worked per week, or a lesser number of hours if:
1. The lesser number is a recognized industry standard as determined by the chief administrative officer, or
 2. The contractor has a long-standing practice that defines the lesser number of hours as full time.
- E. "County" means the county of Los Angeles or any public entities for which the board of supervisors is the governing body. (Ord. 2002-0040 § 1, 2002: Ord. 2002-0015 § 1 (part), 2002)

2.203.030 Applicability.

This chapter shall apply to contractors who enter into contracts that commence after July 11, 2002. This chapter shall also apply to contractors with existing contracts which are extended into option years that commence after July 11, 2002. Contracts that commence after May 28, 2002, but before July 11, 2002, shall be subject to the provisions of this chapter only if the solicitations for such contracts stated that the chapter would be applicable. (Ord. 2002-0040 § 2, 2002: Ord. 2002-0015 § 1 (part), 2002)

2.203.040 Contractor Jury Service Policy.

A contractor shall have and adhere to a written policy that provides that its employees shall receive from the contractor, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that employees deposit any fees received for such jury service with the contractor or that the contractor deduct from the employees' regular pay the fees received for jury service. (Ord. 2002-0015 § 1 (part), 2002)

2.203.050 Other Provisions.

- A. Administration. The chief administrative officer shall be responsible for the administration of this chapter. The chief administrative officer may, with the advice of county counsel, issue interpretations of the provisions of this chapter and shall issue written instructions on the implementation and ongoing administration of this chapter. Such instructions may provide for the delegation of functions to other county departments.
- B. Compliance Certification. At the time of seeking a contract, a contractor shall certify to the county that it has and adheres to a policy consistent with this chapter or will have and adhere to such a policy prior to award of the contract. (Ord. 2002-0015 § 1 (part), 2002)

2.203.060 Enforcement and Remedies.

For a contractor's violation of any provision of this chapter, the county department head responsible for administering the contract may do one or more of the following:

1. Recommend to the board of supervisors the termination of the contract; and/or,
2. Pursuant to chapter 2.202, seek the debarment of the contractor. (Ord. 2002-0015 § 1 (part), 2002)

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2.203.070. Exceptions.

- A. Other Laws. This chapter shall not be interpreted or applied to any contractor or to any employee in a manner inconsistent with the laws of the United States or California.
- B. Collective Bargaining Agreements. This chapter shall be superseded by a collective bargaining agreement that expressly so provides.
- C. Small Business. This chapter shall not be applied to any contractor that meets all of the following:
 - 1. Has ten or fewer employees during the contract period; and,
 - 2. Has annual gross revenues in the preceding twelve months which, if added to the annual amount of the contract awarded, are less than \$500,000; and,
 - 3. Is not an affiliate or subsidiary of a business dominant in its field of operation.

“Dominant in its field of operation” means having more than ten employees and annual gross revenues in the preceding twelve months which, if added to the annual amount of the contract awarded, exceed \$500,000.

“Affiliate or subsidiary of a business dominant in its field of operation” means a business which is at least 20 percent owned by a business dominant in its field of operation, or by partners, officers, directors, majority stockholders, or their equivalent, of a business dominant in that field of operation. (Ord. 2002-0015 § 1 (part), 2002)

2.203.090. Severability.

If any provision of this chapter is found invalid by a court of competent jurisdiction, the remaining provisions shall remain in full force and effect. (Ord. 2002-0015 § 1 (part), 2002)

SAFELY SURRENDERED BABY LAW

Safely Surrendered



No shame. No blame. No names.

In Los Angeles County: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



Safely Surrendered Baby Law

What is the Safely Surrendered Baby Law?

California's Safely Surrendered Baby Law allows parents or other persons, with lawful custody, which means anyone to whom the parent has given permission to confidentially surrender a baby. As long as the baby is three days (72 hours) of age or younger and has not been abused or neglected, the baby may be surrendered without fear of arrest or prosecution.

Every baby deserves a chance for a healthy life. If someone you know is considering abandoning a baby, let her know there are other options. For three days (72 hours) after birth, a baby can be surrendered to staff at any hospital or fire station in Los Angeles County.

How does it work?

A distressed parent who is unable or unwilling to care for a baby can legally, confidentially, and safely surrender a baby within three days (72 hours) of birth. The baby must be handed to an employee at a hospital or fire station in Los Angeles County. As long as the baby shows no sign of abuse or neglect, no name or other information is required. In case the parent changes his or her mind at a later date and wants the baby back, staff will use bracelets to help connect them to each other. One bracelet will be placed on the baby, and a matching bracelet will be given to the parent or other surrendering adult.

What if a parent wants the baby back?

Parents who change their minds can begin the process of reclaiming their baby within 14 days. These parents should call the Los Angeles County Department of Children and Family Services at 1-800-540-4000.

Can only a parent bring in the baby?

No. While in most cases a parent will bring in the baby, the Law allows other people to bring in the baby if they have lawful custody.

Does the parent or surrendering adult have to call before bringing in the baby?

No. A parent or surrendering adult can bring in a baby anytime, 24 hours a day, 7 days a week, as long as the parent or surrendering adult surrenders the baby to someone who works at the hospital or fire station.

Does the parent or surrendering adult have to tell anything to the people taking the baby?

No. However, hospital or fire station personnel will ask the surrendering party to fill out a questionnaire designed to gather important medical history information, which is very useful in caring for the baby. The questionnaire includes a stamped return envelope and can be sent in at a later time.

What happens to the baby?

The baby will be examined and given medical treatment. Upon release from the hospital, social workers immediately place the baby in a safe and loving home and begin the adoption process.

What happens to the parent or surrendering adult?

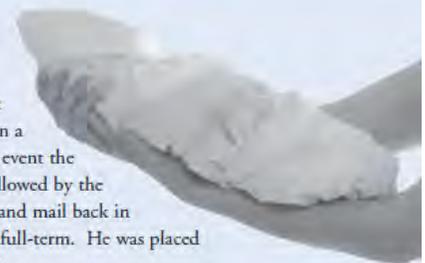
Once the parent or surrendering adult surrenders the baby to hospital or fire station personnel, they may leave at any time.

Why is California doing this?

The purpose of the Safely Surrendered Baby Law is to protect babies from being abandoned, hurt or killed by their parents. You may have heard tragic stories of babies left in dumpsters or public bathrooms. Their parents may have been under severe emotional distress. The mothers may have hidden their pregnancies, fearful of what would happen if their families found out. Because they were afraid and had no one or nowhere to turn for help, they abandoned their babies. Abandoning a baby is illegal and places the baby in extreme danger. Too often, it results in the baby's death. The Safely Surrendered Baby Law prevents this tragedy from ever happening again in California.

A baby's story

Early in the morning on April 9, 2005, a healthy baby boy was safely surrendered to nurses at Harbor-UCLA Medical Center. The woman who brought the baby to the hospital identified herself as the baby's aunt and stated the baby's mother had asked her to bring the baby to the hospital on her behalf. The aunt was given a bracelet with a number matching the anklet placed on the baby; this would provide some identification in the event the mother changed her mind about surrendering the baby and wished to reclaim the baby in the 14-day period allowed by the Law. The aunt was also provided with a medical questionnaire and said she would have the mother complete and mail back in the stamped return envelope provided. The baby was examined by medical staff and pronounced healthy and full-term. He was placed with a loving family that had been approved to adopt him by the Department of Children and Family Services.



Ley de Entrega de Bebés *Sin Peligro*



Los recién nacidos pueden ser entregados en forma segura al personal de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles

Sin pena. Sin culpa. Sin nombres.

En el Condado de Los Ángeles: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



Ley de Entrega de Bebés Sin Peligro

¿Qué es la Ley de Entrega de Bebés sin Peligro?

La Ley de Entrega de Bebés sin Peligro de California permite la entrega confidencial de un recién nacido por parte de sus padres u otras personas con custodia legal, es decir cualquier persona a quien los padres le hayan dado permiso. Siempre que el bebé tenga tres días (72 horas) de vida o menos, y no haya sufrido abuso ni negligencia, pueden entregar al recién nacido sin temor de ser arrestados o procesados.

Cada recién nacido se merece la oportunidad de tener una vida saludable. Si alguien que usted conoce está pensando en abandonar a un recién nacido, infórmele que tiene otras opciones. Hasta tres días (72 horas) después del nacimiento, se puede entregar un recién nacido al personal de cualquier hospital o cuartel de bomberos del condado de Los Angeles.

¿Cómo funciona?

El padre/madre con dificultades que no pueda o no quiera cuidar de su recién nacido puede entregarlo en forma legal, confidencial y segura dentro de los tres días (72 horas) del nacimiento. El bebé debe ser entregado a un empleado de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles. Siempre que el bebé no presente signos de abuso o negligencia, no será necesario suministrar nombres ni información alguna. Si el padre/madre cambia de opinión posteriormente y desea recuperar a su bebé, los trabajadores utilizarán brazaletes para poder vincularlos. El bebé llevará un brazalete y el padre/madre o el adulto que lo entregue recibirá un brazalete igual.

¿Qué pasa si el padre/madre desea recuperar a su bebé?

Los padres que cambien de opinión pueden comenzar el proceso de reclamar a su recién nacido dentro de los 14 días. Estos padres deberán llamar al Departamento de Servicios para Niños y Familias (Department of Children and Family Services) del Condado de Los Ángeles al 1-800-540-4000.

¿Sólo los padres podrán llevar al recién nacido?

No. Si bien en la mayoría de los casos son los padres los que llevan al bebé, la ley permite que otras personas lo hagan si tienen custodia legal.

¿Los padres o el adulto que entrega al bebé deben llamar antes de llevar al bebé?

No. El padre/madre o adulto puede llevar al bebé en cualquier momento, las 24 horas del día, los 7 días de la semana, siempre y cuando entreguen a su bebé a un empleado del hospital o cuartel de bomberos.

¿Es necesario que el padre/madre o adulto diga algo a las personas que reciben al bebé?

No. Sin embargo, el personal del hospital o cuartel de bomberos le pedirá a la persona que entregue al bebé que llene un cuestionario con la finalidad de recabar antecedentes médicos importantes, que resultan de gran utilidad para cuidar bien del bebé. El cuestionario incluye un sobre con el sello postal pagado para enviarlo en otro momento.

¿Qué pasará con el bebé?

El bebé será examinado y le brindarán atención médica. Cuando le den el alta del hospital, los trabajadores sociales inmediatamente ubicarán al bebé en un hogar seguro donde estará bien atendido, y se comenzará el proceso de adopción.

¿Qué pasará con el padre/madre o adulto que entregue al bebé?

Una vez que los padres o adulto hayan entregado al bebé al personal del hospital o cuartel de bomberos, pueden irse en cualquier momento.

¿Por qué se está haciendo esto en California? ?

La finalidad de la Ley de Entrega de Bebés sin Peligro es proteger a los bebés para que no sean abandonados, lastimados o muertos por sus padres. Usted probablemente haya escuchado historias trágicas sobre bebés abandonados en basureros o en baños públicos. Los padres de esos bebés probablemente hayan estado pasando por dificultades emocionales graves. Las madres pueden haber ocultado su embarazo, por temor a lo que pasaría si sus familias se enteraran. Abandonaron a sus bebés porque tenían miedo y no tenían nadie a quien pedir ayuda. El abandono de un recién nacido es ilegal y pone al bebé en una situación de peligro extremo. Muy a menudo el abandono provoca la muerte del bebé. La Ley de Entrega de Bebés sin Peligro impide que vuelva a suceder esta tragedia en California.

Historia de un bebé

A la mañana temprano del día 9 de abril de 2005, se entregó un recién nacido saludable a las enfermeras del Harbor-UCLA Medical Center. La mujer que llevó el recién nacido al hospital se dio a conocer como la tía del bebé, y dijo que la madre le había pedido que llevara al bebé al hospital en su nombre. Le entregaron a la tía un brazalete con un número que coincidía con la pulsera del bebé; esto serviría como identificación en caso de que la madre cambiara de opinión con respecto a la entrega del bebé y decidiera recuperarlo dentro del periodo de 14 días que permite esta ley. También le dieron a la tía un cuestionario médico, y ella dijo que la madre lo llenaría y lo enviaría de vuelta dentro del sobre con franqueo pagado que le habían dado. El personal médico examinó al bebé y se determinó que estaba saludable y a término. El bebé fue ubicado con una buena familia que ya había sido aprobada para adoptarlo por el Departamento de Servicios para Niños y Familias.



**DEPARTMENT OF AUDITOR-CONTROLLER
CONTRACT ACCOUNTING AND ADMINISTRATION HANDBOOK**

The purpose of the handbook is to establish accounting, internal control, financial reporting, and contract administration standards for organizations (contractors) that contract with the COUNTY.

Revision: March 2014

AUDITOR-CONTROLLER CONTRACT ACCOUNTING AND ADMINISTRATION HANDBOOK

The purpose of this Handbook is to establish required accounting, financial reporting, and internal control standards for entities (CONTRACTOR) which contract with Los Angeles County (COUNTY).

The accounting, financial reporting and internal control standards described in this Handbook are fundamental. These standards are not intended to be all inclusive or replace acceptable existing procedures or preclude the use of more sophisticated methods. Instead, this Handbook represents the minimum required procedures and controls that must be incorporated into a CONTRACTOR'S accounting and financial reporting system. The internal control standards described apply to organizations with adequate staffing. Organizations with insufficient staff to implement the internal controls as described herein must adopt alternative controls (e.g., use of appropriate alternative staff or Board Officers, etc.) to comply with the intent of the standards to ensure effective internal control systems are in place within the organization. The CONTRACTOR'S subcontractors must also follow these standards unless otherwise stated in the Agreement.

A. ACCOUNTING AND FINANCIAL REPORTING

1.0 Basis of Accounting

Unless otherwise specified by the funding source, CONTRACTORS may elect to use either the cash basis or accrual basis of accounting during the year for recording financial transactions. Monthly invoices must be prepared on the same basis that is used for recording financial transactions.

The COUNTY recommends the use of the accrual basis for recording financial transactions.

Accrual Basis

Under the accrual basis for recording financial transactions, revenues are recorded in the accounting period in which they are earned (rather than when cash is received). Expenditures are recorded in the accounting period in which they are incurred (rather than when cash is disbursed).

Accruals

Accruals shall be recorded observing the following:

- Recorded accruals must be reversed in the subsequent accounting period.

1.1 If a CONTRACTOR elects to use the cash basis for recording financial transactions during the year:

- Necessary adjustments must be made to record the accruals at the beginning and the end of each year of the contract and at the end of the contract.
- All computations, supporting records, and explanatory notes used in converting from the cash basis to the accrual basis must be retained.

1.2 Prepaid Expenses

Prepaid expenses (e.g., insurance, service agreements, lease agreements, etc.) should only be expensed during a given Agreement year to the extent goods and services are received, or are applicable to that Agreement year.

2.0 Accounting System

Each CONTRACTOR shall maintain a **double entry accounting system** (utilizing debits and credits) with a General Journal, a Cash Receipts Journal, a General Ledger, and a Cash Disbursements Journal. The COUNTY requires that a Payroll Register (see Section 2.6) also be maintained. Postings to the General Ledger and Journals shall be made at least on a monthly basis. The CONTRACTOR shall maintain a separate Cost Center(s), which clearly identifies funds received and expended on services provided under the attached Agreement.

2.1 General Journal

A General Journal shall be maintained for recording adjusting entries, reversing entries, closing entries, and other financial transactions not normally recorded in the Cash Receipts Journal or Cash Disbursements Journal. Entries in the General Journal must be adequately documented, and entered in chronological order with sufficient explanatory notations.

Example:

	<u>Debit</u>	<u>Credit</u>
Rent Expense	100	
Rent Payable		100

To record accrued rent to March 31, 20XX

2.2 Cash Receipts Journal

A Cash Receipts Journal shall be maintained for recording all cash receipts (e.g., COUNTY warrants, contributions, interest income, etc.). The Cash Receipts Journal shall contain (minimum requirements) the following column headings:

- Date
- Receipt Number
- Cash Debit Columns
- Income Credit Columns (for the following accounts):
 - COUNTY payments (one per funding source)
 - Contributions
 - Other Income (Grants, sales of supplies/services, rental income, miscellaneous revenue, fees, etc.)
 - Description (entries in the description column must specify the source of cash receipts.)

2.3 Cash Disbursements Journal

A Cash Disbursements Journal shall be maintained for recording all cash disbursements (e.g., rent, utilities, maintenance, etc.)

The Cash Disbursements Journal shall contain (minimum requirements) the following column headings:

- Date
- Check Number
- Cash (Credit) Column
- Expense Account Name
- Description

Note (1) Separate cost columns are required for salary expense and other recurring cost classifications for each program.

Note (2) Entries in the description column must specify the nature of the cost and the corresponding cost classification if not included in the column heading.

Note (3) Checks should not be written to employees (other than payroll, mileage, travel, and petty cash custodian checks).

A ***Check Register*** may be substituted for the Cash Disbursements Journal, but this is not recommended. If used, the Check Register must contain the same cost classifications and description information required when a Cash Disbursements Journal is used.

Disbursements without supporting documentation will be disallowed upon audit. Cancelled checks and credit card statements (VISA, AMEX, department store, etc.) will not constitute acceptable support. See Sections A.3.2 and B.2.4 for additional guidance on expense documentation requirements.

2.4 General Ledger

A General Ledger shall be maintained with accounts for all assets, liabilities, fund balances, expenditures, and revenues. Separate accounts must be maintained for the expenses and revenues of each of the CONTRACTOR'S programs (both COUNTY and non-COUNTY programs).

2.5 Chart of Accounts

A Chart of Accounts shall be maintained:

- The COUNTY recommends that CONTRACTORS use the expense account titles on the monthly invoice submitted to the COUNTY.
- If the CONTRACTOR uses account titles which differ from the account titles on the monthly invoice, each account title must clearly identify the nature of the transaction(s) posted to the account.
- CONTRACTOR must consistently post transactions that are of a similar nature to the same account. For example, all expenses for travel shall be posted to the account titled "travel" or "travel expense" and not intermixed with other expense accounts.

2.6 Payroll Register

The COUNTY recommends that a Payroll Register be maintained for recording all payroll transactions. The Register should contain the following:

A-C Contract Accounting and Administration Handbook

- Name
- Position
- Social Security Number (at a minimum last four digits of the SSN)
- Salary (hourly wage)
- Payment Record including:
 - Accrual Period
 - Gross Pay
 - Itemized Payroll Deductions
 - Net Pay Amount
 - Check Number

If a Payroll Register is not used, the information discussed above must be recorded in the cash disbursements journal.

CONTRACTOR will ensure compliance with all applicable federal and State requirements for withholding payroll taxes (e.g., FIT, FICA, FUTA, SIT, SIU, etc.), reporting, filing (e.g., 941, DE-7, W-2, W-4 and 1099s), and all applicable tax deposits.

CONTRACTOR will ensure compliance with Internal Revenue Service guidelines in properly classifying employees and independent contractors.

2.7 CONTRACTOR Invoices

Each CONTRACTOR shall present an invoice to the COUNTY each calendar month to report the program(s) financial activity of the month. In addition, if advanced funding is involved, an invoice shall be presented at the beginning of the contract period. An invoice/billing submission shall be provided to the COUNTY as required in the applicable COUNTY contract.

3.0 Records

Adequate care shall be exercised to safeguard the accounting records and supporting documentation. Any destruction or theft of the CONTRACTOR'S accounting records or supporting documentation shall be immediately reported to the COUNTY. CONTRACTOR shall report, to the local law enforcement agency having jurisdiction, any act(s), which may reasonably be thought to constitute a crime, and/or which appear to have resulted in the destruction, damage or alteration of any record subject to the provisions of this Handbook. CONTRACTOR shall make their report to the local law enforcement agency not more than twenty-four hours after becoming aware of the acts which have resulted in the destruction, damage, or alteration of the record.

A copy of the resulting crime/incident report must be retained by the agency for a period of time under which the underlying records were

destroyed, or damaged were required to be retained plus an additional four years, and shall be retained for a longer period in the case of unresolved litigation, or audit.

To the extent automated accounting records contain confidential information including but not limited to the names and addresses of individuals, Social Security Numbers, etc. The computer files containing this information must be adequately encrypted using the most current encryption standards to prevent unauthorized access and use.

3.1 Retention

All accounting records (e.g., journals, ledgers, etc.), financial records and supporting documentation (e.g., invoices, receipts, checks, etc.) must be retained for a minimum of five years after the termination of the CONTRACTOR'S Agreement, unless a longer retention period is prescribed by the Agreement, or by applicable laws and regulations, in which case the CONTRACTOR shall comply with the longer retention period and all other retention requirements set forth in the Agreement or the applicable laws and regulations.

3.2 Supporting Documentation

All revenues and expenditures shall be supported by original vouchers, invoices, receipts, or other documentation and shall be maintained in the manner described herein.

Invoices, receipts, canceled checks and other documentation, including electronic documentation clearly establishing the nature of the expenditure and its relevance to the COUNTY program being contracted for shall be required to support an outlay of funds. Unsupported disbursements will be disallowed upon audit. CONTRACTOR will be required to repay COUNTY for all dollar for dollar disallowed costs.

Photocopies (including scanned images) of invoices or receipts, any internally generated documents (e.g., vouchers, request for check forms, requisitions, canceled checks, etc.), and account statements do not constitute supporting documentation for purchases. To the extent the source for electronic documentation is an original hardcopy document (e.g., PDF scans of original vendor invoices) CONTRACTOR shall retain the original source document for inspection by COUNTY. County at its sole discretion may accept photocopies of supporting documentation in preference to the original documents.

Supporting documentation is required for various types of expenditures. CONTRACTORS shall provide acceptable supporting documentation for all expenditures, and, with regard to the following categories of

expenditures, acceptable supporting documentation shall consist solely of the documentation listed for each expenditure type. Another form of documentation may be used, in lieu of the listed types of acceptable supporting documentation, provided the CONTRACTOR obtains the prior written approval of the COUNTY to use a specific type of alternative documentation.

Payroll – timecards and attendance records signed by the employee and approved in writing by the supervisor, time distribution records by program accounting for total work time on a daily basis for all employees, records showing actual expenditures for Social Security and unemployment insurance, State and federal quarterly tax returns, federal W-2 forms, and federal W-4 forms. Personnel records shall also be maintained documenting employee pay rates. Personnel records shall also contain documentation confirming that educational and practical experience requirements of an employee's position have been met. Where licensure is a requirement of an employee's position, CONTRACTOR'S personnel file shall contain proof that employees have the required licenses/certifications.

Consultant Services – contracts detailing the nature and scope of services to be provided, time and attendance records (where applicable, as determined by COUNTY), billing rates, travel vouchers detailing purpose, time and location of travel, purchase orders and invoices for supplies and invoices or other supporting documentation detailing the nature of services provided. CONTRACTOR shall also maintain copies of all completed federal form 1099s, establishing that all payments to all consultants were reported in a timely fashion to federal and State taxing agencies.

Travel – travel policies of the CONTRACTOR (written); travel expense vouchers showing location, date and time of travel, purpose of trip, and rates claimed; vehicle mileage logs showing dates, destination and headquarters, purpose of trip, and beginning and ending odometer readings and the resulting mileage. Vehicle mileage logs must clearly identify business versus non-business, or personal travel. For travel related to conferences, CONTRACTOR shall at a minimum retain conference literature, including but not necessarily limited to agendas and handouts detailing the purpose of the conference, as part of the CONTRACTOR'S documentation of the propriety of the travel expenditure. Reimbursement rates for mileage shall not exceed applicable federal guidelines.

Reimbursement for actual receipts or per diem rates for meal expenses shall not exceed the maximum COUNTY'S reimbursement rate for employees.

Receipts shall be required for lodging for approved out-of-town travel. Maximum reimbursable lodging amount is the COUNTY'S maximum reimbursement rate for employees for a single occupancy hotel accommodation. Receipts shall also be required for airfare, car rentals, ground transportation and parking.

Operating Expenses (e.g., utilities, office supplies, equipment rentals, etc.) – bona fide contracts or lease agreements, if any, and invoices and receipts detailing the cost and items purchased will constitute the primary supporting documentation. For internal control purposes, the CONTRACTOR shall maintain vouchers, purchase orders, requisitions, stock received reports, bills of lading, etc. The contractor shall also maintain documentation acknowledging the receipt of the specific goods and services for the expenditure (e.g., stock received reports, packing slip signed by the receiving employee, etc.). For internal control purposes, the CONTRACTOR may also maintain vouchers, purchase orders, requisitions, etc.

Vehicle Expenses - A vehicle mileage log must be maintained which establishes the extent to which company owned vehicles are used for business, versus non-business purposes. For all business related trips, the log shall identify trip dates, the origin and destination of the trip along with beginning and ending odometer readings and the resulting mileage. For other vehicle expenses such as gasoline and maintenance, invoices/receipts must be maintained which reflect the vehicle license number, or vehicle identification number of the vehicle being serviced or fueled. The record maintenance requirements for company-owned vehicles, also applies to personal vehicles used for business purposes.

Outside Meals - receipts and/or invoices for all meals, a record of the nature and business purpose of each meal, and identification of the participants.

Loans from Employees/Related Parties – Loans to the CONTRACTOR by employees and/or related parties shall be supported by a written loan agreement and records documenting that the lent funds were deposited into a CONTRACTOR bank account. CONTRACTOR shall also maintain documentation showing that the loan proceeds were actually used for County programs. To the extent that the loan agreement provides for the payment of interest, the interest may not be an allowable expense under the Agreement. If the payment of interest is allowable, interest shall not be accrued at a rate which exceeds the most current available County Treasury Rate plus one percent.

3.3 Payments to Affiliated Organizations or Persons

Prior to making payments to affiliated organizations or persons (i.e., related party transactions), CONTRACTOR shall complete a disclosure statement identifying the nature of the affiliated, or related organization /persons.

CONTRACTOR shall not make payments to affiliated organizations or persons for program expenses (e.g., salaries, services, rent, etc.) that exceed the lesser of actual cost or the reasonable cost for such expenses. A reasonable cost shall be the price that would be paid by one party to another when the parties are dealing at arm's length (fair market price).

Organizations or persons (related parties) related to the CONTRACTOR or its members by blood, marriage, or through a legal organization (corporation, partnership, association, etc.) will be considered affiliated for purposes of this Agreement. COUNTY shall be solely responsible for determining affiliation unless otherwise allowed and approved by the State or federal agencies.

Payments to affiliated organizations or persons will be disallowed upon audit to the extent the payments exceed the lower of actual costs or the reasonable costs (fair market value) for such items.

3.4 Filing

All relevant supporting documentation for reported program expenditures and revenues shall be filed in a systematic and consistent manner. It is recommended that supporting documents be filed as follows:

- Checks – Numerically
- Invoices – Vendor name and date
- Vouchers – Numerically
- Receipts – Chronologically
- Timecards – Pay period and alphabetically

3.5 Referencing

Accounting transactions posted to the CONTRACTOR'S books shall be appropriately cross-referenced to supporting documentation. It is recommended that expenditure transactions on the CONTRACTOR'S books be cross-referenced to the supporting documentation as follows:

- Invoices – Vendor name and date
- Checks – Number
- Vouchers –Number
- Revenue – Receipt number

Supporting documentation for non-payroll expenditures (i.e., operating expenditures) should be cross-referenced to the corresponding check issued for payment. If multiple invoices are paid with one check, all related invoices should be bound together and cross-referenced to the check issued for payment.

4.0 Donations and Other Sources of Revenue

Restricted donations and other sources of revenue, earmarked specifically for the Contract, must be utilized on allowable contract expenditures. Similarly, income from investments (e.g., interest or dividends), where the source of the amount invested is COUNTY program funds, shall be deemed restricted revenue that must be utilized on allowable expenditures, or returned to the COUNTY as specified under the attached Agreement.

5.0 Audits

For routine audits and inspections, CONTRACTOR will make available to COUNTY representatives, upon request, during working hours, during the duration of the contract and for a period of five years thereafter (unless a longer period is specified under the Agreement, or by applicable laws and regulations), all of its books and records, including but not limited to those which relate to its operation of each project or business activity which is funded in whole or part with governmental monies, whether or not such monies are received through the COUNTY. All such books and records shall be maintained at a location within Los Angeles County.

In general, audits will normally be performed during normal business hours, Monday through Friday. However, COUNTY retains the right to inspect and conduct investigations of CONTRACTOR'S program/fiscal operations and contract compliance at any time, without prior notice to CONTRACTOR seven days a week, when the COUNTY has information which it, in its sole discretion, deems justifies such an unannounced visit, inspection, audit or investigations.

6.0 Single Audit Requirements

OMB Circular 133, "Audits of State, Local Governments and Non Profit Organizations" requires that certain organizations receiving federal

awards, including pass-through awards, have annual audits. Details are contained in the Circular.

A copy of any Single Audit report shall be filed with the COUNTY within the timeframes prescribed by the Circular 133, or under the attached Agreement.

7.0 Subcontracts

CONTRACTOR shall not subcontract services without the prior written consent of the COUNTY.

CONTRACTOR shall provide COUNTY with copies of all executed subcontracts and shall be responsible for the performance of their subcontractors. At the sole discretion of COUNTY, CONTRACTOR may submit an electronic copy of executed subcontracts in preference to a hardcopy.

B. INTERNAL CONTROLS

Internal controls safeguard the CONTRACTOR'S assets from misappropriations, misstatements or misuse. Each CONTRACTOR shall prepare necessary written procedures establishing internal controls for its personnel's use. The CONTRACTOR shall instruct all of its personnel in these procedures and continuously monitor operations to ensure compliance with them.

1.0 Cash Receipts

1.1. Separate Fund or Cost Center

All contract revenues shall be maintained in a bank account. If revenues from other sources are maintained in the same bank account, revenues for each source must be clearly identifiable on the accounting records

through the use of cost centers or separate accounts.

1.2 Deposits

When collections are received by mail, two employees should be assigned to open the mail and list all collections received on a check remittance log.

All checks shall be restrictively endorsed upon receipt.

Cash received shall be recorded on pre-numbered receipts and the receipts/check remittance log shall be reconciled to the amount being deposited.

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Voided receipts shall be retained and the sequence of receipts issued/voided shall be periodically accounted for.

Cash receipts (i.e., cash and checks) totaling \$500 or more shall be deposited within one day of receipt. Collections of less than \$500 may be held and secured and deposited weekly or when the total reaches \$500, whichever occurs first. If CONTRACTOR can establish that a larger limit is warranted, CONTRACTOR may request authorization from COUNTY to increase the limit to an amount greater than \$500.

Duplicate deposit slips shall be retained and filed chronologically, and shall contain sufficient reference information for comparison to the Cash Receipts Journal and individual receipts, if applicable. A recommended best practice is to retain photocopies of the COUNTY warrants reflected on each deposit slip, or record the individual warrant numbers onto the deposit slip.

1.3 Separation of Duties

An employee who does not handle cash shall record all cash or check receipts in the CONTRACTOR'S accounting records.

1.4 Bank Reconciliations

Bank statements should be received and reconciled by someone with no cash handling, or check writing responsibilities.

Monthly bank reconciliations should be prepared within 30 days of the bank statement date and reviewed by management for appropriateness and accuracy. The bank reconciliations should be signed and dated by both the preparer and the reviewer. Reconciling items should be resolved timely.

2.0 Disbursements

2.1 General

All disbursements (other than those made for petty cash purchases), shall be made using an Agency check, electronic funds transfer, or debit/credit card.

Blank check stock shall be secured and accounted for to preclude unauthorized use.

Checks shall not be payable to "cash" or signed in advance. Similarly, electronic debits to "cash" shall not be made. Checks written to employees

for reimbursement of out-of-pocket costs must be supported by receipts and invoices.

A second signature is recommended on all checks over \$500, unless otherwise specified in the contract. In instances where the payee is also a signor on the check, the disbursement shall be reviewed and approved by a higher level employee, or Board member who shall also sign the check. If the bookkeeper signs checks, a second signature shall be required on the checks, regardless of limits specified in the contract.

Voided checks shall be marked void with the signature block cut out. The voided checks must be filed with the cancelled checks.

Unclaimed or undelivered checks shall be cancelled periodically.

All supporting documentation shall be referenced to check numbers and marked "paid" or otherwise canceled to prevent duplicate payments or reuse.

Disbursements without adequate supporting documentation will be disallowed upon audit.

2.2. Approvals and Separation of Duties

Employees responsible for approving cash disbursements and/or signing checks shall examine all supporting documentation at the time the checks are approved and signed.

All disbursements, excluding petty cash purchases, shall be approved by persons independent of check preparation and bookkeeping activities.

2.3 Petty Cash

A petty cash fund up to \$500 may be maintained for payment of small incidental expenses incurred by the CONTRACTOR (e.g., postage due, small purchases of office supply items, etc.). The CONTRACTOR must obtain written approval from the COUNTY to establish a petty cash fund greater than \$500.

Petty cash disbursements must be supported by original invoices, store receipts or other external authenticating documents indicating the item purchased and the employee making the purchase. In the event that outside (external) supporting documentation is not obtainable for minor disbursements (under \$10), such as parking meters, fees, etc., then some written documentation shall be maintained and approved by a supervisory employee not associated with the transaction.

Petty cash

disbursements should not be used as a substitute for normal purchasing and disbursement practices (i.e., payment by check).

The petty cash fund shall be maintained on an imprest basis. A check should be drawn to set up the fund and to make periodic reimbursements. Receipts, vouchers, etc., supporting each fund replenishment must be bound together, filed chronologically and cross referenced to the reimbursement check.

2.4 Credit Cards

The use of credit cards, both CONTRACTOR-issued credit cards and an employee's personal credit card used on behalf of the CONTRACTOR, should be limited to purchases where established purchasing and disbursement practices are not suitable.

Credit cards issued in the CONTRACTOR'S name must be adequately safeguarded and usage monitored to ensure that only authorized and necessary items are purchased.

Credit card purchases should be pre-approved by CONTRACTOR management to ensure that they are reasonable and necessary.

All credit card disbursements must be supported by original invoices, store receipts or other external authenticating documents indicating the item purchased, the employee making the purchase, and the justification for the purchase. ***Credit card statements are not sufficient support for credit card purchases.***

3.0 Timekeeping

3.1 Timecards

Timecards or time reports must be prepared for each pay period. Timecards or time reports must indicate total hours worked each day by program and total hours charged to each of the CONTRACTOR'S programs. Time estimates do not qualify as support for payroll expenditures and will be disallowed upon audit.

All timecards and time reports must be signed in ink by the employee and the employee's supervisor to certify the accuracy of the reported time. To the extent CONTRACTOR utilizes electronic timecards and time reports, CONTRACTOR must ensure that both the employee and supervisor certify time reported using electronic signatures. Where electronic timecards and time reports are used, CONTRACTOR'S reporting system must be able to electronically record the date/time the timecard was prepared/reviewed. CONTRACTOR'S electronic time reporting system

must also have sufficient controls to prevent unauthorized alteration/changes to electronic time records and reports.

3.2 Personnel and Payroll Records

Adequate security must be maintained over personnel and payroll records with access restricted to authorized individuals. Any automated personnel and payroll records which contain confidential information such as employee addresses, medical condition information, etc. should be adequately encrypted to prevent unauthorized access and use using the latest encryption standards.

Personnel and payroll records shall include, but are not limited to, the following:

- Employee's authorized salary rate
- Employee information sheet (e.g., employee contact information, emergency contact information, etc.)
- Resume and/or application
- Proof of qualifications for the position, if required (e.g., notarized copy or original diploma, license(s), etc.)
- Performance evaluations
- Criminal record clearance (if required)
- Citizenship Status
- Benefit balances (e.g., sick time, vacation, etc.)
- Health Clearances (if required)

Benefit Balances

Employee benefit balances (e.g., sick time, vacation, personal time, etc.) should be maintained on at least a monthly basis. Benefit balances should be increased when benefit hours are earned and decreased as hours are used.

3.3 Limitations on Positions and Salaries

The CONTRACTOR shall not pay any salaries higher than those authorized in the contract, or the attachments thereto.

If an employee serves in the same, or dual capacities under more than one agreement or program, time charged to the contracts or programs taken as a whole may not exceed 100% of the employee's actual time worked.

Salaried employees shall be paid a salary that corresponds with the employee's work schedule. For example, a 1/2-time salaried employee

performing the same or similar work should be paid proportionately less than a full-time salaried employee.

The salary expense of salaried employees working on more than one agreement or program shall be allocated to each program based on the ratio of the number of hours worked on each program during the pay period to the total number hours worked during the pay period.

The CONTRACTOR shall not make retroactive salary adjustments for any employee without written approval from the COUNTY.

Separation of Duties

- Payroll checks should be distributed by persons not involved in timekeeping, preparing of payroll transactions, or reconciling bank accounts.
- All employee hires and terminations, or pay rate changes, shall be approved in writing by authorized persons independent of payroll responsibilities.

4.0 Capital Assets

Capital assets are tangible assets of significant value having a useful life that extends beyond the current year and are broadly classified as land, buildings and improvements, and equipment.

Land cannot be depreciated. All other capital assets with an acquisition cost of \$5,000 or more shall be capitalized.

Acquisition cost means the net invoice unit price of an item, including shipping costs and sales taxes, the cost of any modifications, attachments, accessories, or auxiliary apparatus necessary to make it usable for the purpose for which it was acquired.

Capital asset purchases shall be approved by the CONTRACTOR'S Board of Directors or their authorized representative.

Capital assets shall not be ordered, or purchased during the last three months of the term of the CONTRACTOR'S Agreement with the COUNTY, unless the acquisition is pre-approved by the COUNTY.

4.1 Acquisition

As specified in the contract, CONTRACTOR shall submit a purchase versus lease analysis to COUNTY and obtain written authorization before

making any capital asset purchase where the acquisition cost is \$25,000 or more, and all, or a portion of the cost of the capital asset will be charged to the COUNTY's contract.

Non-Capital Asset Equipment

Non-capital asset equipment is defined as equipment with a unit cost less than \$5,000, a useful life over one year, and can generally be easily carried or moved; especially by hand (e.g., personal computers, related peripherals, typewriters, fax machines and other portable assets).

4.2 Asset Identification and Inventory

All fixed assets including capital and non-capital asset equipment, purchased with Contract funds are to be used solely for the benefit of the Contract and should be appropriately tagged.

Each CONTRACTOR shall maintain a current listing of fixed assets, including the item description, serial number, date of purchase, acquisition cost and source(s) of funding.

An inventory of all fixed assets should be conducted at least once each year to ensure that all fixed assets are accounted for and maintained in proper working order.

4.3 Depreciation and Use Allowance

Unless otherwise approved by the COUNTY, compensation for the use of buildings and other capital improvements may be made through depreciation, or a use allowance:

- The computation of depreciation/use allowance is based on the acquisition cost of the asset(s).
- The computation should exclude the cost of land, buildings, and equipment donated by federal, State or COUNTY governments and the cost of buildings and land contributed by the CONTRACTOR to satisfy funding matching requirements.
- For depreciation, an appropriate useful life must be established for the asset(s) which considers factors such as the nature of the asset used, susceptibility to technological obsolescence, etc.
- Appendix B to IRS Publication 946, "How to Depreciate Property", contains guidelines for establishing an asset's useful life.

- A use allowance is computed as an annual rate that may not exceed an annual rate of two-percent of the acquisition cost if the asset is a building or improvement. A use allowance in excess of the ceiling percentage must be justified by the CONTRACTOR.

4.4 Rental Costs of Buildings and Equipment

- Allowable to the extent that the rates are reasonable considering rental costs of comparable property, market conditions in the area, condition of the property being leased, etc.
- Under a “sale and leaseback” arrangement, rental costs would be allowable up to the amount that would be allowed if the CONTRACTOR had continued to own the property.
- Under a “less than arms length” lease, costs are only allowable up to the amount that would be allowable had title to the property vested in the CONTRACTOR.

4.5 Security

Physical security should be adequately maintained over fixed assets to prevent misuse or theft of COUNTY property.

4.6 Property Management

The CONTRACTOR shall assume responsibility and accountability for the maintenance of all fixed assets purchased, leased, or rented with Contract funds.

The CONTRACTOR shall report promptly, in writing, to the COUNTY all cases of theft, loss, damage, or destruction of fixed assets purchased with COUNTY funds.

The report shall contain at a minimum, item identification, recorded value, facts relating to loss, and, where appropriate, a copy of the law enforcement report. In cases where the loss resulted from suspected criminal activity (e.g., theft, vandalism, arson, etc.) the incident must be reported to the local law enforcement agency with jurisdiction over the location of the suspected crime. A copy of the resulting crime/incident report must be retained by the agency for a period of time under which the underlying records were destroyed, or damaged were required to be retained plus an additional four years, and shall be retained for a longer period in the case of unresolved litigation, or audit.

CONTRACTOR shall dispose of or return to the COUNTY all fixed assets in accordance with the Contract.

5.0 Bonding – All officers, employees, and contractors who handle cash or have access to the contractor's funds (e.g., prepare checks, etc.) shall be bonded.

6.0 Investments – COUNTY program funds may not be utilized for investments where there is a risk of loss.

C. COST PRINCIPLES

1.0 Policy

It is the intent of the COUNTY to provide funds for the purpose of CONTRACTOR to provide the services required by the Agreement. CONTRACTOR shall use these funds on actual expenses in an economical and efficient manner and ensure they are reasonable, proper and necessary costs of providing services and are allowable in accordance with the applicable OMB Circular.

1.1. Limitations on Expenditures of Program Funds

CONTRACTOR shall comply with the Agreement and applicable OMB Circular(s). The Circular defines direct and indirect costs, discusses allowable cost allocation procedures and the development of Indirect Cost Rates, and specifically addresses the allowability of a variety of different costs.

If a CONTRACTOR is unsure of the allowability of any particular type of cost or individual cost, the CONTRACTOR should request advance written approval from the COUNTY prior to incurring the cost.

1.2 Expenses Incurred Outside the Agreement Period

Expenses charged against program funds may not be incurred prior to the effective date of the Agreement or subsequent to the Agreement termination, or expiration date. Similarly, current period expenses related to events or activities that occurred prior to the effective date of the Agreement may not be allowable. For example, legal costs incurred prosecuting or defending a lawsuit stemming from events which occurred during a period not covered by a valid Agreement between CONTRACTOR and COUNTY are not allowable.

1.3 Budget Limitation

Expenses may not exceed the maximum limits shown on the contract budget.

1.4 Unspent Funds

CONTRACTOR shall return any unspent program funds to the COUNTY, unless otherwise permitted by the contract. In addition, the COUNTY will determine the disposition of unspent program funds upon termination of the Agreement.

1.5 Necessary, Proper and Reasonable

Only those expenditures that are necessary, proper and reasonable to carry out the purposes and activities of the Program are allowable.

2.0 Allocable Expenses

For CONTRACTORS that operate programs or provide services in addition to the services required under contract, the CONTRACTOR shall allocate expenditures that benefit programs or funding sources on an equitable basis.

In accordance with the applicable OMB Circular(s), agencies shall define their allocable expenses as either direct or indirect costs (as defined below) and allocate each cost using the basis most appropriate and feasible.

The CONTRACTOR shall maintain documentation for allocated expenses (e.g., timecards, time summaries, square footage measurements, number of employees, etc.).

Under no circumstances shall allocated expenses be charged to an extent greater than 100% of actual expenses or the same expense be charged both directly and indirectly.

2.1 Direct Costs

Unless otherwise set forth in this contract, or required by the funding source(s), direct costs are defined as those costs that can be identified specifically with a particular final cost objective (e.g., a particular program, service, or other direct activity of an organization). Examples of direct costs include salaries and benefits of employees working on the program, supplies and other items purchased specifically for the program, costs related to space used by employees working on the program, etc.

For all employees, other than general and administrative, the hours spent on each program (activity) should be recorded on the employees' timecards and the payroll expenses should be treated as direct charges and distributed on the basis of recorded hours spent on each program.

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Joint costs (i.e., costs that benefit more than one program or activity) which can be distributed in reasonable proportion to the benefits received may also be direct costs.

Examples of bases for allocating joint costs as direct costs:

- Number of direct hours spent on each program
- Number of employees in each program
- Square footage occupied by each program
- Other relevant and equitable methods of allocation

2.2 Indirect Costs

Indirect costs are those costs that have been incurred for common or joint purposes and cannot be readily identified with a particular final cost objective. Examples of indirect costs include salaries, employee benefits, supplies, and other costs related to general administration of the organization, depreciation and use allowances, and the salaries and expenses of executive officers, personnel administration, and accounting.

Examples of bases for allocating indirect costs:

- Total direct salaries and wages
- Total direct costs (excluding capital expenditures and other distorting items such as significant one-time expenses, or subcontractor payments)

2.3 Acceptable Indirect Cost Allocation Methods

OMB Circulars (i.e., A-87 and A-122) describe the following allowable methods for allocating indirect costs:

- Simplified allocation method
- Direct allocation method
- Multiple allocation base method
- Negotiated indirect cost rate

Simplified Allocation Method

This method can be used when an organization's major functions benefit from its indirect costs to approximately the same degree. Using this method, all allocable costs are considered indirect costs and an indirect cost rate is determined by dividing total allowable indirect costs by an equitable distribution base.

Example:

Agency-wide indirect costs	\$250,000
Less: Capital Expenditures	10,000
	240,000
Allocable indirect costs	240,000
Total Agency-wide direct salaries	\$1,000,000
Indirect cost rate (\$240,000/\$1,000,000)	24%
Program direct salaries	\$100,000
Program indirect costs (24% x \$100,000)	\$24,000
Direct Allocation Method	\$24,000

This method can also be used when an organization's major functions benefit from its indirect costs to approximately the same degree. Using this method, all costs except general administration and general expenses are treated as direct costs. Joint costs for depreciation, rentals, facilities maintenance, telephone, and other similar expenses are prorated individually to each direct activity on a basis appropriate for that type of cost.

The remaining costs, which consist exclusively of general administration and general expenses, are then allocated using the simplified allocation method previously discussed.

Multiple Base Allocation Method

This method can be used when an organization's major functions benefit from its indirect costs in varying degrees. Using this method, indirect costs are grouped to permit allocation of each grouping on the basis of the benefits provided to the major functions. Each grouping is then allocated individually using the basis most appropriate for the grouping being allocated.

2.4 Cost Allocation Plan

If the CONTRACTOR has a negotiated indirect cost rate approved by a federal agency, it shall submit a copy of the approval letter when requested by COUNTY.

If the CONTRACTOR does not have a negotiated indirect cost rate, CONTRACTOR shall submit an annual Agency-wide Cost Allocation Plan when requested by COUNTY. The Cost Allocation Plan shall be prepared in accordance with COUNTY instructions and the applicable OMB Circular and include the following information:

1. CONTRACTOR general accounting policies:
 - Basis of accounting
 - Fiscal year
 - Method for allocating indirect costs (simplified, direct, multiple, negotiated rate)
 - indirect cost rate allocation base
2. Identify the CONTRACTOR'S direct and indirect costs (by category) and describe the cost allocation methodology for each category.
3. Signature of CONTRACTOR management certifying the accuracy of the plan.

Negotiated Indirect Cost Rates

Agencies have the option of negotiating an indirect cost rate or rates for use on all their federal programs. The CONTRACTOR must submit a cost allocation plan to the federal agency providing the most funds to the organization. The approved indirect cost rate is then applied to the total approved direct cost base.

If CONTRACTOR has a federally approved indirect cost rate, CONTRACTOR shall submit a copy of the approval letter to COUNTY upon request.

D. UNALLOWABLE COSTS

OMB Circulars address the allowability of a variety of different costs. For all costs, there are certain restrictions and limitations; however, the following costs are not allowable under any circumstances:

- Bad debts
- Contingency provisions
- Contributions and donations
- Fines and penalties (e.g., Including but not limited to NSF Check Fees, Traffic Citation Fees)
- Fundraising activities
- Interest expense (unless expressly allowed by federal guidelines)
- Losses on other awards

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E. OVERPAYMENTS

If upon audit, or at any time during the Agreement year, it is determined that invoices submitted to the COUNTY which were used as a basis for payments to the CONTRACTOR were inaccurate, COUNTY shall determine the total overpayment and require the CONTRACTOR to repay COUNTY. The COUNTY may withhold payments from CONTRACTOR'S future payments for any amounts not returned to the COUNTY or credited to the Contract unless otherwise prohibited by State or federal regulations.

F. GOVERNANCE

OVERVIEW

Large numbers of nonprofit corporations, organized for public benefit, receive public funds through contracts with Los Angeles County. Many County service contracts support key public initiatives, including protecting children, providing health care and foster employment, and reducing the effects of mental impairments and substance abuse.

Nonprofit organizations doing business with Los Angeles County must conduct their work in a manner consistent with their charitable mission and the public purposes embodied in County contracts. This demands that nonprofit agency governing boards be conscious of their fiduciary responsibilities in providing oversight and making decisions.

Directors, officers, and employees of nonprofit corporations with which Los Angeles County contracts shall not:

- permit or benefit from self-dealing transactions (unless permitted by law), or unreasonable compensation
- misuse or dissipate scarce public resources

1.0 Independence

It is recommend that Nonprofit agencies doing business with the County of Los Angeles have a governing board of at least 5 directors (however, under no circumstances shall a governing board have less than 3 directors), a majority of whom (1) have not been employed by it within 5 years before their election, (2) have no direct or indirect material financial interest in the organization, or any other relationship that could create a conflict of interest on the part of the director(s). A financial interest may exist for reasons of business, investment, or family relationship (including a director's brother, sister, ancestor, descendant, spouse, brother-in-law, sister-in-law, son-in-law, daughter-in-law, mother-in-law, or father-in-law).

"Financial interest" means an actual or potential ownership, investment, or compensation arrangement in or with any entity or individual with which the organization has, or is negotiating, a transaction or arrangement. The term "independent", when used to describe Directors who serve on the oversight committees described in paragraph 3.0 refers to persons meeting the requirements of this paragraph.

2.0 Oversight Mechanisms

An organization's governing board shall provide for its governance in accordance with the following:

- Adopt and disclose the organization's governance standards including director qualifications, responsibilities, and compensation.
- Adopt and disclose a code of business conduct and ethics for directors, officers, and employees, and promptly disclose to the County any waivers of the code affecting organization directors, or officers, or employees.
- Be familiar with the terms and conditions of all the Organization's County contracts. No less than annually, the board should review the Organization's compliance with contract provisions, particularly including insurance, internal control, federal and State reporting and payment requirements for payroll withholding, and report deviations to the County oversight department.

An organization's governance guidelines and code of ethics shall provide means to annually distribute to and obtain from directors, officers and employees written acknowledgments of their adherence to the organization's governing standards. They must incorporate a mechanism for disclosing and addressing possible conflicts of interest. They must provide for appropriate record-keeping, particularly of transactions and arrangements required to be reviewed by the governing board and where significant organization resources are expended by or for officers, directors and employees.

An organization's governance guidelines and code of ethics shall provide for "just and reasonable" compensation and benefits consistent with the compensation amount or guidelines established in the Organization's contract(s) with the County. Compensation and benefits should be determined in light of that paid to executives of agencies of comparable size and function (See Section B.3.3, "Limitations on Positions and Salaries"). No employee may receive compensation or benefits for more than one Organization job. For example, the CEO cannot receive

compensation or benefits for the job of CEO and another job such as program manager, etc.

3.0 Oversight Committees

An organization's governing board shall establish committees having the following characteristics, compensation, and duties.

Nominating Committee

The Board shall establish a nominating committee composed entirely of independent directors to consider new appointments to the Board.

Compensation and Benefits Committee

The Board shall establish a compensation and employee benefits committee composed entirely of independent directors to establish compensation and benefits for the Organization Chief Executive Officer (CEO), or President and the Chief Financial Officer (CFO), or Treasurer.

Audit Committee

The Board shall establish an Audit Committee of no fewer than three directors, all of whom must be independent, and one of whom shall have financial experience. In no event shall employees, including, but not limited to the president, chief executive officer, the treasurer, or chief financial officer serve on the Audit Committee.

Annual Audit Duties:

- If the Organization expends federal awards in excess of \$500,000 in a year (\$750,000 for fiscal years beginning on or after December 26, 2014), the Audit Committee will recommend an independent auditor to perform the annual single audit (under the provisions of OMB Circular A-133, Audits for States, Local Governments and Non-Profit Organizations) of the Organization's financial records to the Agency's Board of Directors. The audit shall be performed in accordance with Generally Accepted Government Auditing Standards and comply with the Single Audit Act.
- The Audit Committee must negotiate the independent auditor's compensation on behalf of the governing Board, oversee its work, and resolve disagreements between management and auditors regarding financial reporting.
- The Audit Committee must confer with the auditor to review the audit and decide whether to accept it, satisfy itself that the financial affairs of

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the nonprofit organization are in order, and ensure that the County receives a copy of the annual audit report and all other audits, reviews, and other third party reports.

Additional Audit Committee Duties

The Audit Committee must:

- Establish procedures for receiving and addressing complaints regarding accounting, internal controls, and auditing matters.
- Monitor and take steps to ensure proper management response to major performance or fiscal deficits, such as the expressed concerns or claims of major creditors.
- Pre-approve all audit and non-audit services provided by the auditor. Non-audit services are defined as any professional services provided other than those provided in connection with an audit or review of the financial statements of the Organization. Following is a list of non-audit services for which the independent auditor cannot perform unless the firm follows the independence standard in the Yellow Book issued by the U.S. Comptroller General:
 - Bookkeeping or other services related to the accounting records, or financial statement of the audit client;
 - Financial information systems design and implementation;
 - Internal audit outsourcing services;
 - Management functions or human resources;
 - Investment adviser, or investment banking services;
 - Legal services and expert services unrelated to the audit.

G. MISCELLANEOUS REQUIREMENTS 1.0

Insurance

CONTRACTOR is responsible for securing and maintaining insurance coverage as required by the Agreement. CONTRACTOR must notify COUNTY when insurance is revoked, reduced to a level or coverage less than required, or otherwise made ineffective.

Insurance shall include an endorsement naming the COUNTY as an additional insured.

2.0 Activity

No funds, materials, property, or services contributed to the COUNTY or the CONTRACTOR under this Agreement shall be used in the performance of any political activity, the election of any candidate, or the defeat of any candidate for public office.

3.0 Reporting Fraud/Misconduct

CONTRACTORS are expected and required to report suspected fraud, waste, or misuse of public monies, and misconduct of County personnel to the Los Angeles County Fraud Hotline (Hotline). CONTRACTORS are also expected and required to report suspected fraud committed by their employees and subcontractors when that fraud affects their contract with the COUNTY. Reportable conditions include, but are not limited to:

- Requests for bribes/kickbacks/gratuities by County personnel.
- Favoritism/nepotism in the awarding of County contracts, or selection of vendors.
- Theft or misuse of any funds, resources or equipment. Reportable

conditions shall be reported to the Hotline upon their discovery by CONTRACTOR. Failure to report the types of fraud/misconduct discussed above may be grounds for contract termination.

The reporting party may remain anonymous. Reports can be made via telephone, mail or by internet to:

Online: www.lacountyfraud.org

Email: hotline@auditor.lacounty.gov

Toll Free: (800) 544-6861

U.S. Mail: Los Angeles County Fraud Hotline
Office of County Investigations
Kenneth Hahn Hall of Administration
500 W. Temple Street, Room 515
Los Angeles, CA 90012

CONFIDENTIALITY OF CORI INFORMATION

Criminal Offender Record Information (CORI) is that information which is recorded as the result of an arrest, detention or other initiation of criminal proceedings including any consequent proceedings related thereto. As an employee of _____ during the legitimate course of duties, you may have access to CORI. The Probation Department has a policy of protecting the confidentiality of Criminal Offender Record Information.

You are required to protect the information contained in the case files against disclosure to all individuals who do not have a right-to-know this information.

The use of any information obtained from case files or other related sources of CORI to make contacts with probationers or other relatives, or make CORI available to anyone who has no real and proper reason to have access to this information as determined solely by the Probation Department is considered a breach of confidentiality, inappropriate and unauthorized.

Any _____ employee engaging in such activities is in violation of the Probation Department’s confidentiality policy and will be subject to appropriate disciplinary action and/or criminal action pursuant to Section 11142 of the Penal Code.

I have read and understand the Probation Department’s policy concerning the confidentiality of CORI records.

(Signature)

Name (Print)

Title

Date

Copy to be forwarded to Probation Contract Manager within five (5) business days of start of employment

USER COMPLAINT REPORT
SAFE CHILDREN AND STRONG FAMILIES

This form is to be used by DCFS users of (Enter Name of Program/Service Here) services to report service discrepancies and/or failure to provide training as specified. This User Complaint Report must be delivered immediately to the County Program Manager for this Contract.

Date of Report:	_____	DCFS User Name:	_____
DCFS Office Address:	_____		
Phone No.	_____	E-mail Address:	_____
Date(s) of Incident(s):	_____		

Below, please check the appropriate boxes and explain each incident separately:

- (Enter Name of Program/Service Here) Contractor is not responding to messages.
- (Enter Name of Program/Service Here) Contractor is/was not available or not responding to messages.
- (Enter Name of Program/Service Here) Contractor making staff changes without notification to the County.
- Illegal or inappropriate behavior by (Enter Name of Program/Service Here) Contractor.
- (Enter Name of Program/Service Here) Contractor is/or has not been submitting reports or maintaining records as required.
- (Enter Name of Program/Service Here) Contractor not complying with the quality assurance requirements as specified in the Contract.
- Other (describe):

CHARITABLE CONTRIBUTIONS CERTIFICATION

Company Name

Address

Internal Revenue Service Employer Identification Number

California Registry of Charitable Trusts "CT" number (if applicable)

The Nonprofit Integrity Act (SB 1262, Chapter 919) added requirements to California's Supervision of Trustees and Fundraisers for Charitable Purposes Act which regulates those receiving and raising charitable contributions.

Check the Certification below that is applicable to your company.

- Proposer or Contractor has examined its activities and determined that it does not now receive or raise charitable contributions regulated under California's Supervision of Trustees and Fundraisers for Charitable Purposes Act. If Proposer engages in activities subjecting it to those laws during the term of a County contract, it will timely comply with them and provide County a copy of its initial registration with the California State Attorney General's Registry of Charitable Trusts when filed.

OR

- Proposer or Contractor is registered with the California Registry of Charitable Trusts under the CT number listed above and is in compliance with its registration and reporting requirements under California law. Attached is a copy of its most recent filing with the Registry of Charitable Trusts as required by Title 11 California Code of Regulations, sections 300-301 and Government Code sections 12585-12586.

Signature

Date

Name and Title of Signer (please print)

COUNTY OF LOS ANGELES
DEPARTMENT OF CHILDREN AND FAMILY SERVICES
Safe Children Strong Families (SCSF)

STATEMENT OF WORK
(RFP # 11-053B)

FAMILY PRESERVATION SERVICES

COUNTY OF LOS ANGELES
 DEPARTMENT OF CHILDREN AND FAMILY SERVICES
 Safe Children Strong Families (SCSF)
 FAMILY PRESERVATION SERVICES
 STATEMENT OF WORK
 (RFP # 11-053B)

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STATEMENT OF WORK
Safe Children Strong Families (SCSF)
FAMILY PRESERVATION SERVICES

SECTION A - PREAMBLE

The COUNTY seeks to collaborate with its community partners to enhance the capacity of the health and human services system to improve the lives of children and families. These efforts require, as a fundamental expectation, that the COUNTY contracting partners share the COUNTY and community's commitment to provide health and human services that support achievement of the COUNTY Shared Core Practice Model Mission, Values, Goals and Performance Outcomes.

The vision of DCFS is that children thrive in safe families and supportive communities. To achieve this vision DCFS intends to practice a uniform service delivery model that measurably improves child safety, permanency, and access to effective and caring services by providing responsive, efficient, and high-quality public services that promote the self-sufficiency, well-being and prosperity of individuals, families, and communities. This philosophy of teamwork and collaboration is anchored in the COUNTY's shared values of: 1) Accountability; 2) A Can-Do Attitude; 3) Compassion; 4) Customer Orientation; 5) Integrity; 6) Leadership; 7) Professionalism; 8) Respect for Diversity; and 9) Responsiveness.

These shared values are encompassed in the COUNTY's Strategic Plan's three Goals: 1) Operational Effectiveness/Fiscal Sustainability; 2) Community Support and Responsiveness; and 3) Integrated Services Delivery. Improving the well-being of children and families requires coordination, collaboration and integration of services across functional and jurisdictional boundaries, by and between COUNTY departments/agencies and community and contracting partners.

SECTION B – PROJECT FOUNDATION

1.0 PURPOSE

Family Preservation Program Services are linked with the Safe Children Strong Families (SCSF) Program to ensure the physical, emotional, social, and educational development of children in a safe and nurturing environment. The Department of Children and Family Services (DCFS) and the Probation Department will partner with community-based CONTRACTORS and the Department of Mental Health (DMH) to provide mental health services when appropriate.

2.0 COUNTY PROGRAM MANAGEMENT

The COUNTY shall provide a COUNTY Program Manager (CPM) to coordinate the delivery of the services of this Contract with the CONTRACTOR's Program Director

(CPD) as outlined in Appendix A1, Sample Contract, Section 6.0, Administration of Contract – COUNTY.

- 2.1 The CPM, identified in Exhibit E, COUNTY's Administration, or designated alternate, has full authority to monitor CONTRACTOR's performance in the day-to-day operation of this Contract.
- 2.2 The CPM will provide direction to the CONTRACTOR in areas relating to DCFS policy, information and procedural requirements.
- 2.3 The CPM is responsible for the daily management of Contract operations and overseeing monitoring activities, as identified in Exhibit E, COUNTY's Administration.
- 2.4 The CPM is not authorized to make any changes in the terms and conditions of this Contract and is not authorized to obligate the COUNTY and/or DCFS in any way whatsoever beyond the terms of this Contract.

3.0 CONTRACTOR'S GENERAL RESPONSIBILITIES

- 3.1 As required in Appendix A1, Sample Contract, Section 7.0, Administration of Contract – CONTRACTOR, CONTRACTOR shall designate a CPD to be responsible for the daily management of the Contract operations and overseeing the work to be performed by CONTRACTOR as defined in this Statement of Work (SOW). The CPD is identified in Exhibit F, CONTRACTOR's Administration.
- 3.2 The CPD shall be responsible for CONTRACTOR's day-to-day activities as related to this Contract and shall coordinate with CPM during the daily operation of this Contract, and shall be available during the COUNTY's regular business hours of Monday through Friday, from 8:00 a.m. to 5:00 p.m., to respond to COUNTY inquiries and to discuss problem areas.
- 3.3 CONTRACTOR shall inform the CPM, as soon as information becomes available, either by telephone, electronically, or in-person, of any serious FP child or family incident, or fatality. CONTRACTOR shall deliver to the CPM copies of all FP related records within 24 hours.
- 3.4 CONTRACTOR agrees that any work performed outside the scope of this SOW shall be deemed a gratuitous act on the part of CONTRACTOR and, therefore, CONTRACTOR shall have no claim against COUNTY and/or DCFS for any such work.
- 3.5 CONTRACTOR shall not schedule or conduct any meetings or negotiations under this Contract on behalf of the COUNTY and/or DCFS.
- 3.6 The CPD shall attend quarterly Continuous Quality Improvement (CQI) meetings for the SCSF service delivery model. SCSF CQI meeting participants shall

include all other SCSF CONTRACTORS, CPMs and DCFS Regional Office Representatives.

- 3.7 The CPD, or appropriate representative, shall attend all FP meetings as determined by COUNTY. At a minimum, quarterly meetings are to be scheduled by COUNTY.
- 3.8 CONTRACTOR shall ensure staff, volunteers, and interns providing program services are able to provide services in a manner that effectively responds to differences in cultural beliefs, sexual orientation, behaviors and learning, and communication styles within the community serviced.
- 3.9 CONTRACTOR shall notify the CPM in writing of any change(s) in CONTRACTOR's **key** personnel (i.e. Clinical Supervisors, Program Managers, Executive Directors, etc.) at least seven business days before proposed change(s), including name and qualifications of new personnel. CONTRACTOR shall ensure that no interruption of services occur as a result of the change in personnel.
- 3.10 The CPD shall notify the CPM of **all** staff changes, including, but not limited to hiring and terminations. CONTRACTOR shall provide the CPM at the beginning of each Contract term and within 15 calendar days of any staff change(s), a roster of all staff that includes:
 - 3.10.1 Name and position;
 - 3.10.2 Work schedule; and
 - 3.10.3 FAX, telephone number, and email address.
- 3.11 CONTRACTOR shall hold weekly clinical supervision reviews with all professional staff, paraprofessional staff, registered interns, and all other staff who provide direct services under this Contract. Copies of sign-in logs, agendas and any other supervision materials shall be made available to the CPM upon request. Supervision reviews may be held individually at a minimum of one hour or as a group at a minimum of two hours. Clinical Supervisors are not mandated to receive clinical supervision.
- 3.12 CONTRACTOR shall on a regular basis review their case listing for accuracy of the case name, case start/end dates and any other information available to the CONTRACTOR on the Family Center Services (FCS) System (Refer to Appendix C1 – Technical Exhibit 32, Linkage Services). CONTRACTOR shall be responsible for contacting the COUNTY designee with findings to resolve any errors in a timely manner.
- 3.13 CONTRACTOR shall maintain the following documentation in the personnel files of all professional and paraprofessional staff, registered interns, and volunteer staff, that includes:

- 3.13.1 All training hours and topics;
 - 3.13.2 Copies of resumes, transcripts, degrees and professional licenses;
 - 3.13.3 Criminal clearances; and
 - 3.13.4 Confidentiality Agreements.
- 3.14 CONTRACTOR shall ensure the same documentation and responsibilities by their Subcontractors as stated in this section and/or outlined in the Appendix A1, Sample Contract, Subsection 8.40 – Subcontracting.

SECTION C – SERVICE DESCRIPTION

4.0 SCOPE OF WORK

Family Preservation (FP) Services is a broad, integrated, community-based, collaborative approach consistent with DCFS' Shared Core Practice Model, Appendix C1 - Technical Exhibit 9, including the implementation of Child and Family Team (CFT) meetings and/or other collaborative meeting processes. FP provides services, resources and supports to DCFS and Probation families experiencing family functioning challenges which may contribute to child abuse, neglect, and/or exploitation.

FP is divided into two program categories: 1) Family Preservation (FP) Assessment Services, and 2) Family Preservation (FP) Intervention Services. CONTRACTOR shall provide the services and supports described in this SOW to prevent: 1) subsequent referrals generated by the Child Abuse Hotline; 2) substantiated allegations of child abuse and/or neglect; 3) newly opened child welfare cases; and 4) child removals and placement in out-of-home care among DCFS referred Family Preservation Services clients. In this effort, CONTRACTOR shall coordinate and collaborate with other SCSF CONTRACTORS to facilitate successful client navigation across the service delivery continuum.

5.0 TARGET POPULATION

In general, the target population for FP Services is the children and families who are in need of services to prevent future child maltreatment and/or DCFS/Probation involvement. The target population is divided among the following types of services:

- 5.1 FP Assessment Services target parent(s)/caregiver(s) with open DCFS referrals alleged to have domestic violence, mental health and/or substance abuse issues. FP Assessment Services are also available for minor parents.
- 5.2 FP Intervention Services target low to very high-risk families, as determined by the Structured Decision Making (SDM) tool, with inconclusive or substantiated Emergency Response/Emergency Response Command Post (ER/ERCP) referrals. These families may be receiving Family Reunification Services, Family

Maintenance Services, or FP Services for youth and their families involved in the juvenile probation system.

5.3 The criteria for FP Intervention services are:

5.3.1 Families in the DCFS/Probation systems with a child(ren) who has been neglected or abused and is at imminent risk of placement in out-of-home care;

5.3.2 Families with child(ren) in out-of-home placement who may be safely returned sooner, as ordered by the court, if FP services are provided.

5.4 Eligible families include, but are not limited to:

5.4.1 Children who have been victims of sexual abuse when the perpetrator no longer has access to the child(ren);

5.4.2 Families with crises that threaten the break-up of the family unit;

5.4.3 Families with domestic violence and/or substance abuse issues;

5.4.4 Families with mental health and/or developmental disability issues;

5.4.5 Families with children who have behavioral problems and/or are truant from school; and

5.4.6 Families with Probation delinquent children who are at risk of out-of-home placement.

6.0 FAMILY PRESERVATION (FP) ASSESSMENT SERVICES

FP Assessment Services are those services provided to families who come to the attention of DCFS where there is risk due to identified issues related to mental health, substance abuse and/or domestic violence. Licensed clinicians or registered interns will screen adult family members using a DCFS approved screening instrument to assess parental strengths and challenges. Family Assessment Services are offered to families to help identify and address problems before further child protective services intervention is required.

FP Assessment Services are provided as follows:

- 1) Emergency Response Referrals – Emergency Response Command Post (ERCP)
 - Assessment services
 - Linkage services
 - In-Home Outreach Counseling (IHOC) (three hours maximum)
Teaching & Demonstrating (T&D) Homemaking services (three hours maximum)
 - Team Decision Making/Child and Family Team (TDM/CFT) meetings

- (three hours maximum per meeting)
- Emergency Fund

2) Emergency Response Referrals – Regional Offices

- Assessment services
- Linkage services
- Team Decision Making/Child and Family Team (TDM/CFT) meetings (three hours maximum per meeting)

CONTRACTORS shall document all the referrals received and services completed as specified on the ERCP/Regional Office Screening Outcome Reports, Appendix C1 - Technical Exhibits 5 and 6, as applicable. The reports are due no later than the 30th day of the following month (Refer to Section 8.0 – Reports and Record Keeping, Sub-section 8.2, of this SOW).

CONTRACTOR shall utilize a pre-approved DCFS screening tool to complete the assessments.

6.1 EMERGENCY RESPONSE REFERRALS – EMERGENCY RESPONSE COMMAND POST (ERCP)

ERCP is the section of DCFS that performs emergency response in-person investigations on referrals that are received after normal business hours and require an immediate response. ERCP is a desirable service, but CONTRACTORS are not mandated to provide FP Assessment Services for ERCP referrals.

CONTRACTORS who agree to provide the services shall be available to receive referrals during the DCFS Emergency Response Command Post (ERCP) hours, which are currently Monday through Friday, 5:00 p.m. – 9:00 a.m.; and 24 hours on Saturday, Sunday and COUNTY approved holidays as referenced in the Appendix A1, Sample Contract, Section 9.2 – Hours of Operation.

6.1.1 CONTRACTOR shall be available to receive parent/caregiver FP assessment referrals, via fax or telephone from the Community-Based Liaison (CBL) or COUNTY designee. The CONTRACTOR's proof of receipt for the FP assessment referral shall be the fax stamp or CONTRACTOR's Case Activity Log/Notes, Appendix C1 - Technical Exhibit 8. Referrals may be assigned to the CONTRACTOR by telephone prior to the referral being sent via fax. The response time frames begin at the time that the CONTRACTOR receives the initial phone call which shall be documented. Assessor shall not email the form due to confidentiality guidelines unless both parties have encrypted email technology. Documentation of all referral activity shall be kept in the CONTRACTOR's case record.

6.1.2 CONTRACTOR shall maintain documentation of all submissions to DCFS. CONTRACTOR shall maintain a log of all fax and telephone referrals and

their disposition, including receipt time, time of assignment, and time of referral return to ERCP, if applicable.

- 6.1.3 CONTRACTOR shall ensure that referrals are assigned and responded to within one hour of receiving the referral, to a licensed clinician or registered intern that is under the supervision of a Licensed Clinical Social Worker (LCSW) or Licensed Marriage and Family Therapist (LMFT) or Licensed Psychologist to conduct an assessment, unless other arrangements were made by DCFS. If CONTRACTOR is unable to assign an Assessor within the one hour timeframe, CONTRACTOR shall contact ERCP to have the referral reassigned to another CONTRACTOR.
- 6.1.4 CONTRACTOR shall ensure that the assigned Assessor makes one attempt to contact the assigned Children's Social Worker (CSW) prior to conducting the screening to gather additional information to complete the assessment.
- 6.1.5 CONTRACTOR shall ensure that if the family is not present, the Assessor immediately contacts the COUNTY designee. The COUNTY designee is to confirm the address and contact information. If the family is not contacted within 15 minutes of the Assessor's arrival at the home, the Assessor shall contact the COUNTY designee for further instructions. Assessor shall leave an Attempted Contact Letter, Appendix C1 - Technical Exhibit 10, at the residence. After the Assessor has provided ERCP with telephonic notification of an attempted contact, the Assessor shall fax the completed Attempted Contact Form (Appendix C1 - Technical Exhibit 11) to the COUNTY designee before 12 noon the following day.
- 6.1.6 CONTRACTOR shall ensure that within one hour of completing the FP Assessment, the Assessor will communicate with the CSW and provide a verbal summary of the findings. If the Assessor is unable to reach the CSW, the Assessor shall contact the ERCP designee to convey the results.
- 6.1.7 In addition to providing a verbal summary of findings to the CSW within one hour, CONTRACTOR shall provide a preliminary written summary of findings to the COUNTY designee via fax within two hours. In the event the CONTRACTOR does not have immediate access to a fax machine, the CONTRACTOR shall fax the written preliminary summary of findings to the COUNTY designee by 12 noon the following day.
- 6.1.8 CONTRACTOR shall ensure that the completed, approved, and signed report is submitted to the requesting COUNTY designee no later than 24 hours after the assessment has been completed. CONTRACTOR shall not email the report due to confidentiality guidelines, unless both parties have encrypted email technology.

6.1.9 The assessment report shall clearly provide the clinician's or registered intern's assessment in the areas of mental health status, substance abuse, and domestic violence history and a recommendation regarding what impact, if any, those factors may have on a parent/caregiver's ability to safely care for a child, and shall include recommended linkage services, as described in Linkage Services, Appendix C1 - Technical Exhibit 32.

6.1.10 If the COUNTY designee and CONTRACTOR agree that IHOC and T&D services are needed for the family, they are to be provided within five calendar days of Assessor's initial visit. IHOC & T&D services are short term (five days maximum) and target immediate needs. The maximum billable amount for IHOC or T&D services is three hours per service per assessment per agency. CONTRACTOR shall clearly document specific activities during the IHOC & T&D services in the case record.

6.1.11 CONTRACTOR shall attend Team Decision Making (TDM) or Child and Family Team (CFT) meetings if available and considered necessary, after conducting the FP assessment.

6.1.12 Emergency Fund (ERCP Referrals Only)

CONTRACTOR shall be responsible for consulting with the COUNTY designee, to assess the emergency needs of families. If such needs are necessary, CONTRACTOR shall use available emergency fund to purchase goods and services, one time per family, up to a maximum of \$500.00. CONTRACTOR has up to five calendar days following approval by the COUNTY designee to purchase the approved items or services.

Emergency fund goods and services purchased by the CONTRACTOR shall include, but are not limited to, bedding, clothing, flatware and temporary emergency housing. CONTRACTOR shall use the designated web-based system to invoice for reimbursement for goods and services on Technical Exhibit 22 (Appendix C1), Emergency Fund Request.

6.2 **EMERGENCY RESPONSE REFERRALS – REGIONAL OFFICE**

Regional Office is the section of DCFS that performs Emergency Response in-person investigations on referrals received during normal business hours.

6.2.1 CONTRACTOR shall be available to receive assessment referrals, via fax from the CBL or COUNTY designee. The CONTRACTOR's proof of receipt for the FP Assessment referral shall be the fax stamp or CONTRACTOR'S documentation. Referrals may be forwarded to the CONTRACTOR by telephone prior to the referral being sent via fax; however, response time frames begin at the time that the CONTRACTOR receives the faxed referral document.

- 6.2.2 CONTRACTOR shall ensure that referrals are assigned within one hour of receipt to an Assessor to conduct an assessment. The CONTRACTOR's designee shall contact the COUNTY designee within the same hour of receipt of the referral form.
- 6.2.3 CONTRACTOR shall ensure that the assigned Assessor makes one attempt to contact the assigned CSW prior to conducting the assessment to gather additional information to complete the assessment. This contact shall be documented on the Case Activity Log/Notes, Appendix C1 - Technical Exhibit 8.
- 6.2.4 CONTRACTOR shall ensure that the Assessor contacts parent(s)/caregiver(s) home/location within 24 hours of the CONTRACTOR's receipt of the referral form, unless otherwise specified by the COUNTY designee, to complete the screening. If the Assessor cannot make contact either in-person or by telephone with the parent(s)/caregiver(s) in this time frame, they are to make an unannounced visit to the home/location the following business day. Assessor shall leave an Attempted Contact Letter, Appendix C1 - Technical Exhibit 10, if the parent/caregiver is not present.
- 6.2.4.1 If the Assessor is unable to make contact with the parent/caregiver after three telephone attempts and two face-to-face attempts within five business days, an Attempted Contact Form, Appendix C1 - Technical Exhibit 11, shall be submitted to the COUNTY designee. CONTRACTOR is responsible for follow-up with the COUNTY designee to discuss the potential need to extend the time for the Assessor to make contact with the parent(s)/ caregiver(s) at the home/location. The decision of the COUNTY designee shall be final.
- 6.2.5 CONTRACTOR shall ensure that within four hours of completing the FP assessment, the Assessor will provide the CSW with a verbal summary of the findings. If the FP assessment is completed after business hours of 8:00 a.m. to 5:00 p.m., the Assessor shall leave a voicemail message that includes a verbal summary of the findings and pertinent contact information.
- 6.2.6 CONTRACTOR shall ensure the assessment report is completed, approved, signed, and submitted to the requesting COUNTY designee no later than three business days after the assessment has been completed. The report shall clearly provide the Assessor's observations and opinions in the areas of mental health status, substance abuse, and domestic violence history, and a recommendation regarding what impact, if any, those factors may have on a parent/caregiver's ability to safely care for a child, and shall include recommended services and resources to address any identified service.

6.2.7 CONTRACTOR shall ensure that the Assessor links the family to the appropriate services that are available within the community after it has been discussed with the assigned COUNTY designee, as described in Linkage Services, Appendix C1 - Technical Exhibit 32. If the COUNTY designee cannot be reached, the CONTRACTOR should proceed with providing the linkage service. In such circumstances, efforts made in attempt to contact the COUNTY designee should be documented in the CONTRACTOR's case record.

6.2.8 CONTRACTOR shall attend TDM/CFT meetings if available and considered necessary, after conducting the assessment. The maximum billable amount for attendance at these meetings is three hours per meeting per agency.

7.0 FAMILY PRESERVATION (FP) INTERVENTION SERVICES

FP Intervention Services are family centered services or resources that assist families by strengthening parent and family functioning while keeping children safe. These services are designed in an effort to prevent the removal of the children or reunify the family if the children have been removed. Services are comprehensive and family-focused to fit the individual needs of each family.

DCFS FP referrals will be generated by CSWs in the DCFS regional offices. The CBLs in each regional office will assign the referrals to the appropriate CONTRACTOR. Probation referrals are controlled by the Prospective Authorization and Utilization Review (PAUR) unit in the Probation Department (Probation).

CONTRACTOR shall utilize the Family Assessment Form (FAF) which is a practitioner-developed, research-validated, user-friendly and Health Insurance Portability and Accountability Act (HIPAA) compliant web-based program designed to help child welfare workers assess family functioning, develop meaningful services plans, monitor progress, and assist agencies in measuring program outcomes. The FAF assessment findings shall drive the development of the DCFS/Probation MCPC Service Plan Agreement in assessing the protective factors for the family as well as identifying the underlying needs to address with services.

7.1 Zip Code Waiver:

CONTRACTOR may service a case outside of their contracted geographic service boundary with an approved Zip Code Waiver (Waiver), Appendix C1 - Technical Exhibit 13. The Community-Based Liaison (CBL) functions as the organizer and point person in the approval process. The intent of the Waiver is to allow timely provision of service to families. Should there be a wait list for FP Intervention services in the area the family resides, the CBL may request to have a CONTRACTOR assigned to another area to provide services to the family. The CBL of the referring office, where the family resides, will contact the CONTRACTOR and the CBL receiving the Waiver to request an approval and acceptance of a case. The approval of the parties involved (CBL and receiving

CONTRACTOR) shall be documented via email. The email communication shall be forwarded along with the Waiver request, to the Family Preservation Program Monitor (FPM) for final approval.

7.2 FP Intervention Services are provided as follows:

- 1) Alternative Response Services (ARS)
- 2) Open DCFS/Probation cases
- 3) Therapeutic Day Treatment – Probation cases

7.3 **ALTERNATIVE RESPONSE SERVICES (ARS)**

ARS is for families that have an inconclusive or substantiated disposition with low-to-moderate SDM risk of child abuse or neglect allegation who are in need of support services. ARS are short-term (maximum of 90 days), family centered services or resources that assist families by strengthening the family functioning while keeping children safe. In addition, they are designed in the effort to prevent future removal of the child(ren) from the home. Services are comprehensive and family-focused to fit the individual needs of each family. In some instances, DCFS may refer again those families that have already received services if a new referral has been assessed as inconclusive or substantiated low-to-moderate risk. Once the prior 90 days of ARS has been completed and closed, a subsequent referral to ARS can be made. ARS is not available for Probation youth.

ARS may include the following:

- Multidisciplinary Case Planning Committee (MCPC) meeting or equivalent;
- Four In-Home Outreach Counselor (IHOC) visits;
- Supplemental services; and
- Linkage services.

7.3.1 CONTRACTOR shall be available to receive ARS referrals, Form 800, (Appendix C1 - Technical Exhibit 1), and Form 802, (Appendix C1 - Technical Exhibit 2), via both fax and telephone. CONTRACTOR's receipt of the hard copy DCFS designated referral forms shall constitute an official referral of the family to the CONTRACTOR. The CONTRACTOR's proof of receipt for the referral shall be the fax stamp and documentation. The response time frames begin at the time that the CONTRACTOR receives the faxed referral document, unless otherwise specified by DCFS.

7.3.2 If FP Assessment Services were provided, the CONTRACTOR that conducted the assessment, unless otherwise clinically indicated, shall provide the FP Intervention Services. The Clinical Supervisor shall inform the COUNTY Designee as soon as practically possible so that an alternative plan for the family can be created. Reasons for assigning

another CONTRACTOR need to be documented. If the CONTRACTOR is not available to take the case, this needs to be documented on the ARS referral form (Form 800, Appendix C1 - Technical Exhibit 1).

- 7.3.3 CONTRACTOR shall call the COUNTY designee within 24 hours of receiving the referral to confirm receipt, provide the name of the CONTRACTOR Program Director (CPD) and the IHOC. The CPD or IHOC shall discuss case specifics and a preliminary plan with the COUNTY designee.
- 7.3.4 CONTRACTOR shall ensure the IHOC makes contact with the family within two business days following the receipt of the referral. Attempts to make contact shall include a minimum of three telephone attempts and two face-to-face home visit attempts within five business days of referral assignment. At the time of the initial home visit, the IHOC is to begin the initial assessment of service needs using the FAF tool and have children sign the Consent to Comply with the Bill of Children's Rights (Appendix C1 - Technical Exhibit 3). If contact is unsuccessful, CONTRACTOR shall immediately telephone the Children's Social Worker (CSW) and fax the Attempted Contact Form, Appendix C1 - Technical Exhibit 11, by end of business day. CONTRACTOR shall leave an Attempted Contact Letter, Appendix C1 - Technical Exhibit 10, at the residence when the attempted home visit is made.
- 7.3.5 CONTRACTOR shall close the referral if there is no response from DCFS or the family within five business days after notification to the CSW.
- 7.3.6 Within 24 hours after the IHOC initial home visit, the CONTRACTOR shall inform the CSW, if either: 1) the IHOC believes the family is appropriate for services; 2) the family refused services; or 3) the IHOC believes the family is inappropriate for services. If CSW is not available, CONTRACTOR shall contact the SCSW and/or the ARA. When a CSW and CONTRACTOR do not agree regarding the appropriateness of the family for ARS, CONTRACTOR shall utilize and confer with the DCFS Regional Office chain of command.

In any one of these cases, the CONTRACTOR may invoice for the supplemental IHOC visit that is in excess of the base rate visit, for the assessment, at the hourly rate of the educational level of the staff providing the assessment.

7.3.7 **Alternative Response Services (ARS) Multidisciplinary Case Planning Committee (MCPC)**

MCPC is a planning committee composed of the referred family, IHOC, CPD/Clinical supervisor, informal support proposed by family and the CSW when possible, to develop a service plan to address the family's needs. This service plan may include other SCSF contracted services

and/or linkage services. CONTRACTOR will engage family in the case planning process consistent with DCFS Shared Core Practice model, Appendix C1 - Technical Exhibit 9, and actively participate as a team member.

- 7.3.7.1 MCPC Plans shall include the FAF assessment tool findings and include an assessment of the Strengthening Families: A Protective Factors Framework, Appendix C1 - Technical Exhibit 33. (*Source material: Center for Study of Social Policy (CSSP) at: www.strengtheningfamilies.net or www.cssp.org*). The case plan shall address the protective factor(s) and identify the underlying needs of the individual(s)/family.
- 7.3.7.2 CONTRACTOR's Clinical Supervisor is responsible for overseeing the development, approval, and implementation of the ARS MCPC Service Plan Agreement, including supervising the staff providing the IHOC services. The ARS MCPC Service Plan Agreement shall be case specific with services directly linked to the case plan goals. The frequency of services shall be documented with a pre-determined frequency, for example, one time per week or two times per month.
- 7.3.7.3 CONTRACTOR shall complete the FAF assessment tool within 15 days of the initial home visit. CONTRACTOR shall convene an initial MCPC meeting within five days after the completion of the FAF to incorporate the findings of the FAF assessment into the service plan.
- 7.3.7.4 The attendees at the ARS MCPC meeting shall develop the ARS MCPC Case Plan Agreement, which shall: 1) determine which family members are to receive service; 2) assess the strengths and needs of the family; 3) identify short and long-term goals; 4) outline the objectives, concrete measurable steps that would assist the family in meeting their short/long term goals; and 5) clearly identify the responsible party and time line of each objective.
- 7.3.7.5 CONTRACTOR cannot bill for DMH services through ARS FP. CONTRACTOR shall make every effort to link families in need of mental health services to available community resources.
- 7.3.7.6 CONTRACTOR shall ensure that the caregiver, Clinical Supervisor and other attendees at each ARS MCPC meeting complete and sign the MCPC Service Plan Agreement and any other necessary DCFS designated forms.
- 7.3.7.7 CONTRACTOR is responsible for ensuring that a copy of the ARS MCPC Service Plan Agreement is provided to community

partner(s) listed on the service plan, and the family at the conclusion of each meeting, or as soon as practically possible if the meeting took place in a location where photocopy equipment is not available.

Another MCPC Service Plan Agreement shall be created if any changes are made in the frequency of services subsequent to an ARS MCPC Service Plan meeting and all participants and children (over the age of 10) shall sign the new agreement.

- 7.3.7.8 CONTRACTOR shall ensure that a MCPC termination meeting is held between 15 to 30 days prior to the anticipated case termination date, unless the family cannot be located or refuses to participate, or in instances where DCFS terminated the case prior to the end date without advance notice to the CONTRACTOR. CONTRACTOR will complete the Outcomes section of the FAF with the updated family's progress.

7.3.8 In-Home Outreach Counselor (IHOC) Sessions (ARS Cases)

IHOC sessions are face-to-face meetings between the IHOC and the ARS/ MCPC Service Plan Agreement participants. IHOC sessions shall provide case management services, crisis intervention, as well as linkage services and advocacy. The IHOC is the primary staff assigned to a case, who in collaboration with the family and DCFS staff conducts the FAF assessments, develops comprehensive treatment plans, and arranges for services and activities while monitoring the clients' progress toward court ordered and non-court ordered treatment/case plan goals in accordance with the five protective factors in the Strengthening Families: A Protective Factors Framework (Appendix C1 - Technical Exhibit 33) and with consideration of the underlying needs of the family. Documentation of the IHOC session is case specific and shall address and include all case specific activities which shall be kept in the FAF system and in the case record.

The IHOC sessions shall be at least one hour per week and are part of the base rate. Any additional IHOC sessions that are necessary, may be of shorter duration and billed accordingly as supplemental IHOC services. CONTRACTOR may bill for a fifth IHOC session for months in which there are five weeks.

- 7.3.8.1 For a description of Excused and Unexcused Absences, refer to Sub-sections 7.4.9 and 7.4.10, of this SOW.
- 7.3.8.2 CONTRACTOR may provide Supplemental Services for ARS cases, as described in Sub-section 7.4.11, of this SOW.

- 7.3.8.3 ARS cases may receive Linkage Services, as described in Appendix C1 - Technical Exhibit 32.
- 7.3.8.4 CONTRACTOR may not purchase goods and services for ARS cases through auxiliary funds.
- 7.3.8.5 ARS monthly progress shall be recorded on Monthly Progress Reports, as described in Section 8.7, of this SOW.

7.4 OPEN DCFS/PROBATION CASES

DCFS/Probation FP services will be provided for families when they are referred and when any of the following conditions apply:

- Families with substantiated referrals;
- Families receiving family reunification services and are expected to return home within 90 days;
- Families receiving family maintenance services; or
- Families with juvenile probation involvement.

The length of the services will be a maximum of six months. CONTRACTOR may request two 3-month extensions. Families that have previously received FP services may be subsequently referred, by DCFS or Probation. However, if the family previously received services for one year or more, the provision of additional services must be pre-approved by the DCFS/Probation Regional Administrator.

- 7.4.1 CONTRACTOR shall be available to receive open DCFS/Probation cases, via both fax and telephone. CONTRACTOR's receipt of DCFS designated referral forms shall constitute an official referral of the case to the CONTRACTOR for services. The CONTRACTOR's proof of receipt for the referral shall be the fax stamp. Referrals may be assigned to the CONTRACTOR by telephone prior to the referral being sent via fax; however, response time frames begin at the time that the CONTRACTOR receives the fax.
- 7.4.2 CONTRACTOR shall call the CSW/DPO within 24 hours of receiving the referral to confirm receipt, provide the name of the CPD and the IHOC, and discuss case specifics and a preliminary plan.
- 7.4.3 CONTRACTOR shall ensure the IHOC makes contact with the family within five business days following the receipt of the referral. Attempts to make contact shall include a minimum of three telephone attempts and two face-to-face home visit attempts within five business days of referral assignment. At the time of the initial home visit, the IHOC is to begin the initial assessment of service needs using the FAF tool and have children sign the Consent to Comply with the Bill of Children's Rights (Appendix C1 - Technical Exhibit 3). If contact is unsuccessful, CONTRACTOR shall

immediately telephone the Children's Social Worker (CSW) and fax the Attempted Contact Form, Appendix C1 - Technical Exhibit 11, by end of business day. CONTRACTOR shall leave an Attempted Contact Letter, Appendix C1 - Technical Exhibit 10, at the residence when the attempted home visit is made.

7.4.4 CONTRACTOR shall close the referral if there is no response from DCFS or the family within five business days after notification to the CSW.

7.4.5 Within 24 hours after the IHOC initial home visit, the CONTRACTOR shall inform the CSW, if either: 1) the IHOC believes the family is appropriate for services; 2) the family refused services; or 3) the IHOC believes the family is inappropriate for services. If CSW is not available, CONTRACTOR shall contact the SCSW and/or the ARA. When a CSW and CONTRACTOR do not agree regarding the appropriateness of the family for services, CONTRACTOR shall utilize and confer with the DCFS Regional Office chain of command.

In any one of these cases, the CONTRACTOR may invoice for the supplemental IHOC visit that is in excess of the base rate visit, for the assessment, at the hourly rate of the educational level of the staff providing the assessment.

7.4.6 **DCFS/Probation Multidisciplinary Case Planning Committee (MCPC)**

MCPC is a planning committee composed of the referred family, IHOC, CPD/Clinical supervisor, informal support proposed by family and the CSW/DPO to develop a service plan to address the family's needs. This service plan may include other SCSF contracted services and/or linkage services. CONTRACTOR will engage family in the case planning process consistent with DCFS Shared Core Practice Model, Appendix C1 - Technical Exhibit 9, and actively participate as a team member.

7.4.6.1 MCPC plans shall include the FAF assessment tool findings and include an assessment of the Strengthening Families: A Protective Factors Framework, Appendix C1 - Technical Exhibit 33, (*Source material: Center for Study of Social Policy (CSSP) at: www.strengtheningfamilies.net or www.cssp.org*). The case plan shall address the protective factor(s) and identify the underlying needs of the individual/family.

7.4.6.2 CONTRACTOR's Clinical Supervisor is responsible for overseeing the development, approval, and implementation of the MCPC Service Plan Agreement, including supervising the staff providing the IHOC services. The MCPC Service Plan Agreement shall be case specific with services directly linked to the case plan goals. The frequency of services shall be

documented with a pre-determined frequency, for example, one time per week, or two times per month.

- 7.4.6.3 CONTRACTOR shall complete the Family Assessment Form (FAF) assessment tool within 25 days of the initial home visit. CONTRACTOR shall convene an initial MCPC meeting within five days after the completion of the FAF to incorporate the findings of the FAF assessment into the service plan. The plan shall be signed by all MCPC participants.
- 7.4.6.4 CONTRACTOR shall notify the DCFS/Probation designee, family and all relevant service providers, giving them a minimum of three business days advance notice of the MCPC meeting schedule.
- 7.4.6.5 The attendees at the MCPC meeting shall develop the MCPC Plan Service Agreement, which shall: 1) determine which family members are to receive service; 2) assess the strengths and needs of the family; 3) identify short and long-term goals; 4) outline the objectives, concrete measurable steps that would assist the family in meeting their short/long term goals; and 5) clearly identify the responsible party and timeline of each objective.
- 7.4.6.6 CONTRACTOR shall ensure that the caregiver, Clinical Supervisor and other attendees at each MCPC meeting complete and sign the MCPC Service Plan Agreement and any other necessary DCFS designated forms.
- 7.4.6.7 CONTRACTOR is responsible for ensuring that a copy of the MCPC Service Plan Agreement is provided to community partner(s) listed on the service plan, and to the family at the conclusion of each meeting, or as soon as practically possible if the meeting took place in a location where photocopy equipment is not available.

Another MCPC Service Plan Agreement shall be created if any changes are made in the frequency of services subsequent to the MCPC Service Plan meeting and all participants and children (over the age of 10) shall sign the new agreement.

- 7.4.6.8 CONTRACTOR shall also comply with DCFS protocols as found in DCFS policy Coordination Service Action Team (CSAT) at: <http://lacdcs.org/katieA/csaf/>. CONTRACTOR will partner with their designated DMH Family Preservation Liaison to refer children and families to an appropriate DMH contracted provider. If there is no available DMH contracted provider, the child and/or adult family member shall be referred to a similarly

qualified mental health provider. CONTRACTOR will notify their designated DMH Family Preservation Liaison with a schedule of each MCPC meeting, where there is a positive Mental Health Screening Tool (MHST), needs for mental health services and/or assessment are identified, and/or consultations regarding mental health services are needed. For Family Preservation children and families without a positive MHST, CONTRACTOR will consult with their DMH Family Preservation Liaison if behavioral and/or emotional symptoms are identified or reported. CONTRACTOR will generate the Family Preservation Mental Health Services Referral Form, Appendix C1 - Technical Exhibit 24, and submit the form to their assigned DMH Family Preservation Liaison and Mental Health Provider. CONTRACTOR will request authorization from their assigned DMH Family Preservation Liaison when requesting to make a referral for mental health services outside of their assigned DMH Mental Health provider, including self-referrals. CONTRACTOR will complete the "Los Angeles County DMH Family Preservation Monthly Referral Reports", Appendix C1 - Technical Exhibits 25 and 26, and submit the log to their assigned DMH Family Preservation Liaison by the 5th of every month to track linkage to mental health services.

- 7.4.6.9 CONTRACTOR shall, at 75-day intervals, conduct MCPC meetings and document ongoing assessments and the family's progress toward achieving their goals as identified in their prior case plan. In emergencies, to meet the 75-day requirement, the CONTRACTOR may conduct MCPC meetings, by conference call, if all required parties are involved. Families must be present at the agency location during the call. A new updated MCPC Plan Agreement shall be developed at subsequent MCPC meetings. CONTRACTOR to follow the same protocol outlined above for each new MCPC meeting.
- 7.4.6.10 CONTRACTOR cannot bill for DMH services through FP.
- 7.4.6.11 For Probation FP cases only: CONTRACTOR shall include the assessment of the Los Angeles Risk and Resiliency Check-up (LARRC) assessment findings reported on the PAUR Referral for Community-Based Services, Appendix C1 - Technical Exhibit 4. CONTRACTOR and MCPC committee members to discuss the findings and target goals in the identified LARRC assessment areas (Refer to Appendix C1 - Technical Exhibit 29 - Probation Criminogenic Needs).
- 7.4.6.12 CONTRACTOR shall notify the COUNTY's Probation Department Program Manager, or designee, prior to terminating services at the end of the service period authorized on the most

current PAUR Referral for Community-Based Services (Appendix C1 - Technical Exhibit 4). CONTRACTOR shall only provide services for the periods indicated on Form 800 (Appendix C1 - Technical Exhibit 1), and PAUR Referral for Community-Based Services (Appendix C1 - Technical Exhibit 4).

7.4.6.13 CONTRACTOR shall ensure that a MCPC termination meeting is held between 15 to 30 days prior to the anticipated case termination date, unless the family cannot be located or refuses to participate, or in instances where DCFS terminated the case prior to the end date without advance notice to the CONTRACTOR. CONTRACTOR will complete the Outcomes section of the FAF with the updated family's progress.

7.4.6.14 CONTRACTOR shall document all scheduling attempts for each family member in the case record/FAF.

7.4.7 In-Home Outreach Counselor (IHOC) Sessions (DCFS/Probation FP Cases)

IHOC sessions are face-to-face meetings between the IHOC and the DCFS/Probation MCPC Service Plan Agreement participants. IHOC sessions shall provide case management services, crisis intervention, as well as linkage services and advocacy. The IHOC is the primary staff assigned to a case who in collaboration with the family and DCFS staff, conducts the FAF assessment, develops comprehensive treatment plans, and arranges for services and activities while monitoring the clients' progress toward court ordered and non-court ordered treatment/case plan in accordance with the five protective factors in the Strengthening Families: A Protective Factors Framework (Appendix C1 - Technical Exhibit 33) and with consideration of the underlying needs of the family. Documentation of the IHOC session is case specific and shall address and include all case specific activities which shall be kept in the FAF system and in the case record.

The IHOC sessions shall be at least one hour per week and are part of the base rate. Any additional IHOC sessions that are necessary, may be of shorter duration and billed accordingly as supplemental IHOC services. CONTRACTOR shall bill for a fifth IHOC session for months in which there are five weeks.

Visits with children in foster care shall not be arranged in the foster home, but the parent(s)/caregiver(s) may be transported to the visitation site, as required in the DCFS/Probation MCPC Service Plan.

7.4.7.1 CONTRACTOR shall document all services, and attempts to provide services, in the case records/FAF.

For a description of Excused and Unexcused Absences, refer to Sub-sections 7.4.9, and 7.4.10, of this SOW.

7.4.7.2 CONTRACTOR may provide Supplemental Services for DCFS/Probation FP cases, as described in Sub-section 7.4.11, of this SOW.

7.4.7.3 DCFS/Probation FP cases may receive Linkage Services, as described in Appendix C1 - Technical Exhibit 32.

7.4.7.4 CONTRACTOR may purchase goods and services for DCFS/Probation FP cases through auxiliary funds.

7.4.8 Intensive Family Preservation (IFP)

IFP services may be provided for families if the family has an open DCFS case and at least one of the following criteria is met:

- Child in the family, age 0-5;
- Any family with a child having a demonstrated mental health need; or
- Any family to necessitate intensive services as identified and documented by the TDM, CFT, or MCPC meeting.

The provision of IFP services will be contingent on the availability of CONTRACTOR's resources to provide this service and is desirable, but NOT a mandatory requirement. The determination as to whether a CONTRACTOR has resources available to provide IFP services will be made collaboratively on a case by case basis by the COUNTY designee and the CONTRACTOR's Program Director (CPD). Once CONTRACTOR has accepted an IFP referral, CONTRACTOR is required to adhere to ALL IFP service requirements as outlined in this SOW.

7.4.8.1 Along with the FP Intervention base rate services, CONTRACTOR shall provide the following supplemental FP services to families assessed at the TDM/CFT/MCPC meeting(s) identified as needing IFP services:

- Two T&D visits per week (outside of normal business hours, if possible), **and**
- Two Supplemental weekly IHOC visits beyond the base rate IHOC visits. One mental health home visit may be substituted for one IHOC visit per week with documented case coordination.

7.4.8.1.1 IHOC and T&D services shall not be performed consecutively within one business day, for example,

two IHOC visits shall not be made on the same day. This rule does not apply when an extra IHOC and/or T&D visit is made on the day following an absence. CONTRACTOR shall make every effort to accommodate the family's schedule for the visits, including early mornings, evenings and weekends.

- 7.4.8.1.2 CONTRACTOR shall be compensated for additional T&D and supplemental IHOC visits for families identified as receiving IFP services. Identification of the family for IFP services shall take place before billing for additional services.
- 7.4.8.1.3 CONTRACTOR shall ensure supplemental IHOC and T&D services are delivered within three business days or less after the services have been deemed necessary for IFP cases.
- 7.4.8.1.4 As schedule allows, the assigned CONTRACTOR shall be involved/present at the initial meeting where it shall be determined if the family will receive IFP services. All base rate and supplemental services may be provided prior to the initial MCPC Service Plan Agreement meeting, if the decision to provide IFP services was determined at a prior CFT/TDM meeting. Continued need for IFP services shall be assessed throughout the life of the case, and case plan may be changed as warranted and designated at the subsequent CFT/TDM/MCPC meetings. The determination shall be based with consideration given to the Structured-Decision Making (SDM) tool rating of high/very high risk, the Child Protective Services investigation, and the DCFS Shared Core Practice Model, Appendix C1 - Technical Exhibit 9.
- 7.4.8.1.5 Once IFP services have been established, the length of time that the family will continue to receive IFP services shall be at the discretion of the TDM/CFT/MCPC Team. When TDM/CFT/MCPC members reach a consensus that IFP services are no longer warranted, the family's service plan will be amended and the family will be provided with the traditional FP services for the remainder of the service period.

7.4.9 Excused Absences – DCFS/Probation FP Cases

An absence is considered excused when the CONTRACTOR has been notified 24 hours or more, in advance of a scheduled visit. In addition, a CONTRACTOR may consider an absence excused if given less than 24-hours notice if the absence is due to documented unforeseeable circumstances, such as family illness; however, CONTRACTOR will still be responsible for contacting the assigned CSW, or if CSW is unavailable, the SCSW to obtain approval of excused IHOC visits.

7.4.9.1 The CPD or CONTRACTOR's Clinical Supervisor, after consulting with the case carrying CSW/DPO, may approve one or more family members' absence for one or more IHOC sessions. CONTRACTOR shall fax confirmation of this decision to the case carrying CSW/DPO. If the CSW/DPO is not available to consult with the CONTRACTOR, the CONTRACTOR may excuse absences for IHOC sessions, so long as the CSW/DPO is contacted within 24 hours of the excused absence.

CONTRACTOR shall fax the Unexcused/Excused Absence Alert Form, Appendix C1 - Technical Exhibit 20, to the case-carrying CSW/DPO and ensure receipt of signature from the SCSW/SDPO, as required. Proof of fax and email to acquire the confirmation of receipt shall serve as reasonable efforts to make contact with SCSW/SDPO.

7.4.9.2 If all family members are excused from more than two consecutive base rate IHOC sessions, the case may be closed upon consultation between CONTRACTOR and case carrying CSW/DPO. The case may be re-opened when the family becomes available. The case carrying CSW/DPO shall re-refer the family to the FP Program.

7.4.9.3 CONTRACTOR may approve absences for services other than IHOC sessions without consulting with the COUNTY and shall document the reasons for excused absences in the family's case record/FAF and in the Monthly Progress Reports to the CSW (see Section 8.7 – Monthly Progress Reports).

7.4.10 Unexcused Absences

An absence is considered unexcused when the CONTRACTOR had a scheduled visit with the family and one or more of the family members were not present at that scheduled meeting.

7.4.10.1 ARS Cases:

CONTRACTOR shall terminate any referral where all family members have more than two consecutive or three total unexcused absences from IHOC sessions.

7.4.10.2 Open DCFS/Probation FP Cases:

CONTRACTOR shall, within 24 hours of the scheduled session, telephone the case carrying CSW/DPO regarding any unexcused absence and fax the completed Unexcused/Excused Absence Alert Form, Appendix C1 - Technical Exhibit 20, to the case carrying CSW/DPO. CONTRACTOR shall still ensure that the required numbers of base rate IHOC sessions and/or child follow-up observations visits are provided, despite any unexcused absences.

7.4.10.3 CONTRACTOR shall document all efforts to visit the family, including documentation of attempted visits to the family home by leaving an Attempted Contact Letter, Appendix C1 - Technical Exhibit 10, at the residence. CONTRACTOR shall also document all efforts of subsequent telephone calls to the family and case carrying CSW/DPO and telephone calls received on the Unexcused/ Excused Absence Alert Form, Appendix C1 - Technical Exhibit 20 and in the case records/FAF.

7.4.10.4 In the event of a second unexcused absence, CONTRACTOR shall contact the case carrying CSW/DPO by telephone and fax the Unexcused/Excused Absence Alert Form, Appendix C1 - Technical Exhibit 20. If there is no response from the COUNTY within 24 hours, the CONTRACTOR shall telephone and fax the Unexcused/ Excused Absence Alert Form to the case carrying SCSW or the case carrying SDPO.

7.4.10.5 If an unexcused absence occurs after business hours, or on a COUNTY holiday or weekend, and CONTRACTOR has sufficient reason to believe that a child is at risk, CONTRACTOR shall immediately contact the Child Protection Hotline at 1-800-540-4000 to report CONTRACTOR's concerns. If the child is in immediate danger, CONTRACTOR shall contact the appropriate law enforcement agency immediately and prior to calling the Child Protection Hotline.

7.4.10.6 If all family members have an unexcused absence from more than two consecutive base rate IHOC sessions, the CONTRACTOR shall consult with the CSW/DPO regarding the possible need to close the case. The case may be re-opened

when the family becomes available. The case carrying CSW/DPO shall re-refer the family to the FP Program.

7.4.11 Supplemental Services

CONTRACTOR shall provide, on a case-by-case basis, directly or through a Subcontractor, supplemental services that target the needs of the family. Billing for supplemental services shall follow the guidelines set forth in Appendix A1, Sample Contract, Subsection 5.6.

7.4.11.1 CONTRACTOR shall ensure supplemental services are provided within 30 days after these services have been identified in the MCPC Service Plan Agreement.

7.4.11.2 The COUNTY prefers evidenced-based practices (Refer to Appendix C1 – Technical Exhibit 31, Definitions, and Technical Exhibit 37, Evidence-Based Practices Sample Sheet).

7.4.11.3 Supplemental Services include, but are not limited to:

a. In-Home Outreach Counselor (IHOC) Sessions:

Supplemental IHOC sessions are additional case-related face-to-face meetings between the IHOC and the MCPC Service Plan Agreement participants. IHOC visits beyond the four mandatory IHOC visits included in the base rate will be considered supplemental IHOC visits, including the fifth visit in a month where there are five weeks. The need for additional IHOC visits shall be clearly documented in the MCPC Service Plan for each family. IHOC sessions shall provide case management services, crisis intervention, as well as linkage services and advocacy. In collaboration with the family and DCFS staff, the IHOC conducts psychosocial assessments, develops comprehensive treatment plans, and arranges for services and activities while monitoring the clients' progress toward a court ordered and non-court ordered treatment/case plan goals.

b. Counseling:

Face-to-face meetings/interventions by a counselor with an individual, couple, family or group to: 1) help identify and assist in solving family problems; 2) identify substance abuse and refer for treatment; 3) address and treat domestic violence or anger management issues; and 4) help identify personal, vocational, and educational goals. The IHOC shall not provide counseling to MCPC Service Plan participants assigned to their caseload. These services shall be

provided by, 1) a Licensed Clinical Social Worker (LCSW) with a current license from the California Board of Behavioral Sciences; or 2) a Licensed Marriage and Family Therapist (LMFT) with a current license from the California Board of Behavioral Sciences; or 3) a licensed Psychologist with a current license from the California Board of Psychology; or 4) a Master's/Doctoral level registered Intern under Clinical Supervision by a LCSW, LMFT, or licensed Psychologist.

CONTRACTOR shall invoice per family for all family members participating in counseling at the same time. Counseling shall be billed by the hour for the counselor's time. If it is a conjoint family or group counseling session, the CONTRACTOR shall bill per family, not per family member.

Billing for documentation and preparation is not allowed.

c. Child Focused Activities:

Age appropriate activities designed to enhance a child's growth and development. The activities shall be provided at the same time the parent(s)/caregiver(s) are receiving services. Activities shall be related to the ARS/FP MCPC Service Plan Agreement goals and interaction between the child and staff providing Child Focused Activities is required. Child Focused Activities may be provided up to a maximum of eight children per session, per staff member. Staff providing services may be a Case Aide or higher.

CONTRACTOR shall invoice per family for all children participating in a particular child focused activity at the same time. CONTRACTOR may invoice individually if the children in a family participate in separate activities. CONTRACTOR shall clearly define the nature of the separate activities.

d. Child Follow-Up Visits:

CONTRACTOR shall ensure that IHOC makes a subsequent visit, prior to the next IHOC visit or within five calendar days, whichever is shorter, whenever a child(ren) is absent during the IHOC session. Child follow-up visits shall be at least 15 minutes in length.

e. Drug Testing:

This service involves drug testing of urine samples for parents or primary caregivers whose drug use/abuse has

negatively impacted their parental capacity to care for their children.

f. Emergency Housing:

CONTRACTOR shall arrange temporary housing for a family for a maximum of 14 consecutive days. Any family needing additional time requires pre-approval by the COUNTY Program Manager (CPM).

g. Parenting Training Services / Fatherhood Program:

Services that support and enhance parenting skills through training in areas such as: 1) anger management; 2) impulse control; 3) child development; and 4) alternative discipline. Parenting Training services shall use a parenting modality with a set curriculum and differs from IHOC visits where parenting issues may be addressed as it comes up in the home.

At minimum, staff providing parenting training services/fatherhood program, shall be a credentialed instructor. Staff shall have proof of training in the modality being used by the parenting & fatherhood instructor or agency. CONTRACTOR shall provide a certificate to the participant upon successful completion of the program.

h. Substance Abuse Assessment and Treatment:

CONTRACTOR shall arrange alcohol and other drug treatment recovery services for eligible clients during the term of the Contract. CONTRACTOR shall ensure that services are provided by a State of California licensed/certified substance abuse treatment center that accesses Medi-Cal and CAL-Works programs and private insurance, or a Subcontractor with a licensed (residential), certified (outpatient), or Community Assessment Services Center provider.

These services shall not be billed under Counseling.

COUNTY funds are available to provide these services only for indigent participants that do not qualify for the aforementioned funding sources.

i. Substitute Adult Role Model Services (SARM):

CONTRACTOR shall arrange services in which trained and supervised adult mentors are paired with children and youth to: 1) foster positive behavior through the mentor's example; and 2) broaden the children's recreational, social, and educational dreams through shared experiences. Group participants need to be of similar age and developmental level. SARM shall be provided up to a maximum of four children per session, per mentor.

The focus of SARM is on the on-going relationship between an adult (mentor) and a child/youth. If CONTRACTOR has group mentorship programs with a ratio greater than four children per mentor, the CONTRACTOR may submit a proposal to the COUNTY Program Manager (CPM) for consideration. The number of children/youth involved must be limited to allow quality time between the mentor and the children/youth.

CONTRACTOR shall invoice, per family, for all children participating in a particular SARM activity at the same time. CONTRACTOR may invoice individually if the children in a family participate in separate activities.

j. Teaching and Demonstrating (T&D) Homemaking Services:

CONTRACTOR shall arrange services in which a T&D worker demonstrates and teaches primary caregivers the skills to successfully manage and maintain a home including, but not limited to, home safety, cleanliness, meal planning, and budgeting.

T&D provider may be a Case Aide or higher with the experience and expertise to provide hands-on instruction in the above areas.

k. Transportation Services:

CONTRACTOR shall provide or arrange transportation services through their community partners for families to a specific service site by means of bus fare/pass, passenger vanpool, or private vendor if no other means of transportation is available.

CONTRACTOR shall invoice, per family, for all family members transported to the same location or activity. CONTRACTOR may invoice individually if family members

are being transported to different locations or activities by the same transporter. Staff providing services may be a Case Aide or higher.

CONTRACTOR may submit only one invoice when waiting for participants at the same location. CONTRACTOR may not bill for the wait time if they are at the CONTRACTOR's location. Maximum billable wait time is two hours.

CONTRACTOR shall document transportation services in the case record. CONTRACTOR shall document the names of all participants who receive transportation services utilizing the Transportation Log (Appendix C1 - Technical Exhibit 27).

I. Deaf/Interpretive Services:

CONTRACTOR shall purchase and be reimbursed for deaf/interpretive services. CONTRACTOR shall submit an Expense Claim for Auxiliary Fund Reimbursement, Appendix C1 - Technical Exhibit 18. CONTRACTOR shall be responsible for providing all necessary documentation for the purchase and reimbursement of deaf/interpretive services.

7.4.12 Therapeutic Day Treatment (TDT) - Probation Cases

The primary case management focus for Probation TDT cases will be education advocacy, enrollment, academic performance, credit recovery, and school behavior.

7.4.12.1 CONTRACTOR shall provide TDT services for Probation Youth as approved by the MCPC. Services shall be provided at least seven hours per day, five days per week and shall include, but are not limited to: 1) formal education in a non-public school six hours per day, which includes therapeutic recreation; 2) one hour of group counseling per day; 3) at least one hour of individual counseling per week; 4) at least 50 minutes of in-home case management with the family per month; and 5) other services as determined by the MCPC. Transportation and meals shall be provided, and attendance and activities documented.

7.4.12.2 TDT services may be provided for six months and may be extended for an additional three months, up to two times with approval from the COUNTY Probation Department, Supervising Deputy Probation Officer (SDPO).

7.4.12.3 CONTRACTOR shall notify the COUNTY's Probation Department Program Manager, or designee, prior to terminating services at the end of the service period authorized on the most current PAUR Referral for Community-Based Services (Appendix C1 - Technical Exhibit 4).

CONTRACTOR shall only provide services for the periods indicated on Form 800 (Appendix C1 - Technical Exhibit 1), or PAUR Referral for Community Based Services (Appendix C1 - Technical Exhibit 4).

7.4.13 Auxiliary Good and Services/Items

CONTRACTOR will use the following procedures to obtain goods and services for Family Preservation families with DCFS authorized auxiliary funds. Purchases that shall be procured directly by the COUNTY and will not be authorized for CONTRACTOR reimbursement include, but are not limited to: beds, mattresses, stoves, refrigerators, washers, dryers, sofa-beds, cribs, dinette sets, chest of drawers, moving costs, rental payments, and security deposits within Los Angeles County.

For these purchases, CONTRACTOR shall provide the CSW with the required documentation as further described below:

7.4.13.1 AUXILIARY SERVICES VIA CONTRACTOR

After the need for auxiliary services has been discussed and agreed upon in the MCPC/CFT/TDM meeting, the CONTRACTOR shall provide written documentation of their agreement of the services/items to be purchased to the CSW/DPO and maintain a copy in the CONTRACTOR's case record. If the need was discussed at a MCPC/CFT/TDM meeting, the written documentation (Letter Requesting Services) should be attached to the approved service plan. The written documentation shall include:

- a. How the purchase will promote the safety, health, and placement prevention and/or safe reunification of the child, and how it relates to the MCPC/CFT/TDM service plan goals;
- b. What other resources were explored to avoid use of auxiliary funds; and
- c. Why the purchase is needed immediately.

7.4.13.2 Upon completion of the MCPC/CFT/TDM meeting the CSW will generate the appropriate forms necessary to obtain the required

approval for authorizing goods and services. Forms are as follows:

- a. FP Auxiliary Fund Authorization (Appendix C1 - Technical Exhibit 16), signed by CSW, SCSW, CBL, ARA and RA, must list ALL items requested. The items on the exhibit should reflect the items listed in the letter from the CONTRACTOR. Any modified amounts must be initialed by the Regional Administrator (RA)/Assistant Regional Administrator (ARA) approving the additional amount.
- b. DCFS 250 (Procurement Request), Appendix C1 - Technical Exhibit 30, if applicable, is to be completed in its entirety before it is submitted to Accounting to avoid termination. DCFS 250 can also be found on LaKids, DCFS' Intranet Site. All of the following areas must be completed: (a) item requested, (b) amount of item requested, (c) total request amount, (d) service bureau, division, region, contact person, delivery address, telephone and email and (e) original signatures from the requestor, ARA, and RA/Division Chief.
- c. Family Budget Worksheet (Appendix C1 - Technical Exhibit 21) shall be submitted with each request and shall be completed in a timely manner in correlation to when the request is submitted. CPM may request an updated Family Budget Worksheet as needed.

7.4.13.3 When requesting rental assistance, CONTRACTOR shall obtain and submit to the CSW written documentation of the following:

- a. Client's ability to pay the ongoing rent amount;
- b. Copy of the original rental agreement signed by the client and the property owner or authorized agent. Client's name must be listed on the rental agreement. CPM may follow-up regarding other adults listed on the lease/rental agreement if it affects whether or not a client will be approved for funds;
- c. A [W-9 form](#) with the corresponding taxpayer ID, complete address, and telephone number of the owner listed on the lease/rental agreement; and
- d. A Return of Security and Rental Deposit Agreement form, Appendix C1 - Technical Exhibit 12, shall be completed if a client is requesting assistance with funds used for the sole purpose of paying the move-in amount indicated on the lease/rental agreement. The original Return of Security and Rental Deposit Agreement form must be signed by the client

and the property owner or authorized agent. If/When a client moves, all monies from said security deposit shall be returned to the COUNTY.

- 7.4.13.4 When requesting funds for the purchase of clothing/other items that are to be paid with a COUNTY issued check, the following items are required: (a) the vendor's [W-9 form](#) with corresponding taxpayer ID, and (b) an itemized list of items being requested (for large retailers such as Target or Walmart please go to their website and create an online shopping cart with the items, allow the website to generate the tax and total, print said list and attach to the request).
- 7.4.13.5 CONTRACTOR shall place in a sealed envelope the completed original Expense Claim for Auxiliary Fund Reimbursement (Appendix C1 - Technical Exhibit 18), a copy of the FP Auxiliary Fund Authorization, Appendix C1- Technical Exhibit 16, with authorizing signatures and the original receipt(s), and forward to: Department of Children and Family Services, 425 Shatto Place, Room 204, Los Angeles, CA 90020, Attention: Accounting Section, Family Preservation Payment Unit. CONTRACTOR shall photocopy all documentation for their files.
- 7.4.13.6 After review and approval of the Expense Claim for Auxiliary Fund Reimbursement (Appendix C1 - Technical Exhibit 18), by the CPM, the request will be forwarded to DCFS Finance Section for processing. The Finance Section's time to process the request to the time Auditor- Controller issues the payment is approximately two weeks.
- 7.4.13.7 In the event the original receipts and documentation are lost in transmission to the Finance Section, the CONTRACTOR shall submit a memo signed by the Executive Director specifying the reason(s) for not submitting original receipts, along with a copy of all documentation and receipts from their files, to facilitate reimbursement.
- 7.4.13.8 COUNTY shall issue/make check payable to the vendor for the authorized amount and shall be responsible for resolving any discrepancies with the vendor. CONTRACTOR shall assist COUNTY in resolving any such disputes upon request by COUNTY.
- 7.4.13.9 To obtain reimbursement for authorized Deaf Interpretive Services fund purchases, CONTRACTOR shall on the 15th and the last day of the month complete the Expense Claim for Auxiliary Fund Reimbursement form (Appendix C1 - Technical Exhibit 18), attach the [original receipt\(s\)](#), a copy of the FP

Auxiliary Fund Authorization (Appendix C1 - Technical Exhibit 16) and forward these documents to the Executive Director or designee for approval and signature.

7.4.13.10 CONTRACTOR shall place in a sealed envelope the completed original expense claim, a copy of the FP Auxiliary Fund Authorization, Appendix C1 - Technical Exhibit 16, with authorizing signatures and the original receipt(s), and forward to: Department of Children and Family Services, 425 Shatto Place, Room 310, Los Angeles, CA 90020, Attention: Administrative Assistant II, Family Preservation Unit. CONTRACTOR shall photocopy all documentation for their files.

7.4.14 Discretionary Funds

CONTRACTOR may use up to five percent (5%) of their total fiscal year allocation amount toward discretionary funds. CONTRACTOR shall obtain prior written approval from the CPM for any discretionary expenditures estimated to be in excess of two thousand, five hundred dollars (\$2,500) for any individual item, event, activity or service (Referenced in Appendix A1, Sample Contract, Subsections 5.6.2 & 5.6.3).

COUNTY has the right to review and approve all requests. Discretionary fund items and services shall target the needs of the family to assist the family in meeting their MCPC goals and promote the return of the children to the home or prevent removal from the home. CONTRACTOR shall provide clear explanations for items purchased, when requested by CPM.

After the need for discretionary services has been discussed and agreed upon in the MCPC/CFT/TDM meeting, the CONTRACTOR shall provide written documentation of their agreement of the services/items to be purchased to the COUNTY and maintain a copy in the CONTRACTOR's case record. The written documentation shall include:

7.4.14.1 Discretionary Fund Payment Request, Appendix C1 - Technical Exhibit 19, should be completed in its entirety and signed by the CPD.

7.4.14.2 An original receipt and/or credit card statement shall be submitted for each transaction listed on Discretionary Fund Payment Request (Appendix C1 - Technical Exhibit 19). In the event the original receipts and documentation are lost in transmission to the Finance Section, the CONTRACTOR shall submit a memo signed by the Executive Director specifying the reason(s) for not submitting original receipts, along with a copy of all documentation and receipts from their files, to facilitate reimbursement.

- 7.4.14.3 Use of personal, non-agency credit or debit cards is not permitted.
- 7.4.14.4 CONTRACTOR shall place in a sealed envelope the completed original Discretionary Fund Payment Request, Appendix C1 - Technical Exhibit 19, with authorizing signatures and the original receipt(s), and forward to: Department of Children and Family Services, 425 Shatto Place, Room 310, Los Angeles, CA 90020, Attention: Administrative Assistant II, Family Preservation Unit. CONTRACTOR shall photocopy all documentation for their files.
- 7.4.14.5 After review and approval of the Discretionary Fund Payment Request (Appendix C1 - Technical Exhibit 19) by the CPM, the request will be forwarded to DCFS Finance Section for processing. The Finance Section's time to process the request to the time the Auditor-Controller issues the payment is approximately two weeks.
- 7.4.14.6 COUNTY shall issue/make check payable to the vendor for the authorized amount and shall be responsible for resolving any discrepancies with the vendor. CONTRACTOR shall assist COUNTY in resolving any such disputes upon request by COUNTY.

8.0 REPORTS AND RECORD KEEPING

CONTRACTOR shall use the appropriate forms in the Exhibits attached to this Contract, exactly in the format they appear, or any designated form(s) selected by DCFS. DCFS reserves the right to add, revise and/or replace any exhibit/form during the Contract period.

- 8.1 CONTRACTOR shall submit to DCFS a Monthly Staffing and Expenditure Report (MSER) (Appendix C1 - Technical Exhibit 23), for the previous month. The report shall be attached to the monthly billing invoice and transmitted via email to the assigned Family Preservation Program Monitor (FPM).
- 8.2 CONTRACTOR shall submit to DCFS the ERCP/Regional Office Screening Outcome Reports (Appendix C1 - Technical Exhibits 5 and 6) for FP Assessment services, for the previous month. The report shall be attached to the monthly billing invoices and transmitted via email to the assigned FPM.
- 8.3 Billing and all supporting documentation (MSER or Screening Outcome Reports) shall be submitted no later than the 30th day of the next month of billing cycle to the following address:

DCFS' Fiscal Operations Division
425 Shatto Place, Room 204
Los Angeles, California 90020

8.4 CONTRACTOR shall maintain and make available to CPM upon request all verification of meetings held or attended as follows:

8.4.1 Community Advisory Council (CAC): CONTRACTOR shall hold CAC meetings quarterly to discuss and review community services.

8.4.2 Subcontractor/Network Meetings: CONTRACTOR shall hold Subcontractor/Network meetings to discuss and review their services. These meetings can be combined with the CAC meetings.

8.4.3 General Staff Meetings: CONTRACTOR shall attend the General Staff meetings quarterly in their Regional Office area upon the CPM's request.

8.4.4 Roundtable Meetings: CONTRACTOR shall attend all FP Roundtable meetings scheduled by the COUNTY. CONTRACTOR shall keep notes of the agenda items and discussions and incorporate into practice as needed.

The half-hour before each Roundtable Meeting, 9:30 a.m. – 10:00 a.m., will be dedicated to a facilitated discussion hosted by a Steering Committee member(s). The facilitated discussion during the Roundtable pre-meet will afford the opportunity for the Steering Committee to review the prior teleconference discussion with the larger body of CONTRACTORS, solicit additional input, and prepare for the Roundtable Meeting. The goal is to support a constructive dialogue and reinforce the teaming philosophy that joint ownership of the program goals, values, and outcomes requires dialogue and sharing of ideas.

8.4.5 Steering Committee: The Family Preservation Steering Committee is formed with the purpose of supporting communication and strengthening the link between DCFS and CONTRACTORS. It is anticipated that the Steering Committee will enhance organization and quality of program services, allow for timely decision making, and reinforce inclusion of CONTRACTOR's perspective in the decision-making process.

8.4.6 Task Force Meetings: CONTRACTOR shall attend Task Force Meetings with the other agencies providing Family Preservation services in their regional office area on a monthly basis. CONTRACTOR shall alert CPM of pending issues, as needed.

8.5 CASE RECORDS

8.5.1 CONTRACTOR shall maintain case records on each client and family served. Case records shall include, but not be limited to:

- 8.5.1.1 Form 800 (Appendix C1 - Technical Exhibit 1),
 - 8.5.1.2 Form 802 (Appendix C1 - Technical Exhibit 2),
 - 8.5.1.3 Prospective Authorization & Utilization Review (PAUR) Referral for Community-Based Services (Appendix C1 - Technical Exhibit 4),
 - 8.5.1.4 MCPC Plan & Confidentiality Declaration/Service Agreement (Appendix C1 - Technical Exhibit 7),
 - 8.5.1.5 Contact Summary (Appendix C1 - Technical Exhibit 15),
 - 8.5.1.6 Unexcused/Excused Absence Alert Form (Appendix C1 - Technical Exhibit 20),
 - 8.5.1.7 Monthly Progress Report (Appendix C1 - Technical Exhibit 14) (Refer to Section 8.7),
 - 8.5.1.8 Verification of County of Los Angeles residency,
 - 8.5.1.9 Applicable reimbursement requests for auxiliary, discretionary and emergency funds,
 - 8.5.1.10 All correspondence related to case management from the time the referral is received through termination,
 - 8.5.1.11 Linkage service referrals/DPSS linkages and follow-up; and
 - 8.5.1.12 Any other documentation (as applicable).
- 8.5.2 CONTRACTOR is responsible for maintaining closed case records for all services provided through FP Services, for five years after each fiscal year.
- 8.5.3 CONTRACTOR shall utilize the serial number and/or child identification number designated by DCFS/Probation for identifying each referred family and case record.
- 8.5.4 CONTRACTOR shall document all services provided on the web-based Family Assessment Form (FAF). Hard copies of the documentation shall be printed out and placed in the file, such as contact summary related to consultations, telephone calls to MCPC plan participants, base rate and supplemental services.
- 8.5.5 CONTRACTOR shall obtain all required signatures of case participants.

8.6 TRANSFER OF CASE RECORDS

Prior to Contract termination or non-renewal of Contract, CONTRACTORS shall, at no additional cost to COUNTY, cooperate in transitioning active cases which are transferring to new CONTRACTORS, including providing all original case records and electronic records. CONTRACTOR shall keep copies of all transferred cases for their own records. The transitional plan shall be made in

consultation with the COUNTY Program Manager (CPM) at least one month in advance of the Contract termination or as soon as possible in the event of non-renewal.

8.6.1 At the start of a new Contract, CONTRACTOR shall accept transitioned cases from prior CONTRACTORS. The new CONTRACTOR shall submit a plan of coverage to the CPM for the transitioned cases within 30 days of the start of the new Contract or within 30 days of receipt of transitioned cases from the prior CONTRACTOR. The plan of coverage shall include (1) telephonic contact with the family within three weeks of the 30 day transitional period, (2) a face-to-face contact with the family within five business days from the telephonic contact, and (3) an initial case plan for the family within 30 days from the initial face-to-face contact with the family.

8.7 MONTHLY PROGRESS REPORTS

The monthly progress reports, for each case, shall be completed by the IHOC and reviewed, approved, and signed by the CONTRACTOR's Clinical Supervisor/Director. The reports shall reflect the progress towards the MCPC Service Plan Agreement goals and summarize the activities provided by the CONTRACTOR for the reporting month. The report will also document CONTRACTOR's efforts in the CSAT process and linkage services/Linkages Service coordination activities. The CONTRACTOR's Monthly Progress Reports will have the following requirements:

8.7.1 For ARS cases, CONTRACTOR shall prepare and submit to the COUNTY designee upon request, a Monthly Progress Report, Appendix C1 - Technical Exhibit 14.

8.7.2 For FP Intervention Cases, CONTRACTOR shall prepare and submit to the assigned CSW or COUNTY Designee, the Monthly Progress Report, Appendix C1 - Technical Exhibit 14, for each referred family by the 15th day of the following month (*e.g., May reports are due by June 15*).

8.7.3 The TDT provider shall adhere to the monthly reporting requirements of the CONTRACTOR, which includes providing the Monthly Progress Report, Appendix C1 - Technical Exhibit 14, to the DPO to include, but not be limited to information regarding: 1) in-home case management visits; 2) other services as determined by the MCPC; 3) education advocacy; 4) school enrollment; 5) academic performance; 6) credit recovery; 7) school behavior; and 8) progress toward treatment goals.

8.7.4 The Probation FP cases require that CONTRACTOR includes the LARRC assessment findings reported on the PAUR Referral for Community-Based Services (Appendix C1 - Technical Exhibit 4). CONTRACTOR shall comment on the progress of the youth in the LARRC assessment areas

(Refer to Appendix C1 - Technical Exhibit 29, Probation Criminogenic Needs).

- 8.8 CONTRACTOR shall submit to DCFS the FP Weekly Case Count Report, Appendix C1 - Technical Exhibit 28, via email to the CBL, CPM, and PAUR unit every Monday, reporting on the previous week to ensure even and fair distribution of referrals. CONTRACTOR shall include their name and the reporting week/dates in the subject line of the email.
- 8.9 CPM reserves the right to request from CONTRACTOR additional reports as needed throughout the contracting period.

9.0 STAFFING

CONTRACTOR shall be responsible for securing and maintaining staff, interns and volunteers who meet the minimum qualifications below, and who possess sufficient experience and expertise required to provide services required in this SOW and meets the requirements identified in Appendix A1, Sample Contract.

- 9.1 Criminal Clearances: CONTRACTOR shall ensure that criminal clearances with subsequent arrest notification and background checks have been conducted for all CONTRACTOR's staff and volunteers, as well as, all Subcontractors' staff, prior to beginning and continuing work under any resulting Contract. The cost of such criminal clearances and background checks is the responsibility of the CONTRACTOR and Subcontractors, whether or not the staff member passes or fails the background and criminal clearance investigations (also referenced in Appendix A1, Sample Contract, Subsection 7.4).
- 9.2 Language Ability: CONTRACTOR's personnel, as well as all Subcontractor staff who are performing services under this Contract, shall be able to read, write, speak, and understand English in order to conduct business with the COUNTY. In addition to having competency in English, the CONTRACTOR shall ensure there is a sufficient number of bilingual staff to meet the language needs of the community served, including the various Asian and Pacific Islander languages which will be serviced countywide by an awarded CONTRACTOR.
- 9.3 Service Delivery: CONTRACTOR shall ensure all professional and paraprofessional staff and volunteers providing program services are able to provide services in a manner that effectively responds to differences in cultural beliefs, behaviors and learning, and communication styles within the community CONTRACTOR provides services.
- 9.4 Driver's License: CONTRACTOR shall maintain copies of current driver's licenses, including current copies of proof of auto insurance of staff providing transportation to clients.
- 9.5 Driving Record: CONTRACTOR shall maintain copies of driver's Department of Motor Vehicles (DMV) printouts for all CONTRACTOR's drivers providing service

under this Contract. Reports shall be available to the CPM on request. COUNTY reserves the right to request DMV check on CONTRACTOR's and Subcontractors' drivers once a year.

- 9.6 Educational Degrees: CONTRACTOR shall obtain written verification for staff with foreign degrees that the degrees are recognized as meeting established standards and requirements of an accrediting agency recognized by the U.S. Department of Education. Any foreign degrees or qualifications will need to be evaluated through a Credential Evaluation Service provider at the agency's cost. For licensure, staff will need to go through the state's licensing board relevant to their degree to ensure they meet the requirements. The agency and DCFS will determine on a case by case basis what will be accepted.
- 9.7 Case Aide: A Case Aide shall be defined as CONTRACTOR paid staff who provides direct client services, but who do not possess, at minimum, a Bachelor's Degree in Social Work, Psychology, Marriage and Family Counseling, or a closely related field.
- 9.8 Paraprofessional Staff: Paraprofessional staff shall have, at minimum, a Bachelor's Degree in Social Work, Psychology, Marriage and Family Counseling, or a closely related field.
- 9.9 Professional Staff: Professional staff shall have, at minimum, a Bachelor's Degree in Social Work, Psychology, Marriage and Family Counseling or a closely related field, and have a minimum of one year of experience providing direct client services similar to the services listed in this SOW.
- 9.10 Clinical Supervisor: The Clinical Supervisor shall be one of the following: 1) a Licensed Clinical Social Worker (LCSW) with a current license from the California Board of Behavioral Sciences; 2) a Licensed Marriage and Family Therapist (LMFT) with a current license from the California Board of Behavioral Sciences; or 3) a licensed Psychologist with a current license from the California Board of Psychology. The Clinical Supervisor shall also have a minimum of two years of experience within the last five years, providing direct client services similar to the services listed in this SOW.
- 9.11 Program Director / Program Manager: The CONTRACTOR's Program Director/Manager (CPD) shall have, at minimum, a Bachelor's degree in the social services field and a minimum of two years social services experience within the last five years.
- 9.12 Counselors: The Counselors shall be one of the following: 1) a Licensed Clinical Social Worker (LCSW) with a current license from the California Board of Behavioral Sciences; or 2) a Licensed Marriage and Family Therapist (LMFT) with a current license from the California Board of Behavioral Sciences; or 3) a licensed Psychologist with a current license from the California Board of Psychology; or 4) a Master's/Doctoral level Registered Intern under Clinical Supervision by a LCSW, LMFT, or licensed Psychologist.

- 9.13 County Designee: An individual or group identified by the COUNTY as responsible to carry out the work as stated in this SOW. County Designee may change at the COUNTY's discretion.
- 9.14 In-Home Outreach Counselors (IHOC): The In-Home Outreach Counselors (IHOC) shall be one of the following: 1) a LCSW with a current license from the California Board of Behavioral Sciences; 2) a LMFT with a current license from the California Board of Behavioral Sciences; 3) a Licensed Clinical Psychologist with a current license from the California Board of Psychology; or 4) a staff person with a Master's degree in a human services field who shall be directly supervised by a LCSW or LMFT.
- 9.14.1 In lieu of a Master's degree, CONTRACTOR may submit a request for a waiver, to be approved by the CPM, to allow CONTRACTOR's staff person with a Bachelor's degree in behavioral sciences or a related field and experience providing direct services in a social service agency, to provide IHOC. A LCSW, LMFT, or licensed Psychologist shall directly supervise this staff person. The waiver request shall include a copy of the staff person's current resume, degree and transcript. The duration of the waiver shall be at the discretion of the CPM. CONTRACTOR shall receive CPM's approval of the waiver prior to the start of work of the identified staff person. Services provided by a non-approved individual may lead to disallowable billing.
- 9.15 Intern: A student in a Bachelors or Master's program in the field of Social Work or related field, being supervised by a professional staff.
- 9.16 Registered Intern: A student who holds a Master's degree in Social Work or related field under the supervision of a Licensed Clinical Social Worker (LCSW), or Licensed Marriage and Family Therapist (LMFT), or Licensed Psychologist AND registered with the Licensing Board applicable to the field of study.
- 9.17 Teaching and Demonstrating (T&D) Homemaking Staff: Teaching and Demonstrating (T&D) Homemaking Staff shall be one of the following: 1) a Case Aide, or 2) an Intern.
- 9.18 STAFF TRAINING, RECORDS AND REPORTING
- 9.18.1 CONTRACTOR shall train all professional and paraprofessional staff, registered interns and volunteers providing program services within 45 business days for full-time staff and maximum of three months for part-time staff, from their start date. This training shall consist of a minimum of 40 hours to include: 1) identifying child safety issues (including domestic violence, substance abuse and mental health issues); 2) instructing staff and volunteers in mandated reporting requirements; 3) working with families affected by abuse and neglect; 4) learning methods of identifying and building family strengths; 5) helping parents build on their own skills

and confidence; 6) promoting positive parent-child and family interaction; 7) learning record keeping procedures, documentation and accurate completion of the Family Assessment Form (FAF); 8) linking families to community services and resources; and 9) role and participation in the MCPC process.

9.18.2 CONTRACTOR shall ensure all registered interns, paraprofessional staff, volunteers, and Subcontractors' staff providing FP services receives regular, ongoing in-service training and supervision. CONTRACTOR's staff shall receive a minimum of four hours of training each quarter of the Contract term. Licensed staff shall show proof of trainings as required by their licensing body.

9.18.3 CONTRACTOR shall conduct weekly supervision reviews with all professional staff, paraprofessional staff, registered interns, mentors, and volunteers, and shall ensure that Subcontractors' meet the same requirement. CONTRACTOR shall keep all records pertaining to the supervision of staff in providing FP services, including but not limited to, supervision logs, agendas, and documentation of issues discussed in supervision.

9.18.4 CONTRACTOR shall attend a mandatory orientation that shall be provided by COUNTY within 30 days of the Contract start date. CONTRACTOR shall be notified at least two weeks in advance of the date, time and location of the orientation. CONTRACTOR shall ensure that all Subcontractors attend this mandatory orientation as well.

9.18.5 CONTRACTOR shall inform the CPM, as soon as information becomes available, either by telephone, electronically or in-person, of any serious FP child or family incident or fatality. CONTRACTOR shall deliver to the CPM copies of all FP related records within 24 hours.

10.0 QUALITY ASSURANCE PLAN (QAP) AND MONITORING

Tracking and Adapting are critical components of the DCFS Shared Core Practice Model, Appendix C1 - Technical Exhibit 9. Consistent with the Model, the CONTRACTOR shall establish and maintain a Quality Assurance Plan (QAP) to assure the requirements of the Contract are met.

10.1 CONTRACTOR shall submit a draft QAP for evaluation to demonstrate how all of the requirements of the Contract will be met. A finalized copy of the plan shall be provided to the CPM within 30 days of the Contract start date and as changes occur.

10.2 The original QAP and any revisions thereto shall include, but not be limited to the following:

- 10.2.1 A description of how the CONTRACTOR's service delivery model components align with the Strengthening Families: A Protective Factors Framework, Appendix C1 - Technical Exhibit 33, (*Source material: Center for Study of Social Policy (CSSP) at: www.strengtheningfamilies.net or www.cssp.org*), which includes parental resilience, social connections, knowledge of parenting and child development, concrete support in times of need and social and emotional competency of children and youth.
- 10.2.2 A description of how the Protective Factors Framework outcomes will be measured.
- 10.2.3 A description of how CONTRACTOR will ensure IHOC understands and applies the concept of underlying needs when developing case plan goals.
- 10.2.4 A description of how racial disproportionality will be addressed.
- 10.2.5 A description of how the CONTRACTOR's service delivery model will align with the DCFS Shared Core Practice Model, which includes engaging, teaming, assessment and understanding, planning and intervening, and tracking and adapting.
- 10.2.6 Methods used to ensure that the quality of service performed fully meets the performance requirements set forth in this SOW. CONTRACTOR shall include methods for identifying and preventing deficiencies in the quality of services performed before the level of performance becomes unacceptable.
- 10.2.7 Methods for ensuring uninterrupted service to DCFS in the event of a strike, or any other potential disruption in service, which may include medical leaves, vacations, etc. by CONTRACTOR's employees.
- 10.3 CONTRACTOR shall not utilize any employee or Subcontractor whose work has been deemed deficient and unacceptable by DCFS.
- 10.4 A record of all inspections conducted by the CONTRACTOR, any corrective action taken, the time a problem was first identified, a clear description of the problem, and the time elapsed between identification and completed corrective action, shall be provided to the COUNTY, upon request.
- 10.5 CONTRACTOR and CPM shall review the QAP annually and revise, if needed.
- 10.6 QUALITY ASSURANCE MONITORING

The CPM, or other personnel authorized by DCFS, will monitor and evaluate CONTRACTOR's performance under this Contract using the quality assurance

procedures specified in this SOW. All monitoring will be conducted in accordance with Appendix A1, Sample Contract, Subsection 8.15.

10.6.1 CONTRACTOR shall be subject to a program review by DCFS, at a minimum of once per year, for the period of the Contract. CONTRACTOR shall make available to DCFS, upon request, the following records for review:

10.6.1.1 Personnel records pertaining to current, paid and volunteer staff,

10.6.1.2 Client case records, and

10.6.1.3 Financial records.

10.6.2 If CONTRACTOR performance requirements are not met, the CPM may call CONTRACTOR, and/or send CONTRACTOR a User Complaint Report (UCR), Appendix A1 - Exhibit L. CONTRACTOR shall respond to a call within one hour and respond to a UCR within 24 hours of receipt. All performance requirement issues will be reported to the CPM.

10.6.3 CONTRACTOR shall submit a Corrective Action Plan (CAP) for any areas found to be deficient as a result of the technical review, including billing deficiencies, within 45 calendar days of the receipt of the Technical Review Findings.

10.6.4 CONTRACTOR shall fully cooperate with and participate in both the development and implementation of any proposed Quality Services Review (QSR). The CPM will review and have the final approval authority over the QSR component and its implementation process.

10.6.5 CONTRACTOR shall track and maintain quantitative and descriptive data regarding their clients and the types of services provided.

11.0 GREEN INITIATIVES

11.1 CONTRACTOR shall use reasonable efforts to initiate “green” practices for environmental and energy conservation benefits.

11.2 CONTRACTOR shall notify COUNTY’s Program Manager of CONTRACTOR’s new green initiatives prior to the Contract commencement.

12.0 PERFORMANCE OUTCOME SUMMARY

CONTRACTOR shall adhere to the measures established in Sections D and E of this SOW.

SECTION D - Performance Outcome Measures
Assessment Services

SERVICE CATEGORY TARGET GROUP			
The target population is children and families who are in need of services to prevent future child maltreatment and/or DCFS involvement.			
DCFS OUTCOME	OUTCOME INDICATOR	PERFORMANCE TARGET (per fiscal year)	DATA COLLECTION AND MONITORING METHOD
Goal: SAFETY Decreased occurrences of child abuse/neglect	<i>Of all DCFS referred families within 6-12 months of concluding Screening Services where the family was diverted to Voluntary Services or the referral was closed, the:</i>		
	1. Percentage of families involved in a subsequent substantiated child abuse and/or neglect referral	1. Shall not exceed 25%	1. CWS/CMS, Monthly Reports
	2. Percentage of families with a subsequent substantiated child abuse and/or referral resulting in a case opening	2. Shall not exceed 50%	2. CWS/CMS, Monthly Reports
	3. Percentage of child(ren) removed from parent(s) and placed in out-of-home care due to a substantiated subsequent referral	3. Shall not exceed 50%	3. CWS/CMS, Monthly Reports
Goal: WELL-BEING	<i>Of all the families with children in out-of-home care having received Screening services, the:</i>		
	1. Percentage of families reunified	1. Shall exceed 60%	1. CWS/CMS, Monthly Reports
	<i>Of all the families who received Screening Services, the:</i>		
	2. Percentage of families with re-entries within 12 months of reunification	2. Shall not exceed 25%	2. CWS/CMS, Monthly Reports
	<i>Of all DCFS referred parent(s)/caregiver(s) who received Screening Services, the:</i>		
	3. Percentage of parent(s)/caregiver(s) with increased knowledge/development of protective factors	3. Shall exceed 80%	3. Screening report

SECTION E - Performance Outcome Measures Intervention Services

SERVICE CATEGORY TARGET GROUP			
The target population is children and families who are in need of services to prevent future child maltreatment and/or DCFS involvement.			
DCFS OUTCOME	OUTCOME INDICATOR	PERFORMANCE TARGET (per fiscal year)	DATA COLLECTION AND MONITORING METHOD
Goal: SAFETY Decreased occurrences of child abuse/neglect	Alternative Response Services (ARS) <i>Of all DCFS referred families while receiving ARS services, the:</i>		
	1. Percentage of families involved in subsequent substantiated child abuse and/or neglect referrals	1. Shall not exceed 25%	1. CWS/CMS, Monthly Reports
	2. Percentage of families with subsequent substantiated child abuse and/or neglect referrals resulting in a case opening	2. Shall not exceed 50%	2. CWS/CMS, Monthly Reports
	3. Percentage of child(ren) removed from parent(s) and placed in out-of-home care due to subsequent case opening	3. Shall not exceed 50%	3. CWS/CMS, Monthly Reports
Goal: PERMANENCY Reduction in the number of children entering care	Family Preservation (FP) <i>Of all DCFS/Probation involved families while receiving FP Intervention services, the:</i>		
	1. Percentage of families involved in subsequent substantiated child abuse and/or neglect referrals	1. Shall not exceed 25%	1. CWS/CMS, Monthly Reports
	2. Percentage of families with subsequent substantiated child abuse and/or neglect referrals resulting in a case opening	2. Shall not exceed 50%	2. CWS/CMS, Monthly Reports
	3. Percentage of child(ren) removed from parent(s) and placed in out-of-home care due to subsequent case opening	3. Shall not exceed 50%	3. CWS/CMS, Monthly Reports
Goal: WELL-BEING	<i>Of all families receiving FP services, the:</i>		
	1. Percentage of families reunified	1. Shall exceed 60%	1. CWS/CMS, Monthly Reports
	<i>Of all families having received FP services, the:</i>		
	2. Percentage of families with re-entries within 12 months of reunification	2. Shall not exceed 25%	2. CWS/CMS, Monthly Reports

County of Los Angeles, Department of Children and Family Services
Safe Children and Strong Families (SCSF)

**LIST OF TECHNICAL EXHIBITS FOR STATEMENT OF WORK
Appendix C1 - Family Preservation Services**

TECHNICAL EXHIBIT	
1	Form 800 - Family Centered Service Request – Service Authorization
2	Form 802 - Consent to Release and Exchange Information (English/Spanish)
3	Consent to Comply with the Bill of Children’s Rights
4	PAUR Referral for Community Based Services
5	ERCP Screening Outcome Report
6	Regional Office Screening Outcome Report
7	MCPC Plan & Confidentiality Declaration/Service Agreement (FAF Generated)
8	Case Activity Log / Notes
9	DCFS Shared Core Practice Model
10	Attempted Contact Letter (English/Spanish)
11	Attempted Contact Form
12	Return of Security and Rental Deposit
13	Zip Code Waiver
14	Monthly Progress Report (FAF Generated)
15	Contact Summary (FAF Generated)
16	FP Auxiliary Fund Authorization
17	Probation FP Auxiliary Fund Authorization
18	Expense Claim for Auxiliary Fund Reimbursement
19	Discretionary Fund Payment Request
20	Unexcused/Excused Absence Alert Form
21	Family Budget Worksheet
22	Emergency Fund Request
23	Monthly Staffing and Expenditure Report (MSER)
24	DMH Services Referral
25	DMH Monthly Referral Report
26	DMH Monthly Referral Report for FP Lead Agency
27	Transportation Log
28	FP Weekly Case Count Report
29	Probation Criminogenic Needs
30	DCFS 250 – Procurement Request
31	Definitions

County of Los Angeles, Department of Children and Family Services
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LIST OF TECHNICAL EXHIBITS FOR STATEMENT OF WORK
Appendix C1 - Family Preservation Services

(Cont.)

TECHNICAL EXHIBIT	
32	Linkage Services
33	Protective Factors Framework (Center for the Study of Social Policy (CSSP))
34	Zip Codes that are Served by Each DCFS Office
35	Zip Codes by Service Planning Area
36	Service Planning Area Map
37	Evidence-Based Practices Sample Sheet

FORM 800 - FAMILY CENTERED SERVICE REQUEST – SERVICE AUTHORIZATION

The Safety of a Child is our First Priority!

A. ACTION (Check New Referral or Update Case)

New Referral Request #: Request Date:

FAMILY PRESERVATION (FP) ASSESSMENT SERVICES
 FAMILY PRESERVATION (FP) / INTERVENTION SERVICES
 ARS Intervention Services (Non-FP) FP: DCFS & Probation IFP TDT – Probation

STATE ID / SERIAL #: _____
Child's Last Name: _____ First Name: _____ CWS / CMS Case #: _____
Family's Last Name: _____ First Name: _____ CWS / CMS Referral #: _____

Update Case FP Case #: State Id / Serial #:
 EXTEND SERVICES Change (Indicate change in Sections C, D, or E)
 TERMINATE SERVICES Termination Date: _____ Case Closure Reason Code: _____
 TRANSFER SERVICES Out of Agency: _____ Into Agency: _____

B. SERVICE TYPE / ASSIGNMENT (To be completed by the Community-Based Liaison (CBL))

Effective Date: _____ Agency: _____ Duration: 1 month 2 months 3 months 6 months
SERVICE TYPE: Family Preservation: Base Rate Transitional Services Other Other Other
 ADD Agency (Complete Section C) REMOVE Agency (When Adding or Removing, provide the Agency Name and Effective Date)

Assignment Correction (Correcting effective dates, etc., and specifying instructions in the Comments section below)

Correct Effective Date(s) Effective Date: _____ Effective Date: _____
Comments: _____

C. PRIMARY CAREGIVER CHANGE ADD FAMILY LOCATION REMOVE FAMILY LOCATION EFF. DATE: _____

LAST NAME	FIRST NAME	ETHNICITY	D.O.B	LANGUAGE: <input type="checkbox"/> ENGLISH <input type="checkbox"/> SPANISH <input type="checkbox"/> OTHER
STREET ADDRESS		CITY	STATE	ZIP CODE
TELEPHONE NO.		RELATIONSHIP TO CHILDREN		
OTHERS (adults in home)				

D. CASE INFORMATION CHANGE EFF. DATE: _____

CASE LAST NAME	CASE FIRST NAME	ETHNICITY	D.O.B	LANGUAGE: <input type="checkbox"/> ENGLISH <input type="checkbox"/> SPANISH <input type="checkbox"/> OTHER
CSW LAST NAME	CSW FIRST NAME	CSW FILE NO.		
CSW PHONE NO.	CSW FAX NO.	SPA	OFFICE	
SCSW LAST NAME	SCSW FIRST NAME	SCSW PHONE NO.		
CBL LAST NAME	CBL FIRST NAME	CBL PHONE & FAX NO.		

E. CHILD INFORMATION

CHANGE

EFF. DATE: _____

LAST NAME	FIRST NAME	DOB	GENDER	OPEN DCFS	REMOVE
			<input type="checkbox"/> M <input type="checkbox"/> F	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/> M <input type="checkbox"/> F	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/> M <input type="checkbox"/> F	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/> M <input type="checkbox"/> F	<input type="checkbox"/>	<input type="checkbox"/>

F. OTHER INFORMATION

GAIN <input type="checkbox"/> YES <input type="checkbox"/> NO	CALWORKS <input type="checkbox"/> YES <input type="checkbox"/> NO	WORKER NAME: _____ FILE NO.: _____	TELEPHONE NO. _____ OFFICE LOCATION: _____
Referral Being Made to: <input type="checkbox"/> Prevent Placement <input type="checkbox"/> Facilitate Reunification <input type="checkbox"/> Adoptive Placement <input type="checkbox"/> Court Ordered Referral Initiated By: <input type="checkbox"/> ERCP <input type="checkbox"/> ER <input type="checkbox"/> UI <input type="checkbox"/> I-M <input type="checkbox"/> FR <input type="checkbox"/> PP <input type="checkbox"/> ADOPT Court Status: <input type="checkbox"/> None <input type="checkbox"/> Pre-Adjudication <input type="checkbox"/> Post-Adjudication <input type="checkbox"/> Post-Disposition <input type="checkbox"/> Legal Guardianship <input type="checkbox"/> Adoption			

G. PLEASE DESCRIBE THE PRESENTING PROBLEMS / AREAS OF CONCERN (Comments a maximum of 500 characters)

H. PLEASE DESCRIBE THE FAMILY STRENGTHS (Comments a maximum of 500 characters)

I. PRELIMINARY ASSESSMENT OF SERVICES NEEDED IN ADDITION TO IN-HOME COUNSELING

<input type="checkbox"/> Auxiliary Funds	<input type="checkbox"/> Teaching/Demonstrating Homemaker	<input type="checkbox"/> Employment Training Services
<input type="checkbox"/> Parent Self-Help	<input type="checkbox"/> Substance Abuse Treatment	<input type="checkbox"/> Mental Health Services
<input type="checkbox"/> Parent Training	<input type="checkbox"/> Child Care	<input type="checkbox"/> Education
<input type="checkbox"/> Transportation	<input type="checkbox"/> Drug Testing	<input type="checkbox"/> Special Education
<input type="checkbox"/> Substitute Adult Role Model	<input type="checkbox"/> Motel – Emergency Housing	<input type="checkbox"/> Other _____
<input type="checkbox"/> Health Care	<input type="checkbox"/> Counseling (Out of Home)	<input type="checkbox"/> Other _____
<input type="checkbox"/> Income Support Services	<input type="checkbox"/> Developmental Services	<input type="checkbox"/> Other _____
<input type="checkbox"/> Physical Development Services	<input type="checkbox"/> Housing	<input type="checkbox"/> Other _____

J. GOALS or OUTCOMES or TERMINATION NOTES or DENIAL REASON (Comments a maximum of 500 characters)

TERMINATION – Code / Reason for Case Closing (Code is used in Section A for a termination action)

1 – Successful Family Preservation	5 – Case Never Activated	9 - Suitable Placement (Probation)
2 – Successful Family Reunification	6 – Court Terminated Services	10 - Case Closed within 30 days
3 – Family Moved from Area	7 – Case Closed for Administrative Reasons	11 – Case Created in Error
4 – Family Refused Services/Dropped Out	8 – Child Detained/Arrested	12 – AWOL
		13 – Successful ARS

K. SIGNATURES

CSW SIGNATURE	DATE	CBL SIGNATURE	DATE
SCSW SIGNATURE	DATE	ARA/FP PROGRAM MANAGER SIGNATURE	DATE

FORM 802 - CONSENT TO RELEASE AND EXCHANGE INFORMATION

1. Case Name	2. Case Number
3. Parent's/Guardian's Names <i>(if different from Case Name)</i>	4. Date of Birth
5. Parent's/Guardian's Names <i>(if different from Case Name)</i>	6. Date of Birth
7. Name(s) of Children	8. Date(s) of Birth
1 _____	_____
2 _____	_____
3 _____	_____
4 _____	_____
5 _____	_____
6 _____	_____
<p>9. CONSENT STATEMENT</p> <p>I/we understand that as a necessary part of my/our participation in the Community Based Program (FPP), the agencies involved shall have access to records pertaining to my/our family. Therefore, I/we give permission to the Department of Children and Family Services (DCFS) to release, disclose, and/or exchange information about myself and my child(ren) listed above with a Community Based Agency and the participating agencies which may include, but are not limited to: the Departments of Health Services, Mental Health, Public Social Services and Education. This consent includes both written and oral communication about social, medical, developmental, psychological, educational, behavioral and other individual and family issues.</p> <p>This signed consent form will remain in effect for the duration of my/our family's participation in the FP program.</p>	
10. Signature of Parent(s) Guardian(s)	11. Date of Signature
_____	_____
_____	_____
12. CSW's Signature	13. Date of Signature
_____	_____
<input type="checkbox"/> FP _____	
<input type="checkbox"/> Other _____	

FIRMADO 802 - CONSENTIMIENTO PARA REVELAR Y CAMBIAR INFORMACIÓN

1. Nombre del Caso	2. Número del Caso
3. Nombre de Padre(s) / Madre(s) o Guardianes <i>(Si es diferente al Nombre del Caso)</i>	4. Fecha de Nacimiento
5. Nombre de Padre(s) / Madre(s) o Guardianes	6. Fecha de Nacimiento
7. Nombre(s) de Niño(s) y Niña(s)	8. Fecha(s) de Nacimiento
1 _____	_____
2 _____	_____
3 _____	_____
4 _____	_____
5 _____	_____
6 _____	_____
<p>9. DECLARACIÓN DE CONSENTIMIENTO PARA REVELAR INFORMACIÓN</p> <p>Yo/nosotros entiendo/entendemos que cómo una parte necesaria de mi/nuestra participación en la Agencia de Programa Comunitarias, las agencias involucradas tienen que tener acceso a los archivos pertinentes a mi/nuestra familia. Por lo tanto, yo/nosotros le damos nuestro permiso al Departamento de Servicio para Niños y Familias (DCFS) para revelar, divulgar, y/o intercambiar información sobre mi persona y mi niño(s)/niña(s) los antes mencionados con la Agencia de Programa Comunitaria y las agencias participantes que pueden incluir, pero no son limitadas a los Departamentos de: Servicios de Salud, Salud Mental, Servicios Sociales Públicos y de Educación. Este consentimiento incluye tanto la comunicación por escrito como la comunicación oral pertinente a los asuntos sociales, médicos, de desarrollo, psicológicos, educativos, de compartimiento u otros asuntos individuales o familiares.</p> <p>Este consentimiento firmado continuará vigente durante la participación de mi familia en el programa.</p>	
10. Firma de Padre(s) / Madre(s) o Guardianes	11. Fecha de Firma
_____	_____
_____	_____
12. Firma del Trabajador Social para Niños	13. Fecha de Firma
_____	_____
<input type="checkbox"/> FP _____	
<input type="checkbox"/> Otro _____	

CONSENT TO COMPLY WITH THE BILL OF CHILDREN’S RIGHTS

The vision of the Family Preservation Program (FP) demands that there be developed in Los Angeles County a collaborative network of systems that work in concert to support and strengthen the capacity of families to provide their children’s growth and development, therefore, I/we understand that as a necessary part of my/our participation in FP, I shall comply with the following Bill of Children’s Rights:

1. Assure the safety and physical and emotional well being of children in my/our home while receiving the Family Preservation services.
2. Provide appropriate medical, dental, and mental health for my/our children and every one of them is covered by public or private health insurance and fully immunized by age 2 if appropriate.
3. Provide adequate food, shelter, and income and my/our children will have access to resources that will assist them in sustaining an adequate standard of living.
4. Provide adequate education and training and my/our children will attend school and enroll in Independent Living Program (ILP) services if age-appropriate and applicable, and ensure that all my/our children are trained in the skills and competencies necessary for work.
5. Protect my/out children from abuse and neglect and have access to quality child care if appropriate
6. Preserve my/our children in my/our family or actively work to reunify my/our children.
7. Provide emotional and social support and my/our children will participate in early childhood education program, including Head Start and State Preschool Programs if appropriate.

This signed consent form will remain in effect for the duration of my/our family’s participating in the FP.

Signature(s) of parent(s)/guardian(s)

Date of signature(s)

Signature(s) of child(ren)

Date of signature(s)

CSW’s signature

Date of signature

**LOS ANGELES COUNTY PROBATION DEPARTMENT
PAUR REFERRAL FOR COMMUNITY BASED SERVICES**
Return via email to PAUR@probation.lacounty.gov or fax (323) 357-3986

COUNTY OF LOS ANGELES - DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

Youth's Information	Youth's Last Name		First Name M.I.		Treatment Requested		
					[Choose Treatment]		
	Youth's Street Address (where s/he will reside)			City	Zip Code	Date of Birth	PDJ #
	Next Court or Release Date	Social Security Number	Current Status (e.g. WIC 602 HOP/SP)	Insurance Provider		Insurance Number / Medi-Cal Number (if applicable)	
		WIC 602 / HOP					

Responsivity Factors	Motivational Considerations						
	Age	Gender	Ethnicity / Race	Youth's Preferred Language for Services	Parent's Preferred Language for Services	Gang Name (if applicable)	
		[Gender]					
	Other Considerations						
	DCFS history [No/Yes]		Mental Health Service History [No/Yes]		School Name & Address (currently enrolled)		Grade/ (H.S. Credits)
	Out-of-Home Placement History (Probation SP Facilities, Camps, DCFS Foster Care)		Current and past mental health treatment and/or medication. Please list.				th ()
1.		1.		Special Ed	IEP Date	AB3632	
2.		2.		Unknown		Unknown	
3.		3.					
Days/times family is available for in-home services			Describe any services that youth is receiving or scheduled to receive.				

Family Information	Parent / Guardian's Last Name, First (Relation to Youth)			Parent / Guardian's Last Name, First (Relation to Youth)		
	(relation)			(relation)		
	Home Phone Number	Cell Phone Number	Home Phone Number	Cell Phone Number		
List the names, PDJ numbers, age and relationship of all others residing in the household with the youth. Attach sheets if necessary:						
	Name, PDJ	Age	Relationship	Name, PDJ	Age	Relationship

Treatment Targets	Briefly describe current behaviors, conflict, family dysfunction, mental health problems, drug history, and school issues leading to referral:					
	LARRC date:	LARRC Risk Level:	Using the LARRC, determine the criminogenic needs that are to be targeted by the service provider:			
_____	<input type="checkbox"/> Low	<input type="checkbox"/> Delinquent Behavior	<input type="checkbox"/> Substance Abuse	<input type="checkbox"/> Social Isolation		
MDT date:	<input type="checkbox"/> Moderate	<input type="checkbox"/> Delinquent Affiliations	<input type="checkbox"/> Family Interactions	<input type="checkbox"/> Academic Engagement		
_____	<input type="checkbox"/> High	<input type="checkbox"/> Delinquent Orientation	<input type="checkbox"/> Interpersonal Skills	<input type="checkbox"/> Self-Regulation		

DPO of Record (Caseload No). & Phone Number	SDPO & Phone Number	Area Office & Fax No.	Referral Date
DPO First & Last Name ()	SDPO First & Last Name	Area Office	

**LOS ANGELES COUNTY PROBATION DEPARTMENT
PAUR REFERRAL FOR COMMUNITY BASED SERVICES**
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COUNTY OF LOS ANGELES - DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

PAUR USE	Analyst: _____ Date: _____	AGENCY USE	Accepted by CBO / Staff Full Name: _____		
	Referral: <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved FP FFT FFP MST SAPC GHAS PHOENIX		Phone No: _____	Fax No: _____	
	Lead Agency/Proposed Effective Date: _____		Address: _____		
	If Disapproved, Why? Alternative Solutions: _____		Date Received: _____	Anticipated Start Date _____	
			Services to be offered: _____		

Probation Form #1324 (Revised 7/14)

ERCp SCREENING OUTCOME REPORT

ERCp SCREENING OUTCOME REPORT																									
7	Regional Office	FP Agency	Case No.	CWS/CMS No.	Program Type							Referral or Case Name		No. of Children	No. of BSAP assessments requested	No. of Hours	BSAP Screening Information		Presenting Problem(s)			Child(ren) Detained		Emergency Funds	Comments/Outcomes/L
					ER	MFM	MFR	FIM	FR	PP	Adip	Last	First				Request Date	Written Report to DCFS (date rec'd)	Domestic Violence	Mental Health	Substance Abuse	Yes	No		
1																									
2																									
3																									
4																									
5																									
6																									
7																									
8																									
9																									
10																									
11																									
12																									
13																									
14																									

Multidisciplinary Case Planning Committee (MCPC) Plan & Confidentiality Declaration/Service Agreement

(FAF Generated)

Agency Name _____ **Telephone Number** _____

Agency Address _____

MCPC Date: _____ Time: _____ Location: _____
MCPC Plan #: _____

Case Name: _____ Telephone: _____

Case Number: _____

Case Start Date: _____
Case Type (ARS/FP/Probation): _____

IHOC:	Telephone:	Fax:	email:
CSW/DPO Name:	Office:	Telephone:	Fax:
SCSW/SDPO Name:	Office:	Telephone:	Fax:
			email:

Family Members

<u>Name</u>	<u>Relationship</u>	<u>Birth Date</u>
1	Caregiver	xx/xx/xxxx
2	Child	xx/xx/xxxx

Strengths

Strengths	How will you use this strength?
-----------	---------------------------------

Concerns

Outcome	Concerns	Goal	Method	Goal Date
---------	----------	------	--------	-----------

Child Behavioral Concerns

Concerns	Name	Goals	Methods
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SUMMARY/ADDITIONAL COMMENTS:

CONFIDENTIALITY DECLARATION STATEMENT:

As a member of the Family Preservation MCPC, I understand that I am not permitted to share case identifying information, including, but not limited to, children’s names, family members’ names, addresses and telephone numbers regarding the above-referenced family with anyone who does not fall within the definition of a “Multidisciplinary Personnel Team” Member as defined by Welfare and Institution Code (WIC) Sections 18951 and 18961.

I agree to comply with the confidentiality policy described above and will not use identifying information in any publication, nor will I share this information outside of the agencies represented on the MCPC. Further, I declare that I am aware of the WIC and Penal Code Sections relating to confidentiality, and I understand any violations thereof constitute a criminal offense.

SERVICE AGREEMENT STATEMENT:

At the MCPC meeting, the assessment, case plan, and time frames were agreed upon by the MCPC team (family, Department of Children and Family Services (DCFS) Children’s Social Worker (CSW) and/or Deputy Probation Officer (DPO).

Those present agreed to work together toward achieving the goals identified in the case/service plan. Additionally, the Family Preservation Agency has agreed to provide DCFS with a written report(s) each month regarding services that were provided and the family’s progress.

CONSENT STATEMENT:

I/we understand that as a necessary part of my/our participation in the Family Preservation Program (FP), the agencies involved must have access to records pertaining to my/our family. Therefore, I/we give permission to the Department of Children and Family Services (DCFS) to release, disclose, and/or exchange information about myself and my child(ren) listed above with a Community Based Agency and the participating agencies which may include, but are not limited to: the Departments of health Services, Mental health, Public Social Services and Education. This consent includes both written and oral communication about social, medical, developmental, psychological, educational, behavioral and other individual and family issues.

This signed consent form will remain in effect for the duration of my/our family’s participation in the FP program.

Client's Name (Relationship) (Please Print) Signature Date

Client's Name (Relationship) (Please Print) Signature Date

IHOC Name (Please Print) Signature Date

Clinical Supervisor Name (Please Print) Signature Date

CSW/DPO Name (Please Print) Signature Date

SCSW/SDPO Name (Please Print)	Signature	Date
-------------------------------	-----------	------

Other - Name/Title (Relationship) (Please Print)	Signature	Date
--	-----------	------

Other - Name/Title (Relationship) (Please Print)	Signature	Date
--	-----------	------

Other - Name/Title (Relationship) (Please Print)	Signature	Date
--	-----------	------

Other - Name/Title (Relationship) (Please Print)	Signature	Date
--	-----------	------

COUNTY OF LOS ANGELES – DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

DCFS SHARED CORE PRACTICE MODEL

Our Departments have developed a shared and evolving model of practice to better integrate services and supports for children, youth, families and communities. Our commitment and approach are cemented in the crucial elements of community partnership, teamwork, family voice and choice, cultural competence, respect, accountability, continuous quality improvement and implementation of best practice.

Key Outcomes: *Safety, Permanence, Well-Being, Self Sufficiency, Organizational Excellence*

Shared Values and Guiding Principles

- **Child Protection & Safety:** Children and youth have the right to live in a safe environment, free from abuse, and neglect.
- **Permanent, Lifelong, Loving, Families:** Children and youth need and are entitled to a safe, nurturing and permanent family environment ideally in their own home.
- **Strengthening Child & Family Well-Being and Self Sufficiency:** Identifying the unique strengths of children, youth and families allows services and supports to be individualized and tailored.
- **Child Focused Family Centered Practice:** Focusing on the child’s individualized, underlying needs and strengths, and the strengths and capacities of families provide the best guide to effective intervention and lasting change.
- **Community-Based Partnerships:** Services and interventions for children, youth and families are delivered collaboratively by agencies, providers, community and informal and naturally occurring supports in order to meet each family’s needs.
- **Cultural Competency:** We maintain an attitude of cultural humility; honoring and respecting the beliefs and values of all families and recognizing that the cultural, ethnic and spiritual roots of the child, youth and family are a valuable part of their identity.
- **Best Practice and Continuous Learning:** We commit to developing an environment of continuous listening and learning and to ensuing that policy and practice decisions are based on reliable data as well as evidence, research and feedback.

The Practice Wheel - Operationalizing the Shared Practice Model

Our values and guiding principles are applied through a set of practice activities depicted by the Practice Wheel.

- **Engaging** is the practice of creating a trustful working relationship with a child and their family by increasing their participation, validating their unique cultural perspective, and hearing their voice and choice.
- **Teaming** is the practice of building and strengthening the child and family's support system, whose members meet, communicate, plan together, and coordinate their efforts in a unified fashion to address critical issues/needs.
- **Assessing** is the practice of collaborating with a family's team to obtain information about the salient events impacting children and families and the underlying causes bringing about their situation.
- **Planning and Intervening** is the practice and process of tailoring and implementing plans to build on strengths and protective capacities in order to meet individual needs for each child and family.



- ***Tracking, Adapting and Transitioning*** is the practice of evaluating the effectiveness of the plan, assessing circumstances and resources, reworking the plan, celebrating successes, adapting to challenges and organizing after-care supports as needed for the child and family.

ATTEMPTED CONTACT LETTER

To: Name _____

From: Name _____
Phone # _____

Date:

Dear Mr. Ms. _____,

I attempted to contact you by phone on _____ ; and/or in person at your
(Date)
last known address on _____. I would like to meet with you regarding the FP
(Date)

services in which you agreed to participate; however, I have been unable to reach you.

Please contact me as soon as possible, so that we may schedule an appointment. I
look forward to hearing from you soon.

Sincerely,

Signature

Title

This is an initial contact: YES NO

CARTA DE CONTACTO ATENTADO

Para: Nombre _____

De: Nombre _____
Teléfono # _____

Fecha:

Estimado Sr. Sra. _____,

Traté de ponerme en contacto con Usted por teléfono el _____ ; y/o
(Fecha)
en persona en su última dirección conocida el _____. Me gustaría
(Fecha)
hablar con usted en relación a los servicios "Preservación Familiar" que convino en
aceptar; sin embargo, no he podido ponerme en contacto con Usted. Por favor,
llámeme lo mas pronto posible para que podamos hacer una cita para hablar
de este asunto. Espero tener una noticia de Usted muy pronto.

Atentamente,

Firma

Titulo

Esto es un contacto inicial: Sí No

COUNTY OF LOS ANGELES - DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

ATTEMPTED CONTACT FORM

Agency Name:	Office Site:	IHOC Name:	IHOC Phone #:
Case Name:	Case #:	State #:	Date:

SCREENING **ARS** **DCFS** **PROBATION**

To: DCFS / PROB Designee _____

From: Assessor / IHOC _____

Referral/Start Date: _____

Initial Contact Due Date: _____

Initial telephone contact attempted on _____
(Date)

Shall be faxed for **CSW/DPO** **SCSW/SDPO** **ARA** **CBL** **PAUR**

2nd telephone contact attempted on _____
(Date)

Shall be faxed for **CSW/DPO** **SCSW/SDPO** **ARA** **CBL** **PAUR**

Attempted face to face contact (required ERCP / REGIONAL ASSESSMENT, ARS, FP INTERVENTION, PROBATION) on _____
(Date)

At Address _____

Contact letter left **Yes** **No** at _____
(Location)

Follow up telephone contact to **CSW/DPO** **SCSW/SDPO** **ARA** **CBL** **PAUR**

Result of telephone contact _____

Signature _____ (IHOC/Contractor Designee)

Signature _____ (Contractor Project Manager)

RETURN OF SECURITY AND RENTAL DEPOSIT

The following addendum to the original Rental Agreement must be signed by the Tenant and the Property Owner/Authorized Agent, before a Security and/or Rental Deposit can be issued.

AGREEMENT

The security deposit set forth in the Rental Agreement is being paid by the Los Angeles County Department of Children and Family Services. This payment shall secure the performance of tenant's obligation as stated in the Rental Agreement. Any balance remaining upon termination shall be returned to the Los Angeles County Department of Children and Family Services. Tenant shall not have the right to apply the security deposit in payment of the last month's rent.

Any returnable Deposits shall be refunded within three (3) weeks from the termination or expiration date of the Rental Agreement.

Checks or Money Orders for returnable deposits shall be made payable to DCFS and reflect the name of the renter on the check or money order, and note that it is a refund of Security and/or Rental deposit. Forward the refund directly to:

Los Angeles County Department of Children and Family Services
425 Shatto Place, Room 204
Los Angeles, CA 90020
Attn: Cash Management, FP Unit

I have read the above and agree to refund any and all returnable deposits as indicated.

_____	_____	_____	_____
Tenant Signature	Date	Owner/Agent Signature	Date
_____		_____	
Tenant Printed Name		Owner/Agent Printed Name	
Rental Address:		Owner/Agent Address:	
_____		_____	
_____		_____	
_____		_____	
Phone Number:		Phone Number:	
_____		_____	
		Owner's SS#:	_____
		Owner's Tax ID #:	_____

COUNTY OF LOS ANGELES – DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

MONTHLY PROGRESS REPORT (Will be replaced by FAF)

(Please check one)

Agency Name & Site:	Case Name:	Case #:	Reporting Month, if applicable	MCPC Date, if applicable
--------------------------------	-------------------	----------------	---------------------------------------	---------------------------------

UFA: Date Completed _____ by Agency _____
 ARS **DCFS** **PROBATION (BASE)** **PROBATION (TDT)**
 INTENSIVE FAMILY PRESERVATION CASE

List MCPC Deferment Date(s), if applicable: _____ Termination MCPC Date: _____

CSW/DPO Name:	Office:	Phone:	Fax:
SCSW/SDPO Name:	Office:	Phone:	Fax:
IHOC Name:	Office:	Phone:	Fax:

I	Family Members Included in this MCPC Service Plan (List Names):	Member(s) Absent on this Date & Reason(s):	Location of MCPC, if applicable:
MO		<input type="checkbox"/> MO	<input type="checkbox"/> Home <input type="checkbox"/> Agency <input type="checkbox"/> School <input type="checkbox"/> Placement <input type="checkbox"/> Other (Specify)
FA		<input type="checkbox"/> FA	
C1		<input type="checkbox"/> C1	
C2		<input type="checkbox"/> C2	
C3		<input type="checkbox"/> C3	
C4		<input type="checkbox"/> C4	
C5		<input type="checkbox"/> C5	Time of MCPC, if applicable: Start Time: <input type="checkbox"/> am <input type="checkbox"/> pm End Time: <input type="checkbox"/> am <input type="checkbox"/> pm Total Hour(s): _____
C6		<input type="checkbox"/> C6	
Other		<input type="checkbox"/> Other	
Other		<input type="checkbox"/> Other	

<p>II</p>	<p><u>MCPC Presenting Problem(s):</u> <input type="checkbox"/> Initial: <input type="checkbox"/> New/Ongoing:</p>	<p>Monthly Progress Reporting of Family as it relates to the Presenting Problem</p>
<p>III</p>	<p><u>MCPC Family Strengths:</u></p>	<p><u>Monthly Progress Reporting as it relates to the family strengths:</u></p>
<p>IV</p>	<p><u>MCPC Child Safety & Risks:</u></p>	<p><u>Monthly Progress Reporting as it relates to the safety and risk of case plan members:</u></p>
<p>V</p>	<p><u>MCPC Family's View of Issues:</u></p>	<p><u>Monthly Progress Reporting as it relates to family's view of issues.</u></p>
<p>VI</p>	<p><u>MCPC Treatment Goals as it relates to the services chosen (below):</u></p>	<p><u>Monthly Progress Reporting as it relates to the treatment goals.</u> <u>(Discuss challenges to goals, achievement, and intervention, factors hindering goal attainment or progress, barriers to service provision, family's</u></p>

TECHNICAL EXHIBIT 14

	<u>level of participation by client and other related issues):</u>
1.	1.
2.	2.
3.	3.
4.	4.
5.	5.
6.	6.
7.	7.
8.	8.
9.	9.
10.	10.
11.	11.

VII SERVICES TO BE PROVIDED (Complete all fields, answer "NA" for those services not required at this time):											(Right section to be filled out to report monthly updates)			
Core & Supplemental Services	√ Family Member(s) to Receive Services									Frequency Recommended		Actual Monthly Service Provided (Dates)	Name Service Provider (pending/assigned)	*Level of Participation
			1	2	3	4	5	6	Other	#/week	#/month			
In-Home Outreach Counseling <input type="checkbox"/> License <input type="checkbox"/> MA/MSW <input type="checkbox"/> BA														
Counseling <input type="checkbox"/> AM <input type="checkbox"/> DV <input type="checkbox"/> SA <input type="checkbox"/> Other (Specify)														
Child Focused Activities														
Child Follow-Up Visit														
Drug Testing														
Emergency Housing														
Parent Training/Father Program														
Psychotherapy														
Substance Abuse Assessment														
Substance Abuse Treatment: <input type="checkbox"/> Ind. Counseling <input type="checkbox"/> Group Counseling														
Substitute Adult Role Model (SARM)														
Teaching & Demonstrating (T&D)														
Therapeutic Day Treatment (TDT)														
Transportation														
Other (Specify)														

* Level of Participation Codes: **AP**-Adequate Participation; **MP**-Marginal Participation; **IP**-Inadequate Participation; **NP**-No Participation

VIII LINKAGE SERVICES TO BE PROVIDED (Complete all fields, answer "NA" for those services not required at this time):											(Right section to be filled out to report monthly updates)			
Service Type	√ Family Member(s) to Receive Services									Frequency Recommended		Actual Monthly Service Provided (Month/Dates)	Name Service Provider (pending/assigned)	*Level of Participation
			1	2	3	4	5	6	Other	#/week	#/month			

X	Auxiliary/Discretionary Funds Needs Assessment:
XI	Agency's Comments:

Prepared by IHOC: _____
(Print) (Signature) Date

Received and Approved by
 Clinical Supervisor/Director: _____
(Print) (Signature) Date

CONTACT SUMMARY

(FAF Generated)

Agency Name
Agency Address
Phone Number/Fax/Email

Report Date:

Case Name:

Case Number:

Secondary ID:

Date Opened:

Date Closed:

Referred By:
Organization:
Location:

Program:

Service Plan Date:

Assessment Date:

Team:

Caregiver:

Age:

Language:

Children:

Age:

Residence:

Presenting Problems:

History:

Summary:

Date:

Length:

Location:

Type:

Person(s) Served:

Workers:

Goals Addressed:

Progress:

Homework:

Additional Notes:

Referrals:

FAMILY PRESERVATION AUXILIARY FUND AUTHORIZATION

A. CASE INFORMATION (PRINT INFORMATION IN THE BLANK SPACES PROVIDED) FP NUMBER: REQUEST:

CSW/CMS CASE NUMBER: _____

CASE NAME: LAST: _____ FIRST: _____ INITIAL: _____

CASE NUMBER _____

STATE ID/SERIAL # _____ PROJECTED END DATE _____

REQUEST DATE: _____

CSW NAME LAST: _____ FIRST: _____ CSW FILE NO.: _____

SPA: _____

OFFICE: _____

REFERRAL AGENCY _____

B. AUXILIARY FUND REQUESTS (MARK THE APPROPRIATE BOX) † CHECK † PURCHASE ORDER/VOUCHER

QTY OF ITEMS TO BE PURCHASED:	A	B	AMOUNT	VENDOR/PAYEE NAME
	†	†		

For Official Use by Accounting Division	O.K. TO PROCESS:	Initial	Date:	Note:
---	-------------------------	---------	-------	-------

PURCHASE ORDER DELIVERY ADDRESS:

STREET: _____ CITY: _____ STATE: _____ ZIP: _____

RECEIPT CONTACT PERSON (For Purchase Order Only):

FIRST NAME: _____ LAST NAME: _____ PHONE: _____

IF A CHECK IS REQUESTED INDICATE SPECIFICALLY HOW THE MONEY WILL HELP THE CHILD(REN) AVOID BEING PLACED IN FOSTER CARE OR FACILITATE REUNIFICATION (EXAMPLE: FAMILY DOES NOT HAVE FOOD TO FEED THE CHILDREN BECAUSE THE CHECK HAS NOT ARRIVED; PROVIDING MOTHER WITH MONEY FOR FOOD WILL HELP CAREGIVER FEED CHILD(REN) UNTIL HIS/HER CHECK ARRIVES) – OR – IF THIS IS A PURCHASE ORDER REQUEST INDICATE THE REASON/NECESSITY FOR ITEMS TO BE PURCHASED:

WHY IS THE MONEY NEEDED IMMEDIATELY?

WHAT OTHER RESOURCES WERE EXPLORED?

C. DCFS SIGNATURE

CSW	DATE	SCSW	DATE
CBL	DATE	ARA	DATE
RA	DATE	PROGRAM MANAGER OR DESIGNEE	DATE

COUNTY OF LOS ANGELES - DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

PROBATION FP AUXILIARY FUND AUTHORIZATION

Enter/Check All Applicable Information - Please Type or Print

- 1. Minor's Name: _____
- 2. PDJ #: _____
- 3. Parent/Guardian: _____
- 4. Telephone: _____
- 5. FP Original Start Date: ____ / ____ / ____
- 6. Auxiliary Fund Eff. Date: ____ / ____ / ____
- 7. DPO Name: _____
- 8. Area Office & Unit: _____
- 9. DPO Telephone: _____
- 10. DPO Fax: _____
- 11. Lead Agency: _____
- 12. Agency Telephone: _____

13. Item Requested	Amount	Vendor/Payee Name
_____	\$ _____	_____
_____	\$ _____	_____
_____	\$ _____	_____
_____	\$ _____	_____
_____	\$ _____	_____

14. Vendor Contact Person & Complete Delivery Address

15. Indicate specifically how the auxiliary funds will help the minor avoid being placed out of the home.

16. What other resources were explored?

17. FP DPO Signature: _____ Date: _____

18. FP SDPO Signature: _____ Date: _____

COUNTY OF LOS ANGELES - DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

EXPENSE CLAIM FOR AUXILIARY FUND REIMBURSEMENT

- 1. Auxiliary Fund
- 2. Deaf Interpretive Services
- 3. Substance Abuse Assessment and Treatment

FP Agency Name
FP Agency Address

Date of Claim
Claim Period

Date	Case Name/	Case Number	Vendor/Payee	Check Number	Amount
TOTAL CLAIMED					

- Original Receipts / Invoices are attached. Please mail check. Please call when check is available so we can pick it up.
- I certify the above expenses were necessary and incurred in the performance of agency's contractual responsibilities.

Cashier's Name (Print)	Signature	Date
Executive Director/Project Manager (Print)	Signature	Date

APPROVAL:

Family Preservation Program Administrator or Designee	Signature	Date
---	-----------	------

UNEXCUSED / EXCUSED ABSENCE ALERT FORM

Date: _____
Case Name: _____ Case #: _____
To: _____ From: _____
Agency Name: _____
Fax: _____ Phone: _____

UNEXCUSED ABSENCE

Circumstance: _____ Parent(s) / Legal Guardian(s) _____ Child(ren) Was Absent For A Scheduled Visit

Notification: This is to notify you that I attempted to contact family member(s) on: _____ Date _____

However, (s)he was not at the following address: _____

Follow-up Efforts: _____

Joint CSW/CFPN Staffing Conference Decision: _____

Telephone Staffing Attempts on: _____ and _____

EXCUSED ABSENCE

The following individuals have asked to be excused from the In-Home Counseling session:

- 1. _____ 3. _____ 5. _____
- 2. _____ 4. _____ 6. _____

The date of the absence if from _____ to _____

The date(s) of the sessions that will be missed are: _____

The reason for this absence is: _____

This absence has been discussed with: _____ (CSW/DPO) _____
(Contractor) on _____ Date _____ in person or be telephone.

County representative agrees to the excused absence(s) as noted above.

Reviewed and approved:

Signature: _____ Date: _____
Program Director

Signature: _____ Date: _____
SCSW / SDPO

In-Home Counselors will complete this form and obtain the Program Director's Signature for excused absences. FAX the form to CSW for both excused and unexcused absences. The form should be placed in the case record.
CSW- Review the faxed form and obtain the SCSW/SDPO approval signature if more than two visits will be missed. FAX the completed form back to Community Family Preservation Agency.

FAMILY BUDGET WORKSHEET
Family Preservation Program

DATE : _____

CASE NAME: _____

CSW/PO NAME: _____

CASE NUMBER : _____

IHOC NAME: _____

A) INCOME:

Employment \$
AFDC \$
Food Stamps \$
Social Security \$
Child Support \$
Other \$

TOTAL INCOME \$

B) EXPENSES:

Rent \$	Personal Supplies \$	_____
Food \$	Recreation \$	_____
Electric \$	Medical \$	_____
Gas \$	Education \$	_____
Water \$	Child Care \$	_____
Telephone \$	Charge Accounts \$	_____
Laundry & Cleaning \$	Transportation \$	_____
Household Supplies \$	Other \$	_____

TOTAL EXPENSES \$

Income \$
Expenses \$
Balance (A-B) \$

Budget Goals: _____

COUNTY OF LOS ANGELES – DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

EMERGENCY FUND REQUEST

SECTION 1

Approved by: _____ Print Date: _____

Date: _____

Case Name: Last: _____ First: _____

CWS/CMS Referral #: _____ and/or UFA #: _____

Caregiver Name (1): Last: _____ First: _____

Caregiver Name (2): Last: _____ First: _____

Address: _____ Zip Code: _____

Phone #: _____ Alt Phone #: _____

CSW Name: _____ Phone #: _____

SCSW Name: _____ Phone #: _____

Agency Name: _____ DCFS Office: _____

Assessor/IHOC Name: _____ Phone #: _____

SECTION 2

Item Description	Price
Refrigerator	\$0.00
Stove / Oven	\$0.00
Microwave	\$0.00
Kitchenware	\$0.00
Bed: Twin / Bunk / Jr / Toddler	\$0.00
Crib	\$0.00
Mattress Twin	\$0.00
Beddings / Pillows	\$0.00
Baby Supplies	\$0.00
Car Seat	\$0.00
Stroller	\$0.00
Clothing	\$0.00
Groceries	\$0.00

Item Description	Price
Emergency Transportation	\$0.00
Hotel / Lodgings	\$0.00
Utilities: House Phone, Gas, Electric, Water	\$0.00
Medical Supplies / Medicine	\$0.00
Carpet Cleaning	\$0.00
Cleaning (Household) Supplies	\$0.00
Trash Disposal	\$0.00
Pest Control	\$0.00
Plumbing	\$0.00
Smoke Detector	\$0.00
House Repair Supplies	\$0.00
Child Safety Gate / Items	\$0.00

Misc:		\$0.00
-------	--	--------

#	Vendor / Store	Receipt Amount
	Total:	

*** Maximum Amount to be paid by DCFS is \$500.00**

SECTION 3

Project Manager Name: _____ Phone #: _____
Print Name

Project Manager Approval: _____
Signature Date

SECTION 4

DCFS Program Manager (or Designee) Approval: _____
Signature Date

Instructions:

SCSW: Complete Case Information (Section 1); Check approved items in Emergency Fund Request (Section 2); Submit to designated manager for DCFS approval process and fax the form to agency.

Agency: Enter estimated cost of approved items (Section 2); List each vendor/store receipt and receipt amount (Section 2); System will automatically calculate receipt total (Section 2); Complete Section 3; Mail Emergency Funds Request form along with original receipts to Naftali Sampson, Program Manager for Family Preservation, 425 Shatto Place, 8th Floor, Los Angeles, California 90020.

Computer generated form!

MONTHLY STAFFING AND EXPENDITURE REPORT (MSER)

Agency/DCFS Office: _____
Reporting Month/Year: _____

FY Allocation	\$ -
FP System	
UFA Regional	
UFA ERCP	

FY Allocation	\$ -
FP System	0
UFA Region	-
UFA ERCP	0

Month/ Year	ACTIVE CASE COUNT ON LAST DAY OF MONTH					STAFFING		FP Allocation (In FP System)										UFA Regional (in UFA Sys			
	A	B	C	D = (A+B+C)	E	F	G	a	b	c	d	e	f = (a+b+c+d+e)	g	h=(f-g)	i	COMMENTS REASON / CORRECTIVE ACTION PLAN FOR OVER/UNDER EXPENDITURE	j	k	l=(j-k)	m
	DCFS	ARS	Prob.	Total # of Current Active Cases	Total Vacancies	Total # of IHOC's	# of IHOC Vacancies	Monthly Discretionary Fund Earnings	Monthly ARS/DCFS/ Skid Row Actual Earnings	Monthly Probation Actual Earnings	Monthly UFA ARS/DCFS Actual Earnings	Monthly CP ARS/DCFS Actual Earnings	Total Monthly Actual Earnings	Target Monthly Projected Earnings (based on annual allocation)	Variance Overspent / (Underspent)	Next Month's Target Projected Earning (based on actual earning)		Monthly UFA Actual Earnings	Target Monthly UFA Projected Earnings (based on annual allocation)	Variance - Overspent / (Underspent)	Next Month's Target Projected Earning (based on actual earning)
Jul-11				0									0.00	0	0.00	0.00					0
Aug-11				0									0.00	0	0.00	0.00					0
Sep-11				0									0.00	0	0.00	0.00					0
Oct-11				0									0.00	0	0.00	0.00					0
Nov-11				0									0.00	0	0.00	0.00					0
Dec-11				0									0.00	0	0.00	0.00					0
Jan-12				0									0.00	0	0.00	0.00					0
Feb-12				0									0.00	0	0.00	0.00					0
Mar-12				0									0.00	0	0.00	0.00					0
Apr-12				0									0.00	0	0.00	0.00					0
May-12				0									0.00	0	0.00	0.00					0
Jun-12				0									0.00	0	0.00	0.00					0
Grand Totals								\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -

Note: Please submit by the 20th day of each month to the Family Preservation Program for the prior month's data.

YTD SUMMARY AT ONE GLANCE - DO NOT ENTER BELOW

Target Projected Expenditure	Percentage by Month	FP Allocation (FP SYSTEM)	% Expended	% Unexpended
July	8%	11-12 Allocation \$ -		
August	17%	YTD Expenditure \$ -		
September	26%	Total Remaining Balance \$ -	#DIV/0!	#DIV/0!
October	33%			
November	42%	UFA Regional (UFA SYSTEM)		
December	50%	11-12 Allocation \$ -		
January	59%	YTD Expenditure \$ -		
February	67%	Total Remaining Balance \$ -	#DIV/0!	#DIV/0!
March	75%			
April	83%	UFA ERCP (UFA SYSTEM)		
May	92%	11-12 Allocation \$ -		
June	100%	YTD Expenditure \$ -		
		Total Remaining Balance \$ -	N/A	N/A
FP & UFA SYSTEMS				
TOTAL 11-12 ALLOCATION \$ -				
TOTAL YTD EXPENDITURE \$ -				
TOTAL REMAINING BALANCE \$ -			#DIV/0!	#DIV/0!

Agency/DC

FY Allocation	-
FP System	-
UFA Regional	-
UFA ERCP	

Reporting h

FY Allocation	-
FP System	0
UFA Regional	-
UFA ERCP	

System)		UFA ERCP (in UFA System)						FP & UFA SYSTEMS					
Month/Year	COMMENTS	n	o	p=(n+o)	q	r=(p-q)	s	COMMENTS	t=(g+k+q)	u=(f+j+p)	v=(u-t)	w=(t-u)	x=(t+m+s)
Month/Year	REASON /CORRECTIVE ACTION PLAN FOR OVER / (UNDER) EXPENDITURE	Monthly UFA ERCP Emergency Fund Requests	Monthly UFA ERCP Actual Earnings	Total UFA ERCP Actual Earnings	Monthly UFA ERCP Projected Earnings	Variance Overspent / (Underspent)	Next Month's Target Projected Earning (based on actual earning)	REASON /CORRECTIVE ACTION PLAN FOR OVER / (UNDER) EXPENDITURE	TOTAL MONTHLY PROJECTED EARNING	TOTAL MONTHLY ACTUAL EARNING	OVERALL VARIANCE Overspent / (Underspent)	REMAINING BALANCE	NEXT MONTH'S TARGET PROJECTED EARNING (based on actual earning)
Jul-11				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Aug-11				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Sep-11				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Oct-11				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Nov-11				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Dec-11				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Jan-12				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Feb-12				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Mar-12				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Apr-12				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
May-12				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Jun-12				0.00	0	0.00	0		0.00	0.00	0.00	0.00	0
Grand Totals		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00			\$ -	\$ -	\$ -	\$ -	

Note: Pleas

\$

COUNTY OF LOS ANGELES DEPARTMENT OF MENTAL HEALTH SERVICES



**FAMILY PRESERVATION PROGRAM
MENTAL HEALTH SERVICES REFERRAL**

CSAT Referral []
MHST +/- []

1. NAME OF REFERRED INDIVIDUAL (S):	INDICATE CHILD/ADULT	2. DATE OF BIRTH:
A. _____	_____	_____
B. _____	_____	_____
C. _____	_____	_____
3. LANGUAGE:		
4. STREET ADDRESS: zip code		5. TELEPHONE NUMBER:
_____		()
6. MEDICAL INSURANCE COVERAGE:		
<input type="checkbox"/> Medi-Cal		<input type="checkbox"/> Healthy Families
<input type="checkbox"/> HMO Medi-Cal		<input type="checkbox"/> Cal Works <input type="checkbox"/> Other
Name _____		
7. <input type="checkbox"/> GAIN/CAL WORKS:		
8. CASE NAME:		9. CASE NUMBER:
_____		_____
10. DCFS/DPO (circle one) CASE WORKER'S NAME:		11. TELEPHONE NUMBER:
_____		()
12. DCFS/DPO (circle one) SUPERVISOR'S NAME:		13. TELEPHONE NUMBER:
_____		()
14. FAMILY PRESERVATION LEAD AGENCY:		15. TELEPHONE NUMBER:
_____		()
16. LEAD AGENCY CONTACT PERSON:		17. TELEPHONE NUMBER:
_____		()
18. REASON FOR REFERRAL: (List symptoms/behaviors. Be as specific as possible.)		
19. NAME OF DMH PROVIDER:		20. DATE OF REFERRAL:
_____		_____
21. DCFS/DPO SIGNATURE AND DATE:		22. FP LEAD AGENCY SIGNATURE AND DATE:
_____		_____
FAMILY PRESERVATION START DATE :		

DISTRIBUTION: Original to Mental Services Provider
1st copy to CFPN/Lead Agency
2nd copy CSW

Los Angeles County DMH Monthly Referral Report
Family Preservation Tracking Log - FY 2014-2015

TECHNICAL EXHIBIT 25

COUNTY OF LOS ANGELES – DEPARTMENT OF CHILDREN AND FAMILY SERVICES
 SAFE CHILDREN AND STRONG FAMILIES

Mental Health Provider

	Date Referral Received	Case Name	Client Name		DOB	Lead Agency	Insurance Type	Prim. Lan.	Adult	Child	DCFS	Probation	Intake Date	Linkage Status	Comments	
			Last	First												
1																
2																
3																
4																
5																
6																
7																
8																
9																
10																
11																
12																
13																
14																
15																
16																
17																
18																

Los Angeles County DMH Monthly Referral Report for FP Lead Agency
 Family Preservation Tracking Log – FY 2014-2015

TECHNICAL EXHIBIT 26

COUNTY OF LOS ANGELES - DEPARTMENT OF CHILDREN AND FAMILY SERVICES
 SAFE CHILDREN AND STRONG FAMILIES

Lead Agency _____

	FP Start Date	305 Ref. Date	Case #	Case Name	DCFS Office	Client Name		DOB	Insurance Type	Prim. Lan.	Adult	Child	DCFS	Probation	FP MH Provider	MHST+	Intake Date	Linkage Status	Comments	
						Last	First													
1																				
2																				
3																				
4																				
5																				
6																				
7																				
8																				
9																				
10																				
11																				
12																				
13																				
14																				
15																				
16																				
17																				
18																				

COUNTY OF LOS ANGELES - DEPARTMENT OF CHILDREN AND FAMILY SERVICES
 SAFE CHILDREN AND STRONG FAMILIES

TRANSPORTATION LOG

	DATE	CASE NAME	CLIENT NAME(S) /RELATIONSHIP	PICK-UP LOCATION	PICK UP TIME	DROP-OFF DESTINATION	DROP OFF TIME	CLIENT INITIALS	TOTAL TIME	COMMENTS
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										

COUNTY OF LOS ANGELES - DEPARTMENT OF CHILDREN AND FAMILY SERVICES
SAFE CHILDREN AND STRONG FAMILIES

FP WEEKLY CASE COUNT REPORT

Today's (Monday):	Date	Reporting Week (Mon-Fri of Prior Week)
Agency Name:		DCFS Office:
Contact Name:	Person	Contact Phone #:
Contact E-Mail:		Contact Number: Fax

SECTION B. Caseload / Vacancy

DCFS Total:	ARS:	FP:	Skid Row:
# of Vacancies:			
Subtotal of Cases via Zip Code Waiver (All Case Types)			
Office Name:	#:		
Office Name:	#:		
Office Name:	#:		

Probation Total:	Base:	TDT:
# of Vacancies:		
Subtotal of Cases via Zip Code Waiver (All Case Types)		
Office Name:	#:	
Office Name:	#:	
Office Name:	#:	

SECTION C. Cases Termed Previous Week Still Pending Action (If CBL already approved action do not list case) (Requests to terminate case must be posted on the web based system as well as listed here)

Case Name	FP # or Probation # (No State #)	DCFS Office (Case-Carrying CSW / DPO Location)	ARS	DCFS	Prob	Term. Date	Reason Code	Request Made on System?	
								Yes	No ¹
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>

SECTION D. Cases Requiring Extensions (If CBL already approved action do not list case) (Requests to extend a case must be submitted on the web based system as well as listed here)

Case Name	FP # or Probation # (No State #)	DCFS Office (Case-Carrying CSW / DPO Location)	ARS	DCFS	Prob	Extension Effective Date	# of Months	Request Made on System?	
								Yes	No ¹
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>

¹ All requests should be submitted on the web based system. **Clicking "No"** in this column is only for **unique** situations where contractor **tried** but was **unsuccessful** in submitting the request on the web based system.

PROBATION CRIMINOGENIC NEEDS

To be targeted by service providers in probation cases

LOS ANGELES RISK AND RESILIENCY CHECKUP (LARRC)

Evidence Based Practice requires that an **actuarial risk and needs assessment be conducted with** the use of a validated risk and needs assessment tool. This is similar to orientating oneself on a map. One needs to know where they are first before they can determine a plan of action to get to where they want to go.

In response to this, the County of Los Angeles Probation Department has implemented the **Los Angeles Risk and Resiliency Checkup (LARRC)** as the Department’s assessment tool for minors under our supervision.

The LARRC is a researched based assessment tool that measures risk and protective factors in order to obtain a resiliency score which will aid in the determination of the level, type and intensity of services that we refer minors under our supervision.

Research has consistently shown that the most predictive indicator of future criminal behavior is the combination of *both* risk and protective factors or strengths. These factors are combined to determine the resiliency score for a minor. This score can be used to determine the intensity of intervention (**Risk Principle**), as well as to identify a minor’s greatest criminogenic need to be addressed in the case plan (**Need Principle**). Staff members are also being trained to consider the individual characteristics of the minor that will impact the success of an intervention (**Responsivity Principle**) in order to make the most appropriate referral with the greatest likelihood of success.

The following shows the 9 targeted domains wherein in the minor’s criminogenic needs are assessed for risk and resiliency.

Measured Domains:

All domains are measured by assessing both protective (resiliency) and risk factors for each domain.

Delinquent Behavior, Affiliations and Orientation:

Protective Factors	Risk Factors
Community Support / Reinforcement	Prior Arrests
Pro-social Adult Relations	Significant Neighborhood Crime
Extensive Structured Activities	Crimes Committed While Under the Influence of Drugs or Alcohol
Faith Community Participation	Assaultive Behavior
Community Organization Participation	Delinquent Orientation

Measured Domains (Continued)

Substance Abuse:

Protective Factors	Risk Factors
Parents Model Healthy Moderation	Pattern of Alcohol Abuse
Effectively Manages Peer Pressure	Used Mood Altering Substance Other Than Alcohol
Youth is Free of Distressing Habits	Used Substances Frequently
Youth Manages Stress Well	Substance Use Interferes w/ Daily Function
Positive Self-Concept	Early Onset Substance Abuse

Family Interactions:

Protective Factors	Risk Factors
Communicates with Family	Poor Parental Relations
Constructive Use of Time at Home	Parental Supervision Deficiencies
Family Activities	Chaotic Family
Family Support	Parental Criminality/Substance Abuse
Unconditional Regard From Parent(s)	Runaway History

Academic Engagement:

Protective Factors	Risk Factors
School Engagement/Bonds	Poor Academic Achievement
Exhibits with Academic Achiever(s)	Pattern of Truancy Past Semester
Positive Interaction with Teacher(s)	Pattern of Suspension/Expelled
Educational Aspirations	Disruptive Classroom/School Behavior
Caring/Supportive School Climate	Presently Not in an Educational Program

Interpersonal Skills & Social Isolation:

Protective Factors	Risk Factors
Pro-social Peer Relations	Socially Isolated
Has at Least One Person to Confide With	Has Very Few Pro-social Acquaintances
Values Dignity/Rights of Others	Has Gang Affiliation/Associations
Ability to Make Pro-social Friends	Has Delinquent Friends
Ability to Communicate Disagreements	No Meaningful Relations with Adult(s)

Measured Domains (Continued)

Self-Regulation:

Protective Factors	Risk Factors
Values Honesty/Integrity	No ProSocial Interests (includes employment)
Self-Control	Supportive of Delinquency
Self-Efficacy in ProSocial Relationships	Anger Management Issues
Problem-Solving Skills	Sensation Seeking
Plans, Organizes and Completes Tasks	Manipulative/Deceitful

DRAFT

COUNTY OF LOS ANGELES
DEPARTMENT OF CHILDREN & FAMILY SERVICES
PROCUREMENT REQUEST

SERVICE BUREAU	DIVISION	REGION / SECTION
CONTACT PERSON FOR THIS REQUEST	DELIVERY ADDRESS	
TELEPHONE # / EMAIL	ATTN:	

FUND CODE	DEPT CODE	UNIT CODE	OBJ. CODE	DEPT. OBJ. CODE	FUNCTION CODE	REQUEST AMOUNT
AO1	CH					

REQUEST -- Include complete DESCRIPTION and PROVIDE JUSTIFICATION (Include additional pages, if necessary)

NAME OF REQUESTOR	SIGNATURE OF REQUESTOR	DATE:
NAME / TITLE OF APPROVER	SIGNATURE OF APPROVER	DATE:

DO NOT WRITE BELOW THIS LINE (FOR PROCUREMENT SECTION USE ONLY)

ACTION TAKEN: DENIED APPROVED PRIORITY HOLD RETAINED FOR ANALYSIS OTHER

PROCUREMENT SERVICES SECTION CONTROL NUMBER:

CONTACT PERSON IN PROCUREMENT: _____ PHONE NO: _____

DATE COMPLETED: _____

DEFINITIONS

The headings herein contained are for convenience and reference only and are not intended to define the scope of any provision thereof. The following words as used herein shall be construed to have the following meaning, unless otherwise apparent from the context in which they are used.

Alcohol and Substance Abuse Treatment - rehabilitation services for persons habituated to the use of alcohol and/or drugs, including inpatient, residential, or outpatient treatment services.

Assessor - individual holding a clinical license in the field of family and marriage counseling, social work or psychology or a master’s intern under the supervision of someone who holds the license providing assessment services for FP Assessment Service. The Assessor’s function is to conduct an assessment of adults/caregivers utilizing a COUNTY approved assessment tool in the area of Domestic Violence, Mental Health and Domestic Violence and how these factors may impact the adult/caregiver’s ability to parent.

Assistant Regional Administrator (ARA) - the COUNTY’s manager, who reports to the Regional Administrator, in the specific geographic area(s) where Contract services are performed. The ARA is the director report to the Supervising Children’s Social Workers within a given DCFS office.

Auxiliary Funds - COUNTY allocated funds dedicated for items that will assist in meeting the concrete needs of the family which may reduce the risk of abuse and neglect of the children.

Case Management Services - include assessment of family needs, development of the individualized family plan, and linkage to services provided by Subcontractor(s) and other community resources, as necessary. Case management also includes follow up services (see follow up services for definition). All Case management services shall be documented in the client case record.

Case Plan - a written document based on the assessment of circumstances, which requires child welfare services intervention. It is developed by the CSW, in partnership with the parent and other service providers. In the case plan DCFS identifies a case plan goal, the objectives to be achieved, the specific services to be provided and the case management activities to be performed. It is designed to reduce or eliminate risk factors to the children. (See also MCPC)

Case Record - exhibits, reports, and all documents relating to all program services for the child and/or the family in the case file. All documentation of case activities will first be entered into the FAF. Hard copies of documentation entered will need to be included in the case record.

Child Abuse - per Penal Code 11165 et seq. as a physical injury which is inflicted by other than accidental means on a child by another person, the sexual abuse of a child, willful cruelty or, unjustifiable punishment of a child, neglect of a child or abuse in out-of-home care (See emotional abuse, exploitation, neglect, physical abuse, willful cruelty for details).

Child and Family Team (CFT) - a group of individuals who are important supporters of a child's best interests and/or decision-making in a child's life. This can include, but is not limited to the child's family, informal sources of support, DCFS staff and CONTRACTOR's staff.

Children's Social Worker (CSW) - a COUNTY employee who performs a wide variety of professional social casework or related child welfare service duties.

Clinical Supervisor - CONTRACTOR's supervisor who is a Licensed Clinical Social Worker (LCSW) with a current license from the California Board of Behavioral Sciences, a Licensed Marriage and Family Therapist (LMFT) with a current license from the California Board of Behavioral Sciences; or a licensed Psychologist with a current license from the California Board of Psychology. The Clinical Supervisor shall also have a minimum of two years of experience, during the last five years providing direct client services similar to the services listed in this SOW. The Clinical Supervisor is responsible for overseeing the initiation, development and implementation of the MCPC Plan and is expected to provide guidance, direction and training to the CONTRACTOR's staff of all clinically relevant issues pertaining to the families they serve.

Collaborative - the CONTRACTOR's relationship, whether formal or informal, with other community agencies and/or resources that serve clients in the same community as those served by the CONTRACTOR.

Community Advisory Council (CAC) - a group of community representatives, stakeholders, parents/caregivers, and residents from the community to conduct ongoing reviews of the services offered by the Intervention Services CONTRACTOR.

Community Assessment Services Center (CASC) - a network of contracted alcohol and other drug treatment agencies.

Community-Based Liaison (CBL) - a DCFS regional office designated staff person responsible for receiving and processing program referrals from social work staff to the CONTRACTOR Program Manager case management staff.

Community Partner(s) - individuals, groups, and agencies in the service area that share a common interest in promoting safe and stable families.

Continuous Quality Improvement (CQI) - shall be defined a method of quality assurance and improvement that takes the results of period reviews and monitoring and

immediately modifies policies and procedures as needed to improve Performance Outcomes.

Coordinated Service Action Team (CSAT) - The CSAT was created to accomplish the following: ensure the consistent, effective, and timely screening and assessment of mental health needs across all populations of children served by DCFS; coordinate staff who currently link children to services within and across offices; and to systematically review capacity, access and utilization to current and future services.

Deaf/Interpretive Services - those services specifically designed for the translation of a particular language.

Department of Public Social Services (DPSS) - County of Los Angeles, Department of Public Social Services.

Discretionary Funds - A maximum of 5% of the CONTRACTOR's total fiscal year allocation used at the CONTRACTOR's discretion to meet the needs of the family and in so doing, reduce the risk of abuse and neglect to the children in the home or assist in the return of children to their caregivers. CONTRACTOR may not use more than \$2,500 per item/event/service without prior approval from the COUNTY Program Manager.

Disposition - the final determination of the child abuse/neglect investigation completed by the ERCP or regional CSWs. Dispositions can either be unfounded, inconclusive or substantiated.

Unfounded: child abuse allegation determined by the ER/ERCP CSW conducting the investigation to be false, inherently improbable, to involve an accidental injury, or not constituting child abuse or neglect as defined in Section 11165.6.

Inconclusive: child abuse allegation determined by the ER/ERCP CSW conducting the investigation not to be unfounded, but the findings are inconclusive and there is insufficient evidence to determine whether child abuse or neglect, as defined in Section 11165.6, has occurred.

Substantiated: child abuse allegation determined by the ER/ERCP CSW conducting the investigation to constitute child abuse or neglect, as defined in Section 11165.6, based upon evidence that makes it more likely than not that child abuse or neglect, as defined, occurred.

Disproportionality - The ratio of the percent of persons of a certain race or ethnicity in a target population (e.g., children who are substantiated for maltreatment) to the percentage of persons of the same group in a reference (or base) population. The reference population can refer to the overall population (unconditional), such as the County of Los Angeles, or the population who experiences a specific decision point (conditional), such as the Child Welfare System. It is argued that disproportionality is a

function of disparities (unequal treatment when comparing a racial or ethnic minority to a non-minority), particularly in the entries and exits of children in the child protection and child welfare system (Exerpts taken from <http://cssp.org>).

DMH Family Preservation Liaison - The Family Preservation (FP) Liaison, as a representative of the Department of Mental Health (DMH), collaborates with FP Lead Agencies, DMH FP Mental Health Providers, DCFS and Probation. The FP Liaison helps to ensure that the mental health needs of the families are addressed by assisting with evaluating the family's overall appropriateness for the Family Preservation Program, assessing for mental health needs and its implications for the family's ability to successfully utilize the variety of FP services. The FP Liaison can help the family identify issues, overcome resistance and promote the family's willingness to participate in mental health services.

Emergency Response - an emergency service the CONTRACTOR shall provide twenty-four (24) hours a day, seven (7) days a week.

Emotional Abuse - non-physical mistreatment, the results of which may be characterized by disturbed behavior on the part of the child such as severe withdrawal, regression, bizarre behavior, hyperactivity, or dangerous acting-out behavior. Such disturbed behavior is not deemed, in and of itself, to be evidence of emotional abuse.

Evidence-Based Practices - programs/services delivered in a culturally-competent manner that incorporate into practice the best available research evidence, the best clinical experience and include measures of the impact of the practice on clients, participants and/or communities

Exploitation - forcing or coercing a child into performing functions, which are beyond his or her capabilities or capacities, or into illegal or degrading acts (See Sexual Abuse).

Family - a social unit(s), including, but not limited to, birth parent(s), blood relative(s), adoptive parent(s), legal guardian(s), non-relative extended family member(s), and foster parent(s), and the children that they rear and care for.

Family Assessment Form (FAF) Assessment Tool - a standardized assessment tool, as part of the FAF, to be completed by the CONTRACTOR every MCPC meeting to measure the change of family functioning and ensure the development of individualized case plans throughout the life of the case. More information can be obtained at: <http://familyassessmentform.com>.

Family Maintenance (FM) - child welfare services provided with or without court involvement to maintain the child in his or her own home.

Family Preservation (FP) - assessment and intervention services provided to families served by DCFS in order to mitigate the risk of placement in out-of-home care and to assist the family in transitioning when a child is returned home from out of home care.

FP Program Monitor (FPM) - under the supervision of the CPM, the FP Program Monitor will review CONTRACTOR's billing, budgets and other documentations submitted by the CONTRACTOR. FPMs conduct technical reviews, review and follow up on QAPs, CAPs and compliance issues related to the CONTRACTORS. FPMs will also be the go between the CONTRACTOR, CPM and the regional office as applicable and necessary to assist in the smooth delivery of services.

Family Reunification (FR) - child welfare services to reunite children, placed in out-of-home care, with their families.

Fiscal Year - the COUNTY's twelve (12) month period of time beginning July 1st and ending the following June 30th.

Follow-Up Services - the CONTRACTOR's responsibility to ensure that CONTRACTOR's referrals to Subcontractor(s) and/or other community resources are providing the appropriate and timely services and supports to families.

Health Insurance Portability Accountability Act (HIPAA) - Passed in 2003, the Health Insurance Portability and Accountability Act (HIPAA) is designed to give patients more control over their health information, set boundaries on the use and disclosure of health information, institute safeguards to protect privacy of health information, create accountability, civil and criminal penalties, and establish a balance between individual privacy and the public good. In cases where the law of California is more restrictive than HIPAA, the State law must be followed. Conversely, if HIPAA is more restrictive than State law, then HIPAA must be followed unless there is a legal exception.

Indigent Population - impoverished, homeless and/or needy persons and do not normally qualify for traditional funding sources such as Medi-Cal.

Lead Agency – the agency who has a Contract with the COUNTY to provide Family Preservation services.

Licensed Clinical Social Worker (LCSW) - an individual currently licensed from the California Board of Behavioral Science to provide clinical social work or mental health treatment services.

Licensed Marriage and Family Therapist (LMFT) - an individual currently licensed from the California Board of Behavioral Science to provide marriage, family, and child counseling, social work, or mental health treatment services.

Linkage Service - a CONTRACTOR's responsibility to refer clients to bona fide resources to provide non-reimbursable services or resources that are outside the scope of the CONTRACTOR's service array and within the SPA community or geographic area served.

Mentor - an individual trained and supervised by the CONTRACTOR and paired with children and youth to: (1) foster positive behavior through the mentor's example; and (2) broaden the child/youth's recreational, social, and educational aspirations through shared experiences. A Mentor shall be a Case Aide who also has, at minimum, a high school diploma and two years experience working with at-risk youth.

Multidisciplinary Case Planning Committee (MCPC) Service Plan Agreement - a plan developed with the family for any DCFS case resulting from an unfounded or inconclusive referral, including Court Family Maintenance (FP), Voluntary Family Maintenance (non-Court voluntary FP), Voluntary Family Reunification (non-Court, voluntary placement of children while receiving Family Preservation), and aftercare.

Neglect - the negligent treatment or maltreatment of a child by a parent or caregiver under circumstances indicating harm or threatened harm to the child's health or welfare. The term includes both acts and omissions on the part of the responsible person. California law defines two categories of physical neglect: general and severe neglect.

General Neglect - The negligent failure of a parent or caregiver to provide adequate food, clothing, shelter, medical care or supervision where no physical injury to the child has occurred.

Severe Neglect - The negligent failure of a parent or caregiver to protect the child from severe malnutrition or medically diagnosed non-organic failure to thrive. It also includes those situations of neglect where the parent or caregiver willfully causes or permits the person or health of the child to be placed in a situation such that his or her person or health is endangered. This includes the intentional failure to provide adequate food, clothing, shelter, or medical care.

Normal Business Hours - as outlined below:

FP Assessment Services

Monday through Friday, 8:00 a.m. to 8:00 p.m.

Saturday **OR** Sunday, 9:00 a.m. to 1:00 p.m.

FP Assessment Services – Command Post

Monday through Friday, 5:00 p.m. to 9:00 a.m.

Saturday, Sunday, and COUNTY approved holidays, 24 hours

FP Intervention Services

Monday through Friday, 8:00 a.m. to 8:00 p.m.

Saturday **OR** Sunday, 9:00 a.m. to 1:00 p.m.

Outcomes - the results for children and families that the CONTRACTOR is expected to accomplish.

Parent/Caregiver - a child's birth or adoptive father or mother, whether married or unmarried, or other adult fulfilling the parental role.

Passenger Van - a licensed enclosed vehicle designed with a minimum capacity of six (6) passengers and maximum capacity of fifteen (15) passengers that meets the California Vehicle Code requirements that the CONTRACTOR uses for transporting FP families. All drivers must have appropriate drivers license for the vehicle. All drivers of a 15 passenger van must have a commercial driver's license (class B).

Permanency - a safe and stable nurturing lifetime relationship achieved through maintaining the child in the home, reunification, adoptions, relative guardianship, or other legal guardianship.

Physical Abuse - willfully causing or permitting any child to suffer or inflict to thereon unjustifiable physical pain or suffering, or having the care and custody of any child cause or permit that child or health of that child to be injured or placed in a situation where their person or health is endangered (See Penal Code Sections 11165.3 and .4 as "willful cruelty or unjustifiable punishment of a child" and "corporal punishment or injury").

Promoting Safe and Stable Families (PSSF) - a federal program whose purpose is to enable states to develop and establish, or expand, and to operate coordinated programs of community-based Family Support Services, Family Preservation Services, Time-Limited Family Reunification Services, and Adoption Promotion and Support Services.

Prospective Authorization & Utilization Review (PAUR) Unit - Los Angeles County, Probation Department established this unit to assist in the decision making process to match youth and families with appropriate services, improving consistency in service utilization, as referrals to services will be pre-approved, based on whether or not a youth and family meet the specified focus for each service. This unit is responsible for reviewing the use of each of these services at designated intervals to ensure that there is a systematic approach to the rationale that allows for extended services that may be required to obtain desired outcomes on a case-by-case basis. This will improve Probation's ability to strategically manage available resources and maximize fiscal resources.

Protective Factors - conditions in families and communities that, when present or enhanced, increase the health and well-being of families and children/youth and reduce risk factors that lead to child abuse and neglect. The five protective factors are (a) parental resilience, (b) social connections, (c) knowledge of parenting and child development, (d) concrete support in times of need, and (e) social and emotional competence of children (Brown, 2014).

Protective Factors Framework - a prevention partnership that brings new resources and capacities to other child and family serving sectors. At the foundation of the

Strengthening Families approach are five interrelated protective factors that studies show are related to a decreased likelihood of child abuse and neglect, as well as to the promotion of family strengths and optimal child development (Brown, 2014).

Quality Services Review (QSR) - a methodology DCFS is using to assess and evaluate current practices. It is an organizational learning process offering ways of knowing what's working and not working in practice for children and families and why. QSR was developed by Human Systems Outcomes, Inc. (HSO). A design team representing staff from DCFS, DMH and other stakeholders participated in the refinement of the Protocol. QSR is being used by many progressive public and private child welfare agencies around the country to improve their practice and results at all levels of their organization. It is a direct measure of the Shared Core Practice Model of: engagement, child and family team formation, ongoing assessment and understanding, planning, implementation, and tracking and adaptation.

Regional Administrator - the COUNTY's manager in the specific geographic area(s) where Contract services are performed.

Regional Office – A designated office within DCFS service areas. There are 18 offices throughout the County of Los Angeles for the purpose of managing the delivery of COUNTY Services.

Roundtable - a meeting held between the COUNTY and Lead Agencies for the exploration and development of solutions to program issues and concerns.

Service Planning Area (SPA) - any one of the eight geographic regions in which the County of Los Angeles has been divided for purposes of managing the delivery of COUNTY services.

Sexual Abuse - any act of sexual assault or sexual exploitation of a child. Sexual abuse encompasses a broad spectrum of behavior and may consist of many acts over a long period of time (chronic molestation), or a single incident. Victims range in age from less than one year through adolescence. Specifically, sexually assault includes: rape, rape in concert, incest, sodomy, lewd or lascivious acts upon a child, oral copulation, penetration of a genital or anal opening by a foreign object and child molestation. Sexual exploitation includes conduct or activities related to pornography depicting minors and promoting prostitution by minors. (Includes definitions for other terms: sexual assault, sexual exploitation)

Steering Committee -The Family Preservation Steering Committee is formed with the purpose of supporting communication and strengthening the link between DCSF and Family Preservation Program CONTRACTORS. It is anticipated that the Steering Committee will enhance organization and quality of program, allow for timely decision making, and reinforce inclusion of CONTRACTOR perspective in the decision making process.

Structured Decision Making (SDM) Tools - comes in two tools, one for safety and one for risk. SDM safety tool assesses the child's present danger and the interventions currently needed to protect the child. SDM risk assesses whether any children are likely to be in immediate danger of serious harm/maltreatment and determines what interventions should be initiated or maintained to provide appropriate protection.

Substantiated - based upon credible evidence, to constitute child abuse or neglect, as defined in Section 11164.6 of the Penal Code.

Supervising Children's Social Worker (SCSW) - a COUNTY employee who supervises a staff of Children's Social Workers providing casework services to children.

Task Force Meeting - a monthly meeting between each Regional Office and Intervention Services CONTRACTORS servicing the Regional Office for the exploration and development of solutions to program issues and concerns.

Team Decision Making (TDM) - the Departmental initiative to engage families in developing child safety and case plans focusing on families' strengths. Team Decision Making meetings shall include family, children, caregivers, and resource staff including CONTRACTOR and/or Subcontracted Partner(s), DCFS staff, and a trained DCFS facilitator.

Technical Review - a COUNTY evaluation, typically completed on-site, of a CONTRACTOR's compliance to the Contract elements and deliverables defined in the approved SCSF Contract between CONTRACTOR and COUNTY.

Therapeutic Day Treatment (TDT) - treatment that targets minors who are incapable of functioning in a traditional school setting. TDT includes transportation to and from school, implementation of an individualized education plan, including recreation, weekly individual and group counseling, and home visitation with the minor and his family. Additional services may be added to minor's TDT program.

Underlying Need - Refers to the emotional and psychological unmet need, most likely to be unconscious for the individual, that leads to or to be a cause of their behaviors. The correct identification of underlying needs to the families of the Department and Probation Youths often requires a service provider with knowledge of the impact of trauma, effects of child abuse and neglect, cultural knowledge and sensitivity to individual differences. Targeting treatment and services to the cause of a behavior instead of the behavior itself produces better outcomes.

Willful Cruelty or Unjustifiable Punishment of a Child - shall be defined, per Penal Code Section 11165.3, as a situation where any person willfully causes or permits any child to suffer, or inflicts thereon, unjustifiable physical pain or mental suffering, or having the care or custody of any child, willfully causes or permits the person or health of the child to be placed in a situation such that his or her person or health is endangered.

LINKAGE SERVICES

Linkage Services is an interdepartmental service coordination partnership between the Department of Children and Family Services (DCFS) and the Department of Public Social Services (DPSS) to address common barriers that limit parents' ability to parent and their ability to work. Families that meet certain eligibility requirements may be eligible for some or all of the following programs/services:

1. **CalFresh:** (formerly known as Food Stamps) was established to improve the nutrition of people in low-income households. It does that by increasing their food-buying power, so they are able to purchase the amount of food their household needs. CalFresh benefits issued via an Electronic Benefit Transfer (EBT) card are used instead of money at the grocery store.
2. **California Work Opportunity and Responsibilities to Kids (CalWORKs):** A time-limited program that provides financial assistance to eligible needy families **with** (or expecting) **children** to help pay for housing, food, utilities, clothing, medical care, and other necessary expenses. Generally, families are eligible to receive cash aid and services when:
 - The **eligible** children are deprived of parental support or care due to:
 - Death;
 - Incapacity;
 - Unemployment/underemployment; or
 - Continued absence of one or both parents.
 - A needy or non-needy caretaker provides care for foster children.

The program also provides assistance with the following types of services:

- Homeless Assistance;
- Cal-Learn, a teen parent program;
- Welfare-to-Work services by participating in the Greater Avenues for Independence (GAIN) program which provides the following types of assistance:
- Job preparation and work opportunities;
- Child care; **and**
- Specialized supportive services such as Domestic Violence, treatment for mental health and substance abuse problems, and Family Preservation Program/GAIN Service Coordination.

Receiving many of the above services enables parents receiving CalWORKs assistance to become self-sufficient.

3. **Greater Avenues for Independence (GAIN):** provides employment focused services to CalWORKs participants to help them prepare for and find employment. Employment services include employment workshops, supervised job search, vocational assessment and training, remedial education and work experience. Post-Employment Services are also available to full-time employed participants to assist them in retaining employment, provide them with continued education and/or skills upgrade and help them move toward economic self-sufficiency. Additionally, participants are assisted with supportive services as follows:
 - a) Ancillary/Work-Related Expenses
 - b) Transportation Expenses
 - c) Child Care Expenses
 - d) Specialized Supportive Services: Domestic Violence, Mental Health, Substance Use Disorder

GAIN services also include Family Preservation (FP)/GAIN Service Coordination and Family Reunification (FR)/GAIN Service Coordination services. FP/GAIN Service Coordination involves the integration of DPSS expertise into DCFS' FP Multi-Disciplinary Case Planning committee meetings. FR/GAIN Service Coordination applies when DCFS parents on CalWORKs at the time their children are removed from the home and who have a DCFS FR Case Plan; the parents can continue to receive services through GAIN (but not cash aid).

4. **General Relief (GR)**: a program that provides temporary financial assistance to needy adults who are ineligible for State or Federal Assistance. Emancipated foster youth or a parent from whom all children have been removed could also qualify for GR.
5. **General Relief Opportunities for Work (GROW)**: provides employment and training services to assist employable GR participants to obtain employment and achieve economic self-sufficiency. GROW services mirrors services provided through GAIN.
6. **Health Care**: Free and low-cost health care programs and services that are available to qualifying low-income residents of Los Angeles County. Comprehensive preventive care services, primary and specialty care, medical office visits, vision and dental care, mental health services, hospitalization and prescription medicines are available.

Department of Public Social Services (DPSS) - County of Los Angeles, Department of Public Social Services. DPSS serves an ethnically and culturally diverse community through programs designed to both alleviate hardship and promote health, personal responsibility, and economic independence. The Department provides the following benefits and services to low-income residents of Los Angeles County:

- Temporary financial assistance and employment services for families and individuals.
- Free and low-cost health care insurance for families with children, pregnant women and aged/blind/disabled adults;
- Food benefits for families and individuals;
- In-home services for elderly and disabled individuals; and
- Financial assistance and advocacy for federal disability benefits for disabled individuals.

These services are provided locally throughout the many communities that comprise Los Angeles County.

DCFS/DPSS Linkages Partnership FP Program Activities - may be counted towards a CalWORKs/GAIN participant's Welfare-to-Work (WtW) participation requirement. Additionally, while participating in acceptable WtW FP Program activities, a GAIN participant may be eligible to receive supportive services such as child care, transportation and Specialized Supportive Services such as mental health, substance use disorder and domestic violence assessment and treatment services. These activities are sometimes a part of the DCFS Case Plan.

Family Centered Services (FCS) System (aka: Family Preservation System) – Linkages Enhancements - The FP referral process and coordination of the Multidisciplinary Case Planning Committee (MCPC) meetings for FP Linkages families is automated utilizing DCFS' FCS web-based system. This has allowed the process to move from a manual to an automated process.

Note: The following partners are to secure access to the FCS – Linkages Enhancements Section of the FCS System. These include, but are not limited to DPSS FP Liaisons, GAIN Services Supervisors (GSS), FP GAIN Services Workers GSWs), DPSS Managers over FP (on DPSS side), FP community contracted lead agencies and their subcontractors (whomever has responsibility [lead and subcontractor] to enter online information, e.g., FP agency liaison responsible for scheduling MCPCs), DCFS Community Based Liaisons (CBLs), CBLs Support Staff and/or CBL Designees.



CORE MEANINGS OF THE STRENGTHENING FAMILIES PROTECTIVE FACTORS

Protective Factor	Core Meaning
<p>Parental Resilience: Managing stress and functioning well when faced with challenges, adversity and trauma.</p>	<p><u>Resilience Related to General Life Stressors</u></p> <ul style="list-style-type: none"> a. managing the stressors of daily life b. calling forth the inner strength to proactively meet personal challenges, manage adversities and heal the effects of one's own traumas c. having self-confidence d. believing that one can make and achieve goals e. having faith; feeling hopeful f. solving general life problems g. having a positive attitude about life in general h. managing anger, anxiety, sadness, feelings of loneliness and other negative feelings i. seeking help for self when needed <p><u>Resilience Related to Parenting Stressors</u></p> <ul style="list-style-type: none"> a. calling forth the inner strength to proactively meet challenges related to one's child b. not allowing stressors to keep one from providing nurturing attention to one's child c. solving parenting problems d. having a positive attitude about one's parenting role and responsibilities e. seeking help for one's child when needed
<p>Social Connections: Positive relationships that provide emotional, informational, instrumental and spiritual support.</p>	<ul style="list-style-type: none"> a. Building trusting relationships; feeling respected and appreciated b. Having friends, family members, neighbors and others who: <ul style="list-style-type: none"> - provide emotional support (e.g., affirming parenting skills) - provide instrumental support/concrete assistance (e.g., providing transportation) - provide informational support/serve as a resource for parenting information - provide spiritual support (e.g., providing hope and encouragement) - provide an opportunity to engage with others in a positive manner - help solve problems - help buffer parents from stressors - reduce feelings of isolation - promote meaningful interactions in a context of mutual trust and respect c. Having a sense of connectedness that enables parents to feel secure, confident and empowered to "give back" to others

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OF SOCIAL POLICY'S

strengthening families
A PROTECTIVE FACTORS FRAMEWORK



CORE MEANINGS OF THE STRENGTHENING FAMILIES PROTECTIVE FACTORS

Protective Factor	Core Meaning
<p>Knowledge of Parenting and Child Development: Understanding child development and parenting strategies that support physical, cognitive, language, social and emotional development.</p>	<p>Seeking, acquiring and using accurate and age/stage-related information about:</p> <ul style="list-style-type: none"> a. parental behaviors that lead to early secure attachments b. the importance of <ul style="list-style-type: none"> • being attuned and emotionally available to one's child • being nurturing, responsive and reliable • regular, predictable and consistent routines • interactive language experiences • providing a physically and emotionally safe environment for one's child • providing opportunities for one's child to explore and to learn by doing c. appropriate developmental expectations d. positive discipline techniques e. recognizing and attending to the special needs of a child
<p>Concrete Support in Times of Need: Access to concrete support and services that address a family's needs and help minimize stress caused by challenges.</p>	<ul style="list-style-type: none"> a. being resourceful b. being able to identify, find and receive the basic necessities everyone deserves in order to grow (e.g., healthy food, a safe environment), as well as specialized medical, mental health, social, educational or legal services c. understanding one's rights in accessing eligible services d. gaining knowledge of relevant services e. navigating through service systems f. seeking help when needed g. having financial security to cover basic needs and unexpected costs
<p>Social and Emotional Competence of Children: Family and child interactions that help children develop the ability to communicate clearly, recognize and regulate their emotions and establish and maintain relationships.</p>	<p><u>Regarding the parent:</u></p> <ul style="list-style-type: none"> a. having a positive parental mood b. having positive perceptions of and responsiveness to one's child c. responding warmly and consistently to a child's needs d. being satisfied in one's parental role e. fostering a strong and secure parent-child relationship f. creating an environment in which children feel safe to express their emotions g. being emotionally responsive to children and modeling empathy h. talking with one's child to promote vocabulary development and language learning i. setting clear expectations and limits j. separating emotions from actions k. encouraging and reinforcing social skills such as greeting others and taking turns l. creating opportunities for children to solve problems <p><u>Regarding the child:</u></p> <ul style="list-style-type: none"> a. developing and engaging in self-regulating behaviors b. interacting positively with others c. using words and language skills d. communicating emotions effectively

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PARENTAL RESILIENCE

PROTECTIVE & PROMOTIVE FACTORS

Being a parent can be a very rewarding and joyful experience. But being a parent can also have its share of stress. Parenting stress is caused by the pressures (stressors) that are placed on parents personally and in relation to their child:

- *typical events and life changes* (e.g., moving to a new city or not being able to soothe a crying baby)
- *unexpected events* (e.g., losing a job or discovering your child has a medical problem)
- *individual factors* (e.g., substance abuse or traumatic experiences)
- *social factors* (e.g., relationship problems or feelings of loneliness and isolation)
- *community, societal or environmental conditions* (e.g., persistent poverty, racism or a natural disaster)

Numerous researchers have concluded that how parents respond to stressors is much more important than the stressor itself in determining the outcomes for themselves and their children. **Parents are more likely to achieve healthy, favorable outcomes if they are resilient. Resilience is the process of managing stress and functioning well even when faced with challenges, adversity and trauma.**

Some stressors parents face can be managed easily so that problems get resolved; for example, calling a relative or friend to pick-up a child from school when a parent is delayed. But some stressors cannot be easily resolved. For example, parents cannot “fix” their child’s developmental disability, erase the abuse they suffered as a child or be able to move out of a crime-plagued neighborhood. **Rather, parents are resilient when they are able to call forth their inner strength to proactively meet personal challenges and those in relation to their child, manage adversities, heal the effects of trauma and thrive given the unique characteristics and circumstances of their family.**

Demonstrating resilience increases parents’ **self-efficacy** because they are able to see

evidence of both their ability to face challenges competently and to make wise choices about addressing challenges. Furthermore, parental resilience has a positive effect on the parent, the child and the parent-child relationship. By managing stressors, parents feel better and can provide more nurturing attention to their child, which enables their child to form a secure emotional attachment. Receiving nurturing attention and developing a secure emotional attachment with parents, in turn, fosters the development of resilience in children when they experience stress.

Sometimes the pressures parents face are so overwhelming that their ability to manage stress is severely compromised. This is the case with parents who grew up in environments that create **toxic stress**. That is, as children, they experienced strong, frequent and prolonged adversity without the buffering protection of nurturing adult support. As a result, these parents may display symptoms of depression, anxiety, or other clinical disorders that inhibit their ability to respond consistently, warmly and sensitively to their child’s needs. For example, depressive symptoms in either mothers or fathers are found to disrupt healthy parenting practices so that the child of a depressed parent is at increased risk of poor attachments, maltreatment and poor physical, neurological, social-emotional, behavioral and cognitive outcomes. However, numerous research studies show parents can be helped to manage clinical symptoms and reactions to their own histories of poor attachments and trauma, to protect children from adversity and trauma as best they can and to provide more nurturing care that promotes secure emotional attachment and healthy development in their children.

All parents experience stress from time-to-time. Thus, parental resilience is a process that all parents need in order effectively manage stressful situations and help ensure they and their families are on a trajectory of healthy, positive outcomes.

PARENTAL RESILIENCE: ACTION SHEET

Your role

Your daily interactions with parents can help them to build their resilience and their belief in themselves as parents and capable decision-makers. You can:

- Projecting a positive and strengths-based approach to all families
- Support parents as key decision-makers for their families and provide opportunities for decision-making that affects the program or community
- Encourage parents to take care of themselves, particularly during stressful times
- Normalize the fact that parenting is stressful and help the parent plan proactively about how to respond to stressful parenting situations
- Validate and support good decisions

Questions to ask

- Where do you draw your strength?
- How does this help you in parenting?
- What are your dreams for yourself and family?
- What kind of worries and frustrations do you deal with during the day? How do you solve them?
- How are you able to meet your children's needs when you are stressed?
- How does your spouse, partner, or closest friend support you? When you are under stress, what is most helpful?
- What do you do to take care of yourself when you are stressed?

What to look for

- Problem solving skills
- Ability to cope with stress
- Self-care strategies
- Help-seeking behavior
- Receiving mental health or substance abuse services if needed
- Not allowing stress to impact parenting

Activities to do with parents

- Ask the parent to write down their self-care strategies and ensure that they are taking time for self-care each day.
- Ask the parent to identify situations they find stressful and make a plan in advance for how they will keep themselves calm and centered in these circumstances.

SOCIAL CONNECTIONS

PROTECTIVE & PROMOTIVE FACTORS

People need people. Parents need people who care about them and their children, who can be good listeners, who they can turn to for well-informed advice and who they can call on for help in solving problems. Thus, the availability and quality of social connections are important considerations in the lives of parents. **Parents' constructive and supportive social connections—that is, relationships with family members, friends, neighbors, co-workers, community members and service providers—are valuable resources who provide:**

- *emotional support* (e.g., affirming parenting skills or being empathic and non-judgmental)
- *informational support* (e.g., providing parenting guidance or recommending a pediatric dentist)
- *instrumental support* (e.g., providing transportation, financial assistance or links to jobs)
- *spiritual support* (e.g., providing hope and encouragement)

When parents have a sense of connectedness they believe they have people who care about them as individuals and as parents; they feel secure and confident that they have others with whom they can share the joy, pain and uncertainties that come with the parenting role; they seek timely assistance from people they have learned to count on when faced with challenges; and they feel empowered to “give back” through satisfying, mutually beneficial relationships. **Several research studies have demonstrated that—for both mothers and fathers—high levels of emotional, informational, instrumental or spiritual support is associated with positive parental mood; positive perceptions of and responsiveness to one’s children; parental satisfaction, well-being and sense of competence; and lower levels of anger, anxiety and depression.**

Conversely, inadequate, conflicting or dissatisfying social connections can be the source of parental stress, rather than a buffer. For example, maternal and paternal grandparents may be very willing sources of informational and instrumental support to new parents, but their advice and manner of caregiving may be at odds

with the new parents’ beliefs and preferences. At the extreme end of the continuum of poor social connections are social isolation (i.e., the lack of available and quality relationships) and loneliness (i.e., feelings of disconnectedness from others). Social isolation is a risk factor consistently associated with disengaged parenting, maternal depression and increased likelihood of child maltreatment. Similarly, loneliness may be a major stressor that inhibits parents’ ability to provide consistent, nurturing, responsive care to their children.

It may seem that increasing the number of people who could provide constructive social support to parents would be the “cure” for social isolation and loneliness. Providing opportunities for parents to create and strengthen sustainable, positive social connections is necessary but alone is not sufficient. Parents can feel lonely and isolated even when surrounded by others if relationships lack emotional depth and genuine acceptance. Thus, parents need opportunities to forge positive social connections with at least one other person that engender emotional, informational, instrumental or spiritual support so that meaningful interactions may occur in a context of mutual trust and respect.

Constructive and supportive social connections help buffer parents from stressors and support nurturing parenting behaviors that promote secure attachments in young children. Therefore, parents’ high quality social connections are beneficial to both the adults and the children.

2 OF 5

strengthening families™

A PROTECTIVE FACTORS FRAMEWORK

SOCIAL CONNECTIONS: ACTION SHEET

Your role

You can help parents to think critically about their social network and how they could utilize it more effectively, as well as the skills and tools they need to expand it. The following strategies may assist you in engaging families in developing social connections:

- Model good relational behavior and use your interactions with families as an opportunity to help parents develop stronger relational skills
- When engaging the family's broader network in teaming or other supports, be sensitive to the quality of existing relationships and help the family identify supporters in their network who will contribute positively
- Invite parents to events where they can get to know each other – with or without their kids – and reach out especially to those parents that may be socially isolated
- If there are specific issues that serve as barriers for the family in developing healthy social connections such as anxiety or depression, encourage the family to address them

Questions to ask

- Do you have friends or family members that help you out once in a while?
- Are you a member of any groups or organizations?
- Who can you call for advice or just to talk? How often do you see them?
- What kind of social support do you need?
- Do you find it easy or challenging to make friends? If it is challenging, what specific things represent a barrier for you?
- What helps you feel connected?

What to look for

- Does the parent have supportive relationships with one or more persons (friends, family, neighbors, community, faith-based organizations, etc.)?
- Can the parent turn to their social network for help in times of need (for instance, when they need help with transportation, childcare or other resources)?
- Is the parent willing and able to accept assistance from others?
- Does the parent have positive relationships with other parents of same-age kids?
- Does the parent have skills for establishing and maintaining social relationships?
- Does the parent provide reciprocal social support to peers?

Activities to do with parents

- Work with the parent to develop an EcoMap showing the people and institutions that are sources of support and/or stress in his or her life.
- Role play with the parent to help them practice skills in approaching another parent to develop a friendship. Have the parent choose a realistic scenario such as starting a conversation at a school event, on the playground or at a place of worship.

KNOWLEDGE OF PARENTING AND CHILD DEVELOPMENT

PROTECTIVE & PROMOTIVE FACTORS

No parent knows everything about children or is a “perfect parent.” An understanding of parenting strategies and child development helps parents understand what to expect and how to provide what children need during each developmental phase. All parents, and those who work with children, can benefit from increasing their knowledge and understanding of child development, including:

- physical, cognitive, language, social and emotional development
- signs indicating a child may have a developmental delay and needs special help
- cultural factors that influence parenting practices and the perception of children
- factors that promote or inhibit healthy child outcomes
- discipline and how to positively impact child behavior

Gaining more knowledge about child development and developing greater skills in parenting are particularly important given the recent advances in the fields of neuroscience, pediatrics and developmental psychology. Scientists in these fields have provided much evidence of the critical importance of early childhood as the period in which the foundation for intellectual, social, emotional and moral development is established. Furthermore, numerous research studies show this foundation is determined by the nature of the young child’s environments and experiences that shape early brain development.

Developing brains need proper nutrition, regularly scheduled periods of sleep, physical activity and a variety of stimulating experiences. Developing brains also need attuned, emotionally available parents and other primary caregivers who recognize and consistently respond to the needs of young children, and interact with them in an affectionate, sensitive and nurturing manner. Such care gives rise to the development of a secure attachment between the child and the adult. Young children with secure attachments develop a sense of trust, feel safe, gain self-confidence and are able to explore their environments because they feel they have a secure base.

Numerous longitudinal studies have demonstrated that parental behaviors that lead to early secure attachments—and which remain warm and sensitive as children grow older—lay the foundation for social-emotional, cognitive and moral competencies across developmental periods. For example, when a young child solicits interaction through babbling or facial expressions and a parent responds in a similar manner, this type of parent-child interaction helps to create neural connections that build later social-emotional and cognitive skills. In addition, advances in brain research have shown that parental behaviors that forge secure emotional attachments help young children learn to manage stress. Secure attachments can offset some of the damage experienced by highly stressed young children as a result of trauma (e.g., maltreatment or exposure to violence.)

In contrast, parental care that is inconsistent, unresponsive, detached, hostile or rejecting gives rise to insecure attachments. Young children who experience insecure attachments display fear, distrust, anxiety or distress and are at risk for long-term adverse effects on brain development including developmental delays, cognitive impairments, conduct problems, psychopathology and relationship challenges. For example, young children who have limited adult language stimulation and opportunities to explore may not fully develop the neural pathways that support learning.

What parents do and how they treat children is often a reflection of the way they were parented. Acquiring new knowledge about parenting and child development enables parents to critically evaluate the impact of their experiences on their own development and their current parenting practices, and to consider that there may be more effective ways of guiding and responding to their children. Furthermore, understanding the mounting evidence about the nature and importance of early brain development enables both parents and those who work with children to know what young children need most in order to thrive: nurturing, responsive, reliable and trusting relationships; regular, predictable and consistent routines; interactive language experiences; a physically and emotionally safe environment; and opportunities to explore and to learn by doing.

KNOWLEDGE OF PARENTING AND CHILD DEVELOPMENT: ACTION SHEET

Your role

Each contact you have with the family provides an important opportunity to link them to parenting resources, provide child development information and model and validate effective caregiving. You can:

- Connect parents to parenting education classes or home visiting as appropriate for their situation
- Model appropriate expectations for the child
- Engage parents in dialogue when their expectations are not in line with the child's developmental phase
- Underline the importance of nurturing care to help the parent in valuing the importance of their own role
- Provide "just in time" parenting education: crucial information a parent needs at the time when parenting issues arise
- Help the parent identify a series of trusted informants that they can turn to when they need parenting information

Questions to ask

- What does your child do best and what do you like about your child?
- What do you like about parenting? What do you find challenging about parenting?
- How have you learned about parenting skills?
- How do you continue to learn about your child's development?
- What has helped you learn about yourself as a parent?
- Are there things that worry you about your child's development or behavior?
- Have other people expressed concern about your child?

What to look for

- Does the parent understand and encourage healthy development?
- Is the parent able to respond and manage their child's behavior?
- Does the parent understand and demonstrate age-appropriate parenting skills in their expectations, discipline, communication, protection and supervision of their child?
- Does the child respond positively to the caregivers' approaches?
- Does the parent understand and value their parenting role?
- Does the parent have a reliable source for parenting information when issues come up?
- Does the parent know how to encourage social-emotional development and apply a range of age-appropriate disciplinary strategies?
- Is the parent involved in their child's school, preschool or other activities?
- Does the parent understand the child's specific needs (especially if the child has special developmental or behavioral needs)?

Activities to do with parents

- Ask the parent what their hopes and dreams are for their child(ren). Discuss any worries the parent has about ensuring those hopes and dreams are met. Then discuss what the parent is doing today (or wants to do) to help achieve those hopes and dreams.

CONCRETE SUPPORT IN TIMES OF NEED

PROTECTIVE & PROMOTIVE FACTORS

All parents need help sometimes—help with the day-to-day care of children, help in figuring out how to soothe a colicky baby, help getting to the emergency room when a bad accident happens, help in managing one's own temper when fatigued or upset. When parents are faced with very trying conditions such as losing a job, home foreclosure, substance abuse, not being able to feed their family or trauma, they need access to concrete support and services that address their needs and help to minimize the stress caused by very difficult challenges and adversity. **Assisting parents to identify, find and receive concrete support in times of need helps to ensure they and their family receive the basic necessities everyone deserves in order to grow (e.g., healthy food, a safe environment), as well as specialized medical, mental health, social, educational or legal services.**

When parents are faced with overwhelmingly stressful conditions they need to seek help, but for some parents asking for help is not an easy thing to do. It may be embarrassing for some parents because it feels like an admission of incompetence; that they don't know how to solve their own problems or take care of their family. Other parents may not seek help because they don't know where to go for help, or the services needed have a stigma associated with them such as mental health clinics and domestic violence or homeless shelters. **Thus, parents need experiences that enable them to understand their rights in accessing services, gain knowledge of relevant services and learn how to navigate through service systems.** Family and child-serving programs must clearly communicate to parents that seeking help is not an indicator of weakness or failure as a parent. **On the contrary, seeking help is a step toward improving one's circumstances and learning to better manage stress and function well—even when faced with challenges, adversity, and trauma.** When parents ask for help, it is a step toward building resilience.

When parents seek help, it should be provided in a manner that does not increase stress. Services should be coordinated, respectful, caring and strengths-based. Strengths-based practice is grounded in the beliefs that:

- It is essential to forge a trusting relationship between parents and service providers and among service providers working with the same families
- Regardless of the number or level of adverse conditions parents are experiencing, they have assets within and around them, their family and their community that can be called upon to help mitigate the impact of stressful conditions and to create needed change
- Parents have unrealized resources and competencies that must be identified, mobilized and appreciated
- Parents must be active participants in the change process and not passive recipients of services
- Parents must first be guided through, and subsequently learn how to navigate, the complex web of health care and social service systems
- In addition to addressing each parent's individual difficulties, strengths-based practitioners must understand—and work to change—the structural inequities and conditions that contribute to these difficulties

A strengths-based approach helps parents feel valued because they are acknowledged as knowledgeable and competent. They develop a sense of self-confidence and self-efficacy because they have opportunities to build their skills, experience success and provide help to others. Thus, access to concrete support in times of need must be accompanied by a quality of service coordination and delivery that is designed to preserve parents' dignity and to promote their and their family's healthy development, resilience and ability to advocate for and receive needed services and resources.

CONCRETE SUPPORT IN TIMES OF NEED: ACTION SHEET

Your role

As a professional working with families, your role is not just to provide referrals to needed services, but to identify any barriers the families may have in accessing those services. Helping families overcome those barriers is crucial to ensuring that their concrete needs are met. Such help may entail:

- Encouraging help seeking behavior
- Working with the family to understand their past experience with service systems and any stigma they attach to certain services
- Helping the family to navigate complex systems by explaining eligibility requirements, filling out forms or making a warm handoff to an individual who can help them negotiate getting access to the services they need
- Helping the parent understand their role as an advocate for themselves and their child
- Giving parents opportunities to help meet concrete needs of other families in the program or the community, to encourage reciprocity

Questions to ask when a family is in need

- What do you need to _____ (stay in your house, keep your job, pay your heating bill etc.)?
- What have you done to handle the problem? Has this worked?
- Are there community groups or local services that you have worked with in the past? What has been your experience accessing their services?
- Are there specific barriers that have made it difficult for you to access services in the past?
- How does dealing with these issues impact the way you parent?

What to look for

- Is the parent open to accessing and utilizing services?
- Has the parent had positive experiences with services in the past?
- Does the parent have specific barriers (literacy, lack of transportation, etc.) that will make it difficult to access services?
- Are there personal behavioral traits (e.g., punctuality, willingness to share personal information, etc.) that the parent could address to more effectively utilize services?
- Does the parent try to buffer the child from the stress caused by the family's concrete needs?

Activities to do with parents

- Ask the parent to identify one concrete need that, if met, would lighten his or her burden. Come up with a list of at least three possible avenues to get that need met (e.g., agencies to approach, people to ask for help, cutting back on other expenses).
- Talk to the parent about what their family's socioeconomic status was in their childhood and what effect that had on them. Discuss things their parents did or did not do to buffer them from the stress of poverty, to teach them the value of money or to make sure their needs were met.

SOCIAL-EMOTIONAL COMPETENCE OF CHILDREN

PROTECTIVE & PROMOTIVE FACTORS

Early childhood is a period of both great opportunity and vulnerability. Early childhood experiences set the stage for later health, well-being and learning. In the past, most of the focus was on building young children's academic skills in an effort to ensure they were prepared for school. However, in recent years a growing body of research has demonstrated the strong link between young children's social-emotional competence and their cognitive development, language skills, mental health and school success. The dimensions of social-emotional competence in early childhood include:

- self-esteem - good feelings about oneself
- self-confidence - being open to new challenges and willing to explore new environments
- self-efficacy - believing that one is capable of performing an action
- self-regulation/self-control - following rules, controlling impulses, acting appropriately based on the context
- personal agency - planning and carrying out purposeful actions
- executive functioning - staying focused on a task and avoiding distractions
- patience - learning to wait
- persistence - willingness to try again when first attempts are not successful
- conflict resolution - resolving disagreements in a peaceful way
- communication skills - understanding and expressing a range of positive and negative emotions
- empathy - understanding and responding to the emotions and rights of others
- social skills - making friends and getting along with others
- morality - learning a sense of right and wrong

These dimensions of social-emotional competence do not evolve naturally. The course of social-emotional development—whether healthy or unhealthy—depends on the quality of nurturing attachment and stimulation that a child experiences. Numerous research studies show that a relationship with a consistent, caring and attuned adult who actively promotes the

development of these dimensions is essential for healthy social-emotional outcomes in young children. Actively promoting social-emotional competence includes activities such as:

- Creating an environment in which children feel safe to express their emotions
- Being emotionally responsive to children and modeling empathy
- Setting clear expectations and limits (e.g., "People in our family don't hurt each other.")
- Separating emotions from actions (e.g., "It's okay to be angry, but we don't hit someone when we are angry.")
- Encouraging and reinforcing social skills such as greeting others and taking turns
- Creating opportunities for children to solve problems (e.g., "What do you think you should do if another child calls you a bad name?")

Children who have experiences such as these are able to recognize their and others' emotions, take the perspective of others and use their emerging cognitive skills to think about appropriate and inappropriate ways of acting. Conversely, research shows children who do not have adults in their lives who actively promote social-emotional competence may not be able to feel remorse or show empathy and may lack secure attachments, have limited language and cognitive skills and have a difficult time interacting effectively with their peers. Evidence shows, however, that early and appropriate interventions that focus on social-emotional development can help to mitigate the effects of negative experiences in ways that lead to improved cognitive and social-emotional outcomes.

SOCIAL AND EMOTIONAL COMPETENCE OF CHILDREN: ACTION SHEET

Your role

It is important to increase parents' awareness of the importance of early relationships and of their role in nurturing their child's social-emotional development by:

- Providing concrete tips and resources to parents to help them build their skills
- Modeling developmentally appropriate interactions with children that help them to recognize and manage their emotions and build other social and emotional skills
- Connecting families to resources that can help support their children's social-emotional development—these might be simple (such as classes like Second Step, or books and games that help children to name or recognize their emotions) or more intensive (such as mental health counseling)
- Staying attuned to trauma and how it impacts the child's behaviors and relationships, including taking time to explain and discuss children's behavior with parents when they are "acting out" due to trauma

Questions to ask

- How is the emotional relationship between you and your child?
- How do you express love and affection to your child?
- How do you help your child express his or her emotions?
- In what situations are your child's emotions hard for you to deal with?

What to look for

- Does the child feel safe to express emotions in the relationship with the parent?
- Is the parent emotionally responsive to the child?
- Does the parent model empathy?
- Does the parent set clear expectations and limits (e.g., "People in our family don't hurt each other")?
- Does the parent separate emotions from actions (e.g., "It's okay to be angry, but we don't hit someone when we are angry")?
- Does the parent encourage and reinforce social skills such as greeting others and taking turns?
- Does the parent create opportunities for children to solve problems? (e.g., "What do you think you should do if another child calls you a bad name?")?

Activities to do with parents

- Have the parent sketch out (or write out) an interaction with their child. Begin with an experience that typically makes the child happy, sad, frustrated or angry. Then have the parent illustrate or describe what the child does when he or she feels those emotions, how the parent responds and how the child responds. Identify and talk through positive or negative patterns in the interaction.
- Ask the parent to think of an adult who they loved as a child. What was it about the relationship with that adult that made it so important? Ask them what elements of that relationship they can replicate in their relationship with their child(ren).

CSSP'S PROTECTIVE AND PROMOTIVE FACTORS

The Center for the Study of Social Policy (CSSP) works to create new ideas and promote public policies that produce equal opportunities and better futures for all children and families, especially those most often left behind. The foundation of all of CSSP's work is a child, family and community well-being framework that includes a focus on protective and promotive factors. Using an ecological perspective:

- **protective factors** are conditions or attributes of individuals, families, communities or the larger society that **mitigate or eliminate risk**
- **promotive factors** are conditions or attributes of individuals, families, communities or the larger society that **actively enhance well-being**

Taken together, protective and promotive factors increase the probability of positive, adaptive and healthy outcomes, even in the face of risk and adversity.

The **Strengthening Families™** and **Youth Thrive™** frameworks exemplify CSSP's commitment to identify, communicate and apply research-informed ideas that contribute to the healthy development and well-being of children, youth and families. As numerous studies affirm the importance of early childhood experiences in influencing adolescent and adult behavior, these frameworks provide a view of two interrelated phases of the lifespan developmental continuum: Strengthening Families focuses on families of young children (0-5 years old) and Youth Thrive on youth ages 11-26.

The Strengthening Families Protective Factors	The Youth Thrive Protective and Promotive Factors
<ul style="list-style-type: none"> • Parental Resilience • Social Connections • Knowledge of Parenting and Child Development • Concrete Support in Times of Need • Social-Emotional Competence of Children 	<ul style="list-style-type: none"> • Youth Resilience • Social Connections • Knowledge of Adolescent Development • Concrete Support in Times of Need • Cognitive and Social-Emotional Competence in Youth

Parents, system administrators, program developers, service providers and policymakers can each benefit from learning about and using the Strengthening Families and Youth Thrive frameworks in their efforts to ensure that children, youth and families are on a path that leads to healthy development and well-being.

County of Los Angeles - Department of Children and Family Services
ZIP CODES THAT ARE SERVED BY EACH DCFS OFFICE

DCFS OFFICE	OFFICE CODE	ZIP CODE
Belvedere	S3253	90022
		90023
		90040
		90058
		90063
		90201
		90255
		90270
		90640
		90660
Belvedere Total		
Compton	S1277	90059
		90061
		90220
		90221
		90222
		90262
		90723
Compton Total		
El Monte	S1280	91731
		91732
		91733
		91745
El Monte Total		
Glendora	S1254	91702
		91706
		91722
		91723
		91724
		91740
		91741
		91744
		91746
		91748
		91789
		91790
		91791
91792		
Glendora Total		

TECHNICAL EXHIBIT 34

DCFS OFFICE	OFFICE CODE	ZIP CODE
Lancaster	S8234	93243
		93523
		93532
		93534
		93535
		93536
Lancaster Total		
Metro North	S3239	90004
		90005
		90006
		90010
		90012
		90013
		90014
		90015
		90017
		90020
		90021
		90026
		90027
		90028
		90029
		90031
		90033
		90038
90039		
90057		
90068		
90071		
Metro North Total		
Palmdale	S8236	93510
		93543
		93544
		93550
		93551
		93552
		93553
		93563
93591		
Palmdale Total		

TECHNICAL EXHIBIT 34

DCFS OFFICE	OFFICE CODE	ZIP CODE
Pasadena	S5252	90032
		90041
		90042
		90065
		91001
		91006
		91007
		91010
		91011
		91016
		91020
		91023
		91024
		91030
		91046
		91101
		91103
		91104
		91105
		91106
		91107
		91108
		91125
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		91206
		91207
91208		
91210		
91214		
91501		
91502		
91504		
91505		
91506		
91521		
91522		
91523		

TECHNICAL EXHIBIT 34

DCFS OFFICE	OFFICE CODE	ZIP CODE
Pasadena (Cont.)		91754
		91755
		91770
		91775
		91776
		91780
		91801
		91803
Pasadena Total		
Pomona	S1255	91711
		91750
		91765
		91766
		91767
		91768
		91773
		Pomona Total
Sante Fe Springs	S4261	90240
		90241
		90242
		90280
		90601
		90602
		90603
		90604
		90605
		90606
		90631
		90638
		90639
		90650
		90670
		90701
		90703
90706		
90716		
Santa Fe Springs Total		

DCFS OFFICE	OFFICE CODE	ZIP CODE
San Fernando Valley	S5211	91040
		91042
		91331
		91352
		91401
		91402
		91403
		91405
		91411
		91423
		91601
		91602
		91604
		91605
		91606
91607		
91608		
San Fernando Valley Total		
Santa Clarita	S8251	91321
		91340
		91342
		91343
		91344
		91345
		91350
		91351
		91354
		91355
		91381
		91382
		91384
		91387
91390		
Santa Clarita Total		
South County	S7207	90704
		90712
		90713
		90715
		90731
		90732
		90744
		90745

TECHNICAL EXHIBIT 34

DCFS OFFICE	OFFICE CODE	ZIP CODE
South County (Cont.)		90746
		90747
		90755
		90802
		90803
		90804
		90805
		90806
		90807
		90808
		90810
		90813
		90814
		90815
		90822
		90831
90840		
90846		
South County Total		
Torrance	S2213	90245
		90247
		90248
		90249
		90250
		90254
		90260
		90261
		90266
		90274
		90275
		90277
		90278
		90301
		90302
		90303
		90304
		90305
90501		
90502		
90503		
90504		

TECHNICAL EXHIBIT 34

DCFS OFFICE	OFFICE CODE	ZIP CODE
Torrance (Cont.)		90505
		90506
		90710
		90717
Torrance Total		
Vermont Corridor	S6219	90007
		90008
		90016
		90018
		90043
		90044
		90062
		90089
Vermont Corridor Total		
Wateridge	S2217	90001
		90002
		90003
		90011
		90037
		90047
Wateridge Total		
West L.A.	S6260	90019
		90024
		90025
		90034
		90035
		90036
		90045
		90046
		90048
		90049
		90056
		90064
		90066
		90067
		90069
		90073
90077		
90094		
90095		
90210		
90211		

TECHNICAL EXHIBIT 34

DCFS OFFICE	OFFICE CODE	ZIP CODE
West L.A. (Cont.)		90212
		90230
		90232
		90263
		90265
		90272
		90291
		90292
		90293
		90401
		90402
		90403
		90404
		90405
West L.A. Total		
West San Fernando Valley	S5212	90290
		91301
		91302
		91303
		91304
		91306
		91307
		91311
		91316
		91324
		91325
		91326
		91330
		91335
		91356
		91361
		91362
91364		
91367		
91406		
91436		
West San Fernando Valley Total		

TECHNICAL EXHIBIT 35

Zip Codes Served By Service Planning Area

ZIPCODE	SPA								
93243	1	91201	2	91324	2	91367	2	91426	2
93510	1	91202	2	91325	2	91371	2	91436	2
93532	1	91203	2	91326	2	91372	2	91470	2
93534	1	91204	2	91327	2	91376	2	91482	2
93535	1	91205	2	91328	2	91380	2	91495	2
93536	1	91206	2	91329	2	91381	2	91496	2
93539	1	91207	2	91330	2	91383	2	91497	2
93543	1	91208	2	91331	2	91384	2	91499	2
93544	1	91209	2	91333	2	91385	2	91501	2
93550	1	91210	2	91334	2	91386	2	91502	2
93551	1	91214	2	91335	2	91388	2	91503	2
93552	1	91221	2	91337	2	91392	2	91504	2
93553	1	91222	2	91340	2	91393	2	91505	2
93563	1	91224	2	91341	2	91394	2	91506	2
93584	1	91225	2	91342	2	91395	2	91507	2
93586	1	91226	2	91343	2	91396	2	91508	2
93590	1	91301	2	91344	2	91399	2	91510	2
93591	1	91302	2	91345	2	91401	2	91521	2
93599	1	91303	2	91346	2	91402	2	91522	2
90290	2	91304	2	91350	2	91403	2	91523	2
91011	2	91305	2	91351	2	91404	2	91526	2
91012	2	91306	2	91352	2	91405	2	91601	2
91020	2	91307	2	91353	2	91406	2	91602	2
91021	2	91308	2	91354	2	91407	2	91603	2
91023	2	91309	2	91355	2	91408	2	91604	2
91040	2	91310	2	91356	2	91409	2	91605	2
91041	2	91311	2	91357	2	91410	2	91606	2
91042	2	91312	2	91361	2	91411	2	91607	2
91043	2	91313	2	91362	2	91412	2	91608	2
91046	2	91316	2	91363	2	91413	2	91609	2
91050	2	91321	2	91364	2	91416	2	91610	2
91051	2	91322	2	91365	2	91423	2	91611	2

Zip Codes Served By Service Planning Area

ZIPCODE	SPA								
91612	2	91116	3	91740	3	91797	3	90042	4
91614	2	91117	3	91741	3	91801	3	90046	4
91615	2	91118	3	91744	3	91802	3	90048	4
91616	2	91121	3	91745	3	91803	3	90050	4
91617	2	91123	3	91746	3	91804	3	90051	4
91618	2	91124	3	91747	3	91841	3	90053	4
91001	3	91125	3	91748	3	91896	3	90054	4
91003	3	91126	3	91749	3	90004	4	90055	4
91006	3	91129	3	91750	3	90005	4	90057	4
91007	3	91131	3	91754	3	90006	4	90060	4
91009	3	91175	3	91755	3	90010	4	90065	4
91010	3	91182	3	91756	3	90012	4	90068	4
91016	3	91184	3	91765	3	90013	4	90069	4
91017	3	91185	3	91766	3	90014	4	90070	4
91024	3	91186	3	91767	3	90015	4	90071	4
91025	3	91187	3	91768	3	90017	4	90072	4
91030	3	91188	3	91769	3	90019	4	90074	4
91031	3	91189	3	91770	3	90020	4	90075	4
91066	3	91191	3	91771	3	90021	4	90076	4
91077	3	91702	3	91772	3	90023	4	90078	4
91101	3	91706	3	91773	3	90026	4	90079	4
91102	3	91711	3	91775	3	90027	4	90081	4
91103	3	91715	3	91776	3	90028	4	90084	4
91104	3	91716	3	91778	3	90029	4	90086	4
91105	3	91722	3	91780	3	90030	4	90087	4
91106	3	91723	3	91788	3	90031	4	90088	4
91107	3	91724	3	91789	3	90032	4	90093	4
91108	3	91731	3	91790	3	90033	4	90096	4
91109	3	91732	3	91791	3	90036	4	90102	4
91110	3	91733	3	91792	3	90038	4	90009	5
91114	3	91734	3	91793	3	90039	4	90024	5
91115	3	91735	3	91795	3	90041	4	90025	5

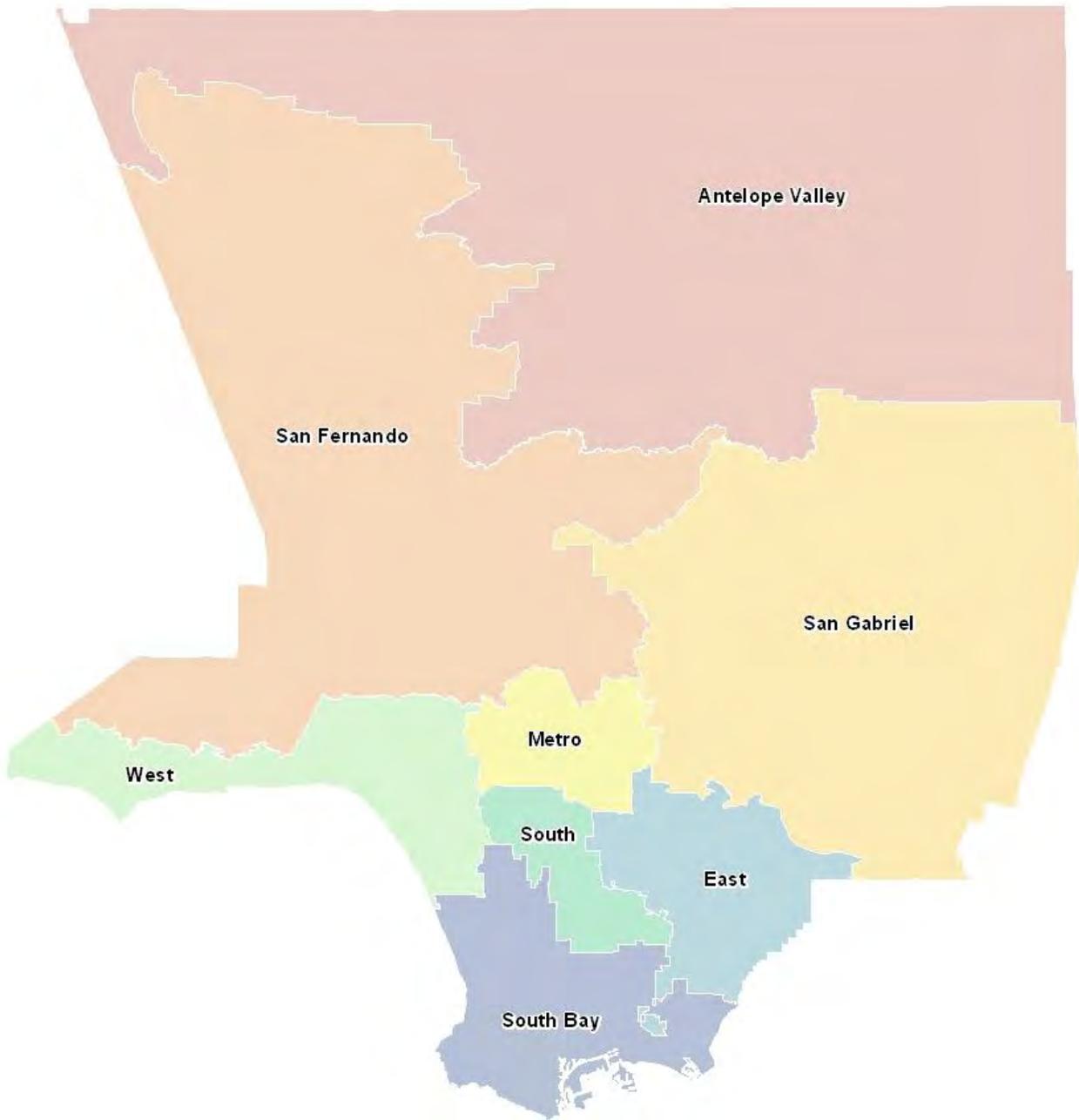
Zip Codes Served By Service Planning Area

ZIPCODE	SPA								
90034	5	90295	5	90082	6	90607	7	90245	8
90035	5	90296	5	90089	6	90608	7	90247	8
90045	5	90311	5	90174	6	90609	7	90248	8
90049	5	90312	5	90185	6	90610	7	90249	8
90056	5	90397	5	90220	6	90631	7	90250	8
90064	5	90401	5	90221	6	90633	7	90251	8
90066	5	90402	5	90222	6	90637	7	90254	8
90067	5	90403	5	90223	6	90638	7	90260	8
90073	5	90404	5	90224	6	90639	7	90261	8
90077	5	90405	5	90262	6	90640	7	90266	8
90080	5	90406	5	90723	6	90650	7	90267	8
90083	5	90407	5	90022	7	90651	7	90274	8
90094	5	90408	5	90040	7	90652	7	90275	8
90095	5	90409	5	90058	7	90659	7	90277	8
90099	5	90410	5	90063	7	90660	7	90278	8
90209	5	90411	5	90091	7	90661	7	90301	8
90210	5	90001	6	90101	7	90662	7	90302	8
90211	5	90002	6	90201	7	90665	7	90303	8
90212	5	90003	6	90202	7	90670	7	90304	8
90213	5	90007	6	90239	7	90701	7	90305	8
90230	5	90008	6	90240	7	90702	7	90306	8
90231	5	90011	6	90241	7	90703	7	90307	8
90232	5	90016	6	90242	7	90706	7	90308	8
90233	5	90018	6	90255	7	90707	7	90309	8
90263	5	90037	6	90270	7	90711	7	90310	8
90264	5	90043	6	90280	7	90712	7	90313	8
90265	5	90044	6	90601	7	90713	7	90398	8
90272	5	90047	6	90602	7	90714	7	90501	8
90291	5	90052	6	90603	7	90715	7	90502	8
90292	5	90059	6	90604	7	90716	7	90503	8
90293	5	90061	6	90605	7	90809	7	90504	8
90294	5	90062	6	90606	7	90888	7	90505	8

Zip Codes Served By Service Planning Area

ZIPCODE	SPA	ZIPCODE	SPA
90506	8	90832	8
90507	8	90833	8
90508	8	90834	8
90509	8	90835	8
90510	8	90840	8
90704	8	90842	8
90710	8	90844	8
90717	8	90845	8
90731	8	90846	8
90732	8	90847	8
90733	8	90848	8
90734	8	90853	8
90744	8		
90745	8		
90746	8		
90747	8		
90748	8		
90749	8		
90801	8		
90802	8		
90803	8		
90804	8		
90805	8		
90806	8		
90807	8		
90808	8		
90810	8		
90813	8		
90814	8		
90815	8		
90822	8		
90831	8		

SERVICE PLANNING AREA MAP



EVIDENCE-BASED PRACTICES SAMPLE LIST

Child and Family Assessment Measures	
Ages & Stages Questionnaire	
Child Behavior Checklist (CBCL)	
Family Assessment Form (FAF)	
Protective Factors Survey	
Solomon Family Assessment Survey	
Family Maintenance/Reunification: Evidence-Based Practices	
Cognitive Behavioral Intervention for Trauma in Schools (CBITS)	
Child-Parent Psychotherapy (CPP)	
Functional Family Therapy (FFT)	
Homebuilders	
Incredible Years (IY)	
Multi-Systemic Therapy (MST)	
Parent-Child Interaction Therapy (PCIT)	
Trauma-Focused Cognitive Behavioral Therapy (TF-CBT)	
Positive Parenting Program (Triple P)	
Parents as Teachers	
Supporting Father Involvement	
Nurturing Parenting Training	
Family Maintenance/Reunification: Promising Practices	
Depression Treatment Quality Improvement (DTQI)	
Family Finding	
Managing & Adapting Practice (MAP)	
Permanency Roundtables (PRT's)	
Seeking Safety	
Safe Care	